

## FAX COVER SHEET

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**TO**

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**COMPANY**

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**FAXNUMBER** 61297780999

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**FROM** GLOBALXMANAGEMENT

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**DATE** 2017-11-27 20:46:31 GMT

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**RE** SubstantialShareholdingforBerkeleyResources

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### COVER MESSAGE

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Attention:

**Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.**

Regards,



**Vanessa Yang** | Portfolio Administrator  
600 Lexington Ave, 20th floor | New York, NY 10022  
646-757-5405 | [globalxfunds.com](http://globalxfunds.com)



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**Form 604**

Corporations Law  
Section 671B

**Notice of change of interests of substantial holder**

To: Company Name/Scheme: **BERKELEY RESOURCES LTD**

ACN/ARSN: **AU-000009BKY-0**

**1. Details of substantial holder(s)**

Name: **Global X Management Company (GXMC)**

ACN/ARSN (if applicable):

There was a change in the interests of the substantial holder on: 11 / 22 / 17  
 The previous notice was given to the company on: 09 / 12 / 17  
 The previous notice was dated: 09 / 12 / 17

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
common stock	18,039,408	7.07%	21,017,872	8.26%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See attached					

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
GXMC	Global X Uranium ETF	Global X Uranium ETF	n/a	21,017,872	21,017,872
				Common Stock	

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Global X Management Co	Advisor to the Fund
Global X Uranium ETF	Exchange Traded Fund

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Global X Management Co	600 Lexington Avenue, 20th Floor   New York, NY 10022
Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA

**Signature**

print name: Chang Kim capacity: Portfolio Manager

sign here



date 11 / 27 / 2017

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Sec ID	Acct Name	Security Desc	Type	Trade Date	Settle Date	Units	Unit Price	Amount	Cleared Date	Trading Broker
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	REC	13-Nov-2017	15-Nov-2017	56300.0000	0.000000	0.00	15-Nov-2017	MERRILL LYNCH INTERNATIONAL LT
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	14-Nov-2017	16-Nov-2017	44147.0000	0.842900	37230.12	16-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	09-Nov-2017	13-Nov-2017	281500.0000	0.000000	41764.99	17-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	REC	10-Nov-2017	14-Nov-2017	225200.0000	0.000000	0.00	24-Nov-2017	CREDIT SUISSE SECURITIES (USA)
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	16-Nov-2017	20-Nov-2017	41449.0000	0.835400	34643.80	20-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	20-Nov-2017	21-Nov-2017	35245.0000	0.850400	29987.34	21-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	REC	20-Nov-2017	22-Nov-2017	225200.0000	0.000000	20668.31	22-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	21-Nov-2017	23-Nov-2017	19012.0000	0.858100	16322.36	23-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	REC	21-Nov-2017	23-Nov-2017	225200.0000	0.000000	0.00	23-Nov-2017	CREDIT SUISSE FIRST BOSTON
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	22-Nov-2017	24-Nov-2017	92149.0000	0.902200	83178.40	24-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	REC	22-Nov-2017	27-Nov-2017	112600.0000	0.000000	0.00	24-Nov-2017	GOLDMAN SACHS AND CO.
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	REC	22-Nov-2017	24-Nov-2017	563000.0000	0.000000	0.00	23-Nov-2017	CREDIT SUISSE FIRST BOSTON
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	13-Nov-2017	15-Nov-2017	62237.0000	0.865700	53905.51	15-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	10-Nov-2017	14-Nov-2017	22075.0000	0.837100	18488.22	14-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	09-Nov-2017	13-Nov-2017	84827.0000	0.8178100	74523.83	13-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	17-Oct-2017	19-Oct-2017	30187.0000	0.771900	23313.00	19-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	18-Oct-2017	20-Oct-2017	43386.0000	0.754600	32771.68	20-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	16-Oct-2017	18-Oct-2017	5186.0000	0.791400	4114.17	18-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	19-Oct-2017	23-Oct-2017	29694.0000	0.757900	22516.33	23-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	20-Oct-2017	24-Oct-2017	19823.0000	0.769500	15261.43	24-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	23-Oct-2017	25-Oct-2017	28480.0000	0.770200	21946.27	25-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	24-Oct-2017	26-Oct-2017	5911.0000	0.768600	4541.92	26-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	25-Oct-2017	27-Oct-2017	32289.0000	0.780200	25204.48	27-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	26-Oct-2017	30-Oct-2017	73556.0000	0.792300	58307.56	30-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	27-Oct-2017	31-Oct-2017	39718.0000	0.788300	31325.95	31-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	30-Oct-2017	01-Nov-2017	6945.0000	0.780700	5424.87	01-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	31-Oct-2017	02-Nov-2017	2041.0000	0.784100	1601.15	02-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	01-Nov-2017	03-Nov-2017	6352.0000	0.787500	5004.70	03-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	02-Nov-2017	06-Nov-2017	5097.0000	0.788600	4018.45	06-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	03-Nov-2017	07-Nov-2017	5142.0000	0.784300	4034.89	07-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	06-Nov-2017	08-Nov-2017	14279.0000	0.779700	11138.91	08-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	07-Nov-2017	09-Nov-2017	12572.0000	0.762500	9580.94	09-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	08-Nov-2017	10-Nov-2017	15028.0000	0.775000	11652.52	10-Nov-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	13-Oct-2017	17-Oct-2017	35120.0000	0.791400	27807.87	17-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	12-Oct-2017	16-Oct-2017	40241.0000	0.800700	32559.17	16-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	12-Sep-2017	14-Sep-2017	17382.0000	0.787100	13688.21	14-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	08-Sep-2017	12-Sep-2017	12973.0000	0.814300	10478.34	12-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	13-Sep-2017	15-Sep-2017	12973.0000	0.807300	10478.34	15-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	14-Sep-2017	18-Sep-2017	4942.0000	0.814500	4029.25	18-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	15-Sep-2017	19-Sep-2017	1946.0000	0.800400	1565.95	19-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	18-Sep-2017	20-Sep-2017	7892.0000	0.810000	6395.72	20-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	19-Sep-2017	21-Sep-2017	19618.0000	0.809200	15054.59	21-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	20-Sep-2017	22-Sep-2017	12615.0000	0.804100	10148.79	22-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	22-Sep-2017	26-Sep-2017	8991.0000	0.804700	7238.88	26-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	25-Sep-2017	27-Sep-2017	10188.0000	0.821500	8347.50	27-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	21-Sep-2017	25-Sep-2017	21320.0000	0.810100	17279.97	25-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	26-Sep-2017	29-Sep-2017	29040.0000	0.815900	23705.59	28-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	27-Sep-2017	29-Sep-2017	20658.0000	0.807400	16687.61	29-Sep-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	28-Sep-2017	02-Oct-2017	3519.0000	0.810600	2853.93	02-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	29-Sep-2017	03-Oct-2017	5219.0000	0.810000	4229.50	03-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	02-Oct-2017	04-Oct-2017	4710.0000	0.798700	3751.50	04-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	04-Oct-2017	06-Oct-2017	23077.0000	0.777500	17951.34	06-Oct-2017	CITIGROUP GLOBAL MARKETS INC
6589473	GLOBAL X URANIUM ETF	BERKELEY ENERGIA LTD /AUD/	RVP	07-Sep-2017	11-Sep-2017	11175.0000	0.810000	9056.28	11-Sep-2017	CITIGROUP GLOBAL MARKETS INC

GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	11-Sep-2017	13-Sep-2017	220680.0000	0.779800	172178.78	13-Sep-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	15-Aug-2017	17-Aug-2017	23638.0000	0.742000	17546.68	17-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	16-Aug-2017	18-Aug-2017	24582.0000	0.745000	18322.75	18-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	17-Aug-2017	21-Aug-2017	19313.0000	0.723400	13978.01	21-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	18-Aug-2017	22-Aug-2017	10414.0000	0.735400	7662.29	22-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	21-Aug-2017	23-Aug-2017	757.0000	0.744200	563.64	23-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	22-Aug-2017	24-Aug-2017	4913.0000	0.748500	3679.22	24-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	23-Aug-2017	25-Aug-2017	1088.0000	0.745700	796.81	25-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	24-Aug-2017	28-Aug-2017	690.0000	0.758500	523.63	28-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	25-Aug-2017	29-Aug-2017	2239.0000	0.755800	1693.09	29-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	28-Aug-2017	30-Aug-2017	6153.0000	0.760300	4680.47	30-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	29-Aug-2017	31-Aug-2017	5337.0000	0.770000	4111.54	31-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	31-Aug-2017	04-Sep-2017	83771.0000	0.828700	69455.74	04-Sep-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	01-Sep-2017	05-Sep-2017	14484.0000	0.834300	12090.04	05-Sep-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	05-Sep-2017	07-Sep-2017	47127.0000	0.803400	37880.76	07-Sep-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	06-Sep-2017	08-Sep-2017	25904.0000	0.810000	20892.73	08-Sep-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	11-Aug-2017	15-Aug-2017	40220.0000	0.760500	30862.80	15-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	14-Aug-2017	14-Aug-2017	88448.0000	0.772600	68316.00	14-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	28-Jul-2017	16-Aug-2017	181582.0000	0.739800	134419.70	16-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	01-Aug-2017	01-Aug-2017	6271.0000	0.774600	4659.95	01-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	31-Jul-2017	02-Aug-2017	3013.0000	0.770000	2321.17	02-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	01-Aug-2017	03-Aug-2017	401696.0000	0.770000	309460.57	03-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	02-Aug-2017	04-Aug-2017	4727.0000	0.758000	3584.86	04-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	03-Aug-2017	07-Aug-2017	43435.0000	0.760500	33048.84	07-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	04-Aug-2017	08-Aug-2017	12414.0000	0.765000	9501.46	08-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	07-Aug-2017	09-Aug-2017	129855.0000	0.793600	103130.44	09-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	08-Aug-2017	10-Aug-2017	25021.0000	0.776300	19433.51	10-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	09-Aug-2017	11-Aug-2017	24536.0000	0.768400	18813.79	11-Aug-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	05-Oct-2017	09-Oct-2017	30762.0000	0.790800	24332.60	09-Oct-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	06-Oct-2017	05-Oct-2017	6929.0000	0.876000	5460.01	05-Oct-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	06-Oct-2017	10-Oct-2017	40436.0000	0.779300	31527.53	10-Oct-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	09-Oct-2017	11-Oct-2017	1422.0000	0.785100	1116.97	11-Oct-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6588473	BERKELEY ENERGIA LTD /AUD/	RVP	10-Oct-2017	12-Oct-2017	19111.0000	0.803000	15353.80	12-Oct-2017	CITIGROUP	GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6589473	BERKELEY ENERGIA LTD /AUD/	RVP	11-Oct-2017	13-Oct-2017	18576.0000	0.802700	14918.42	13-Oct-2017	CITIGROUP	GLOBAL MARKETS INC