

Transparency notifications by BlackRock, Inc.

1) Transparency Declaration from 6 January 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 6 January 2020 that on 3 January 2020 it has crossed the statutory threshold of 3% upwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) BlackRock Inc. holds 5.24% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 6 January 2020
- Date on which the threshold is crossed: 3 January 2020
- Threshold of direct voting rights crossed upwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

| Holders of voting rights | Previous notification | | After the transaction | |
|-----------------------------------------------|-----------------------|-----------------|-----------------------|-----------------|
| | # voting rights | % voting rights | # voting rights | % voting rights |
| BlackRock, Inc. | 0 | 0.00% | 0 | 0.00% |
| BlackRock (Netherlands) B.V. | 25,079 | 0.01% | 25,079 | 0.01% |
| BlackRock (Singapore) Limited | 7,985 | 0.00% | 7,981 | 0.00% |
| BlackRock Advisors (UK) Limited | 603,265 | 0.26% | 628,600 | 0.26% |
| BlackRock Advisors, LLC | 530,527 | 0.21% | 529,360 | 0.21% |
| BlackRock Asset Management Canada Limited | 140,528 | 0.06% | 140,528 | 0.06% |
| BlackRock Asset Management Deutschland AG | 311,219 | 0.13% | 313,905 | 0.13% |
| BlackRock Asset Management North Asia Limited | 1,038 | 0.00% | 1,038 | 0.00% |

| | | | |
|-------------------------------------------------------------|------------------|------------------|--------------|
| BlackRock Financial Management, Inc. | 15,033 | 14,969 | 0.01% |
| BlackRock Fund Advisors | 690,022 | 686,788 | 0.28% |
| BlackRock Institutional Trust Company, National Association | 2,049,069 | 2,655,498 | 1.08% |
| BlackRock International Limited | 268,200 | 268,200 | 0.11% |
| BlackRock Investment Management (Australia) Limited | 81,496 | 81,496 | 0.03% |
| BlackRock Investment Management (UK) Limited | 1,949,895 | 1,934,488 | 0.79% |
| BlackRock Investment Management, LLC | 415,346 | 405,856 | 0.16% |
| BlackRock Japan Co., Ltd. | 57,379 | 67,213 | 0.03% |
| Subtotal | 7,146,081 | 7,760,999 | 3.15% |

B) Equivalent financial instruments

| Holders of equivalent financial instruments | Type of financial instrument | # voting rights that may be acquired if the instrument is exercised | % voting rights | Settlement |
|----------------------------------------------|------------------------------|---------------------------------------------------------------------|-----------------|------------|
| BlackRock Advisors (UK) Limited | Securities Lent | 806,768 | 0.33% | physical |
| BlackRock Advisors, LLC | Securities Lent | 47,045 | 0.02% | physical |
| BlackRock Fund Advisors | Securities Lent | 1,944,094 | 0.79% | physical |
| BlackRock Investment Management (UK) Limited | Securities Lent | 2,212,439 | 0.90% | physical |
| BlackRock Investment Management, LLC | Securities Lent | 23,910 | 0.01% | physical |
| BlackRock Japan Co., Ltd. | Securities Lent | 125,369 | 0.05% | physical |
| Subtotal | | 5,159,625 | 2.09% | |

C) Total A & B

| | # voting rights | % voting rights |
|--------------|-------------------|-----------------|
| TOTAL | 12,920,624 | 5.24% |

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

2) Transparency Declaration from 3 January 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 3 January 2020 that on 2 January 2020 it has crossed the statutory threshold of 3% downwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.24% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 3 January 2020
- Date on which the threshold is crossed: 2 January 2020
- Threshold of direct voting rights crossed downwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

| Holders of voting rights | Previous notification | After the transaction | |
|-------------------------------------------|-----------------------|-----------------------|-----------------|
| | | # voting rights | % voting rights |
| BlackRock, Inc. | 0 | 0 | 0.00% |
| BlackRock (Netherlands) B.V. | 25,079 | 25,079 | 0.01% |
| BlackRock (Singapore) Limited | 7,981 | 7,985 | 0.00% |
| BlackRock Advisors (UK) Limited | 644,937 | 603,265 | 0.24% |
| BlackRock Advisors, LLC | 591,422 | 530,527 | 0.22% |
| BlackRock Asset Management Canada Limited | 140,528 | 140,528 | 0.06% |
| BlackRock Asset Management Deutschland AG | 311,219 | 311,219 | 0.13% |

| | | | |
|-------------------------------------------------------------|------------------|------------------|--------------|
| BlackRock Asset Management North Asia Limited | 1,038 | 1,038 | 0.00% |
| BlackRock Financial Management, Inc. | 16,047 | 15,033 | 0.01% |
| BlackRock Fund Advisors | 2,637,543 | 690,022 | 0.28% |
| BlackRock Institutional Trust Company, National Association | 2,655,498 | 2,049,069 | 0.83% |
| BlackRock International Limited | 268,200 | 268,200 | 0.11% |
| BlackRock Investment Management (Australia) Limited | 81,496 | 81,496 | 0.03% |
| BlackRock Investment Management (UK) Limited | 2,002,750 | 1,949,895 | 0.79% |
| BlackRock Investment Management, LLC | 453,089 | 415,346 | 0.17% |
| BlackRock Japan Co., Ltd. | 64,491 | 57,379 | 0.02% |
| Subtotal | 9,901,318 | 7,146,081 | 2.90% |

B) Equivalent financial instruments

| Holders of equivalent financial instruments | Type of financial instrument | # voting rights that may be acquired if the instrument is exercised | % voting rights | Settlement |
|-------------------------------------------------------------|-------------------------------------|----------------------------------------------------------------------------|------------------------|-------------------|
| BlackRock Advisors (UK) Limited | Securities Lent | 806,768 | 0.33% | physical |
| BlackRock Advisors, LLC | Securities Lent | 47,045 | 0.02% | physical |
| BlackRock Fund Advisors | Securities Lent | 1,944,094 | 0.79% | physical |
| BlackRock Institutional Trust Company, National Association | Securities Lent | 606,429 | 0.25% | physical |
| BlackRock Investment Management (UK) Limited | Securities Lent | 2,212,439 | 0.90% | physical |
| BlackRock Investment Management, LLC | Securities Lent | 23,910 | 0.01% | physical |
| BlackRock Japan Co., Ltd. | Securities Lent | 125,369 | 0.05% | physical |
| Subtotal | | 5,766,054 | 2.34% | |

C) Total A & B

| | # voting rights | % voting rights |
|--------------|-------------------|-----------------|
| TOTAL | 12,912,135 | 5.24% |

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

This press release is available on [Umicore's website](#).

For more information

Investor Relations

| | | |
|-------------------|-----------------|----------------------------------------------------------------------------------|
| Evelien Goovaerts | +32 2 227 78 38 | evelien.goovaerts@umicore.com |
| Eva Behaeghe | +32 2 227 70 68 | eva.behaeghe@umicore.com |
| Aurélie Bultynck | +32 2 227 74 34 | aurelie.bultynck@umicore.com |

About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life. Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 1.6 billion (turnover of € 7.6 billion) in the first half of 2019 and currently employs 10,700 people.