

Transparency notifications by BlackRock, Inc.

1. Transparency Notification from 16 January

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 16 January 2020 that on 15 January 2020 it has crossed the statutory threshold of 3% upwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.31% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 16 January 2020
- Date on which the threshold is crossed: 15 January 2020
- Threshold of direct voting rights crossed upwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

	Previous notification	After the transaction	
Holders of voting rights	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	7,981	7,981	0.00%
BlackRock Advisors (UK) Limited	773,279	852,082	0.35%
BlackRock Advisors, LLC	528,742	528,742	0.21%
BlackRock Asset Management Canada Limited	140,528	140,528	0.06%
BlackRock Asset Management Deutschland AG	322,977	320,247	0.13%
BlackRock Asset Management North Asia Limited	1,273	1,038	0.00%

BlackRock Financial Management, Inc.	14,714	14,714	0.01%
BlackRock Fund Advisors	771,195	908,258	0.37%
BlackRock Institutional Trust Company, National Association	2,674,512	2,674,512	1.09%
BlackRock International Limited	268,200	268,200	0.11%
BlackRock Investment Management (Australia) Limited	81,496	81,496	0.03%
BlackRock Investment Management (UK) Limited	678,530	1,681,826	0.68%
BlackRock Investment Management, LLC	404,507	404,073	0.16%
BlackRock Japan Co., Ltd.	143,299	168,371	0.07%
Subtotal	6,836,312	8,077,147	3.28%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	659,170	0.27%	physical
BlackRock Advisors, LLC	Securities Lent	47,663	0.02%	physical
BlackRock Fund Advisors	Securities Lent	1,723,821	0.70%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,427,123	0.99%	physical
BlackRock Investment Management, LLC	Securities Lent	26,816	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	130,838	0.05%	physical
Subtotal		5,015,431	2.04%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	13,092,578	5.31%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

2. Transparency Notification from 15 January

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 15 January 2020 that on 14 January 2020 it has crossed the statutory threshold of 3% downwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.27% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 15 January 2020
- Date on which the threshold is crossed: 14 January 2020
- Threshold of direct voting rights crossed downwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

	Previous notification	After the transaction	
Holders of voting rights	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	7,981	7,981	0.00%
BlackRock Advisors (UK) Limited	628,600	773,279	0.31%
BlackRock Advisors, LLC	529,360	528,742	0.21%
BlackRock Asset Management Canada Limited	140,528	140,528	0.06%
BlackRock Asset Management Deutschland AG	313,905	322,977	0.13%

BlackRock Asset Management North Asia Limited	1,038	1,273	0.00%
BlackRock Financial Management, Inc.	14,969	14,714	0.01%
BlackRock Fund Advisors	686,788	771,195	0.31%
BlackRock Institutional Trust Company, National Association	2,655,498	2,674,512	1.09%
BlackRock International Limited	268,200	268,200	0.11%
BlackRock Investment Management (Australia) Limited	81,496	81,496	0.03%
BlackRock Investment Management (UK) Limited	1,934,488	678,530	0.28%
BlackRock Investment Management, LLC	405,856	404,507	0.16%
BlackRock Japan Co., Ltd.	67,213	143,299	0.06%
Subtotal	7,760,999	6,836,312	2.77%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	660,948	0.27%	physical
BlackRock Advisors, LLC	Securities Lent	47,663	0.02%	physical
BlackRock Fund Advisors	Securities Lent	1,862,863	0.76%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	3,431,240	1.39%	physical
BlackRock Investment Management, LLC	Securities Lent	26,816	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	130,838	0.05%	physical
Subtotal		6,160,368	2.50%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,996,680	5.27%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

This press releases are available on [Umicore's website](#).

For more information

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About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life. Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 1.6 billion (turnover of € 7.6 billion) in the first half of 2019 and currently employs 10,700 people.