



SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021.

Tel. : 91 22 4009 0500 Fax : 91 22 2284 6585

Email id : investors@shriyam.com Website : www.shardulsecurities.com

October 16, 2019

The Manager
Department of Corporate Services
The Stock Exchange, Mumbai
P. J. Towers, Dalal Street
Mumbai- 400 001.

Dear Sir,

Re: Our Security Code No.512393

Sub: Submission of Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to the captioned matter, please find enclosed herewith Certificate duly signed by both Compliance Officer of the Company and by the authorized representative of our Share Transfer Agent, Link Intime India Private limited for half year ended 30th September, 2019 pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly acknowledge the receipt and take the above on record.

Thanking you,

Yours faithfully,

For SHARDUL SECURITIES LTD.

DAYA BHALIA
(DIRECTOR & COMPANY SECRETARY)

Encl: As above





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Date: 10th October 2019

BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400001

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Stock Code: 512393

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period April 1, 2019 to September 30, 2019 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number : INR000004058.

Thanking you, we are,
Yours truly,

For Shardul Securities Ltd

Daya Sagar Bhalia
(Compliance Officer)



For Link Intime India Private Limited

Authorized Representative

