



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name "东方证券股份有限公司" and carrying on business in Hong Kong as "東方證券" (in Chinese) and "DFZQ" (in English))

(Stock Code: 03958)

## LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the "Board") of 東方證券股份有限公司 (the "Company") are set out below:

### Executive Director

Mr. SONG Xuefeng

Mr. JIN Wenzhong (*Chairman, President*)

### Non-executive Directors

Mr. YU Xuechun

Mr. LIU Wei

Mr. ZHOU Donghui

Mr. CHENG Feng

Mr. REN Zhixiang

Ms. ZHU Jing (*Employee Director*)

### Independent Non-executive Directors

Mr. XU Zhiming

Mr. JIN Qinglu

Mr. WU Hong

Mr. FENG Xingdong

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

<b>Director</b> \ <b>Board Committee</b>	<b>Strategy Development Committee</b>	<b>Compliance and Risk Management Committee</b>	<b>Audit Committee</b>	<b>Remuneration and Nomination Committee</b>
Mr. SONG Xuefeng	C			
Mr. JIN Wenzhong	M	C		
Mr. YU Xuechun		M	M	
Mr. LIU Wei				M
Mr. ZHOU Donghui			M	M
Mr. CHENG Feng	M	M		
Mr. REN Zhixiang		M		
Ms. ZHU Jing	M			
Mr. XU Zhiming	M			
Mr. JIN Qinglu			C	M
Mr. WU Hong		M		C
Mr. FENG Xingdong			M	M

*Notes:*

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, March 5, 2021