

SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Estimated average burden
 hours per response: 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>WHITE MILES D</u> (Last) (First) (Middle) <u>100 ABBOTT PARK ROAD</u> (Street) <u>ABBOTT PARK IL 60064-6400</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>ABBOTT LABORATORIES [ABT]</u> 3. Date of Earliest Transaction (Month/Day/Year) <u>12/14/2015</u> 4. If Amendment, Date of Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director 10% Owner ☒ Officer (give title below) Other (specify below) <u>Chairman and CEO</u> 6. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common shares without par value	12/14/2015		G	V	76,280	D	\$0	1,394,153	D	
Common shares without par value	12/16/2015		M		438,000	A	\$21.2194	1,832,153	D	
Common shares without par value	12/16/2015		S		296,778	D	\$46	1,535,375	D	
Common shares without par value	12/16/2015		S		14,781	D	\$46.005	1,520,594	D	
Common shares without par value	12/16/2015		S		400	D	\$46.0075	1,520,194	D	
Common shares without par value	12/16/2015		S		89,114	D	\$46.01	1,431,080	D	
Common shares without par value	12/16/2015		S		2,921	D	\$46.015	1,428,159	D	
Common shares without par value	12/16/2015		S		12,150	D	\$46.02	1,416,009	D	
Common shares without par value	12/16/2015		S		2,617	D	\$46.025	1,413,392	D	
Common shares without par value	12/16/2015		S		200	D	\$46.0275	1,413,192	D	
Common shares without par value	12/16/2015		S		14,439	D	\$46.03	1,398,753	D	
Common shares without par value	12/16/2015		S		1,100	D	\$46.035	1,397,653	D	
Common shares without par value	12/16/2015		S		1,300	D	\$46.04	1,396,353	D	
Common shares without par value	12/16/2015		S		300	D	\$46.045	1,396,053	D	
Common shares without par value	12/16/2015		S		1,900	D	\$46.05	1,394,153	D	
Common shares without par value								28,369 ⁽¹⁾	I	Profit Sharing Trust
Common shares without par value	12/14/2015		G	V	630	A	\$0	630 ⁽²⁾	I	By wife
Common shares without par value	12/14/2015		G	V	300	A	\$0	8,643 ⁽²⁾	I	By son
Common shares without par value	12/14/2015		G	V	300	A	\$0	8,552 ⁽²⁾	I	By son
Common shares without par value	12/14/2015		G	V	630	D	\$0	0 ⁽²⁾	I	By wife
Common shares without par value	12/14/2015		G	V	175	A	\$0	8,818 ⁽²⁾	I	By son
Common shares without par value	12/14/2015		G	V	280	A	\$0	8,832 ⁽²⁾	I	By son

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Option (right to buy) ⁽³⁾	\$21.2194	12/16/2015		M			438,000	02/17/2009	02/16/2016	Common shares	438,000	\$0	0	D	

Explanation of Responses:

- Balance in the Abbott Laboratories Stock Retirement Trust as of December 15, 2015.
- The reporting person disclaims beneficial ownership of all securities held by his wife and sons.
- Employee stock option granted pursuant to the Abbott Laboratories 1996 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

Remarks:

These option exercise and sale transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c).

John A. Berry, by power of attorney for Miles D. White 12/18/2015

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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