

Press release

Regulated information 27 February 2020 - 18:30 CET

# Transparency notification by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 24 February 2020 that on 21 February 2020 it has crossed the statutory threshold of 5% downwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 4.99% of Umicore's shares and voting rights.

#### Notification details:

• Date of notification: 24 February 2020

• Date on which the threshold is crossed: 21 February 2020

• Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%

Notification by: BlackRock, Inc.Denominator: 246,400,000

Notification details:

### A) Voting rights

|  | Previous notification | After the t     | ransaction      |
|--|-----------------------|-----------------|-----------------|
| Holders of voting rights                         | # voting rights       | # voting rights | % voting rights |
| BlackRock, Inc.                                  | 0                     | 0               | 0.00%           |
| BlackRock (Netherlands) B.V.                     | 25,079                | 25,079          | 0.01%           |
| BlackRock (Singapore) Limited                    | 7,981                 | 7,981           | 0.00%           |
| BlackRock Advisors (UK) Limited                  | 1,210,857             | 1,010,746       | 0.41%           |
| BlackRock Advisors, LLC                          | 246,913               | 246,913         | 0.10%           |
| BlackRock Asset Management<br>Canada Limited     | 133,210               | 133,210         | 0.05%           |
| BlackRock Asset Management<br>Deutschland AG     | 317,155               | 315,813         | 0.13%           |
| BlackRock Asset Management<br>North Asia Limited | 1,038                 | 1,171           | 0.00%           |



| BlackRock Financial<br>Management, Inc.                        | 26,299    | 15,419    | 0.01% |
|--|-----------|-----------|-------|
| BlackRock Fund Advisors  | 2,153,474 | 2,381,809 | 0.97% |
| BlackRock Institutional Trust<br>Company, National Association | 2,690,044 | 2,690,044 | 1.09% |
| BlackRock International Limited                                | 120,595   | 120,595   | 0.05% |
| BlackRock Investment<br>Management (Australia) Limited         | 81,496    | 81,496    | 0.03% |
| BlackRock Investment<br>Management (UK) Limited                | 821,652   | 1,241,893 | 0.50% |
| BlackRock Investment<br>Management, LLC                        | 480,407   | 497,019   | 0.20% |
| BlackRock Japan Co., Ltd.                                      | 55,200    | 55,214    | 0.02% |
| Subtotal   | 8,371,400 | 8,824,402 | 3.58% |

# B) Equivalent financial instruments

| Holders of equivalent financial instruments     | Type of financial instrument | # voting rights that may<br>be acquired if the<br>instrument is exercised | % voting rights | Settlement |
|---|------------------------------|---|-----------------|------------|
| BlackRock Advisors (UK) Limited                 | Securities Lent              | 723,847   | 0.29%           | physical   |
| BlackRock Advisors, LLC                         | Securities Lent              | 47,663  | 0.02%           | physical   |
| BlackRock Fund Advisors                         | Securities Lent              | 297,323   | 0.12%           | physical   |
| BlackRock Investment<br>Management (UK) Limited | Securities Lent              | 2,293,821   | 0.93%           | physical   |
| BlackRock Investment<br>Management, LLC         | Securities Lent              | 8,106   | 0.00%           | physical   |
| BlackRock Japan Co., Ltd.                       | Securities Lent              | 118,125   | 0.05%           | physical   |
| Subtotal  |                              | 3,488,885   | 1.42%           |            |



## C) Total A & B

|       | # voting rights | % voting rights |
|-------|-----------------|-----------------|
| TOTAL | 12,313,287      | 4.99%           |

The chain of control has been described at the end of the declaration.

The notification can be found here.

This press releases are available on **Umicore's website**.

### For more information

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### **About Umicore**

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated in 2019 revenues (excluding metal) of € 3.4 billion (turnover of € 17.5 billion) and currently employs 11,100 people.