

FAX COVER SHEET

TO

COMPANY

FAXNUMBER 61297780999

FROM GLOBALXMANAGEMENT

DATE 2017-02-07 20:30:46 GMT

RE SubstantialShareholdingforBerkeleyResources

COVER MESSAGE

Attention:

Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.

Regards,



Vanessa Yang | Portfolio Administrator
600 Lexington Ave, 20th floor | New York, NY 10022
646-757-5405 | globalxfunds.com



Global X funds are sold by prospectus only. Investing involves risk, including possible loss of principal. Carefully consider the Fund's investment objectives, risk factors, charges and expenses before investing. This and additional information can be found in the Fund's prospectus, which may be obtained by calling 1-866-OX-FUND-1 (1-866-493-8631) or by visiting www.globalxfunds.com. Read the prospectus carefully before investing.

Global X Management Company LLC serves as an adviser to the Global X Funds. The Funds are distributed by Citi Investments Distribution Co., which is not affiliated with Global X Management Company or any of its affiliates.

For Financial Professional Use Only. Do not forward. If you are receiving this email in error or no longer wish to receive emails from this sender, please [click here](#).

Confidentiality Notice: This e-mail, and any attachments, contains information that is or may be confidential or proprietary in nature. If you are not the intended recipient, please be advised that you are legally prohibited from retaining, using, copying, distributing, or otherwise disclosing this information in any manner. Furthermore, this communication is for information purposes only and should not be regarded as an offer to sell or as a solicitation of an offer to buy any financial product, or as an official statement of Global X Management or any of its affiliates.

Form 603Corporations Law
Section 671B**Notice of initial substantial holder**To: Company Name/Scheme **Berkeley Resources Ltd**ACN/ARSN **AU000000BKY0****1. Details of substantial holder (1)**Name **Global X Management Company**

ACN/ARSN (if applicable)

The holder became a substantial holder on **02 / 03 / 17****2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
stock	12,899,458		5.07%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Global X Management CO	none	

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Global X Management CO	Global X Uranium ETF	Global X Uranium ETF	Common Stock
			12,899,458

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
Global X Uranium ETF	Details included in	Cash	Non-cash	
	attachment			

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Global X Management Co	Advisor to the fund
Global X Uranium ETF	Exchange traded fund

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Global X Management Co	600 Lexington Ave, 20th floor New York, NY 10022
Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA 19456

Signature

print name: Chang Kim capacity: Portfolio Manager
 sign here:  date: 02 / 07 / 2017

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg, a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.
- (8) If the substantial holder is unable to determine the identity of the person (eg, if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may become, entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

Acct Name	Security Desc	Type	Trade Date	Settle Date	Units	Unit Price	Amount	Curr	Trading Broker
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			16-Nov-2016	24-Nov-2016	132300.0000	0.000000	0.00 AUD		UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			29-Nov-2016	01-Dec-2016	132300.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			29-Nov-2016	02-Dec-2016	176400.0000	0.000000	0.00 AUD		UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			05-Dec-2016	07-Dec-2016	88200.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			23-Jan-2017	25-Jan-2017	328000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			08-Dec-2016	12-Dec-2016	44100.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			09-Dec-2016	14-Dec-2016	44100.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			09-Dec-2016	13-Dec-2016	88200.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			12-Dec-2016	14-Dec-2016	264600.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			13-Dec-2016	15-Dec-2016	44100.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			16-Dec-2016	21-Dec-2016	44100.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			21-Dec-2016	28-Dec-2016	44100.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			22-Dec-2016	28-Dec-2016	23383.0000	0.841900	19676.31 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			22-Dec-2016	28-Dec-2016	44100.0000	0.000000	0.00 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			23-Dec-2016	29-Dec-2016	62668.0000	0.830500	52019.75 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			23-Dec-2016	29-Dec-2016	44100.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			28-Dec-2016	30-Dec-2016	27273.0000	0.854700	23298.57 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			29-Dec-2016	03-Jan-2017	68890.0000	0.882000	60818.76 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			30-Dec-2016	04-Jan-2017	57875.0000	0.889200	52015.18 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			30-Dec-2016	04-Jan-2017	41000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			03-Jan-2017	05-Jan-2017	697000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ DYP			03-Jan-2017	06-Jan-2017	63828.0000	0.952400	60759.40 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ DYP			04-Jan-2017	06-Jan-2017	135371.0000	1.008200	136412.80 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			04-Jan-2017	09-Jan-2017	41000.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			04-Jan-2017	09-Jan-2017	287000.0000	0.000000	0.00 AUD		UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ DYP			05-Jan-2017	09-Jan-2017	77552.0000	1.001700	77344.64 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			05-Jan-2017	09-Jan-2017	82000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ DYP			06-Jan-2017	10-Jan-2017	92688.0000	1.009400	93512.49 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			09-Jan-2017	12-Jan-2017	205000.0000	0.000000	0.00 AUD		UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ DYP			09-Jan-2017	11-Jan-2017	455.0000	1.029800	468.33 AUD		CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			11-Jan-2017	16-Jan-2017	205000.0000	0.000000	0.00 AUD		UBS AG LONDON BRANCH
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			11-Jan-2017	13-Jan-2017	41000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			12-Jan-2017	16-Jan-2017	164000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			17-Jan-2017	19-Jan-2017	328000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			18-Jan-2017	23-Jan-2017	41000.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			19-Jan-2017	23-Jan-2017	164000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			20-Jan-2017	24-Jan-2017	41000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			23-Jan-2017	27-Jan-2017	82000.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			23-Jan-2017	25-Jan-2017	533000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			23-Jan-2017	25-Jan-2017	328000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			24-Jan-2017	27-Jan-2017	82000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			24-Jan-2017	30-Jan-2017	123000.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			24-Jan-2017	27-Jan-2017	574000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			25-Jan-2017	30-Jan-2017	41000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			25-Jan-2017	31-Jan-2017	82000.0000	0.000000	0.00 AUD		GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			25-Jan-2017	30-Jan-2017	369000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			01-Feb-2017	03-Feb-2017	41000.0000	0.000000	0.00 AUD		CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF BERKELEY ENERGIA LTD /AUD/ REC			03-Feb-2017	07-Feb-2017	205000.0000	0.000000	0.00 AUD		MERRILL LYNCH INTERNATIONAL LT