



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “东方证券股份有限公司” and carrying on business in Hong Kong as “東方證券” (in Chinese) and “DFZQ” (in English))

(Stock Code: 03958)

## LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the “**Board**”) of the Company are set out below:

### **Executive Directors**

Mr. PAN Xinjun (*Chairman*)

Mr. JIN Wenzhong (*President*)

### **Non-executive Directors**

Mr. WU Jianxiong (*Vice chairman*)

Mr. ZHANG Qian

Mr. WU Junhao

Mr. CHEN Bin

Mr. LI Xiang

Ms. HUANG Laifang

Mr. ZHOU Yao

### **Independent Non-executive Directors**

Mr. LI Zhiqiang

Mr. XU Guoxiang

Mr. TAO Xiuming

Mr. WEI Anning

Mr. PAN Fei

Mr. XU Zhiming

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

<b>Director</b>	<b>Board Committee</b>	<b>Strategy Development Committee</b>	<b>Compliance and Risk Management Committee</b>	<b>Audit Committee</b>	<b>Remuneration and Nomination Committee</b>
Mr. PAN Xinjun		M	C		
Mr. JIN Wenzhong		M	M		
Mr. WU Jianxiong		C			
Mr. ZHANG Qian					M
Mr. WU Junhao				M	
Mr. CHEN Bin					M
Mr. LI Xiang			M		
Ms. HUANG Laifang					
Mr. ZHOU Yao			M		
Mr. LI Zhiqiang			M		C
Mr. XU Guoxiang				M	M
Mr. TAO Xiuming		M			
Mr. WEI Anning				M	M
Mr. PAN Fei				C	
Mr. XU Zhiming					

*Notes:*

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, 12 July 2016