



Ranjeetkumar Sharma & Associates
Company Secretaries

**B-26 Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist.Thane-401202 Mobile: +91 97643 22951, Email: csranjeetsharma@gmail.com
UCN:- S2016MH359900, PRC:- 2758/2022**

SECRETARIAL COMPLIANCE REPORT

[Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019]

Secretarial Compliance Report of SVC Industries Limited for the year ended 31/03/2023, Ranjeet Sharma have examined:

- a) all the documents and records made available to us and explanation provided by SVC Industries Limited ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity.
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31st March, 2023 ("Review Period") in respect of compliance with the provisions of :
 - a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, includes:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**



Ranjeetkumar Sharma & Associates
Company Secretaries

B-26 Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist.Thane-401202 Mobile: +91 97643 22951, Email: csranjeetsharma@gmail.com
UCN:- S2016MH359900, PRC:- 2758/2022

- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable as there was no reportable event during the year under review)**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable as there was no reportable event during the year under review)**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable as there was no reportable event during the year under review)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder except



Ranjeetkumar Sharma & Associates
Company Secretaries

B-26 Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist.Thane-401202 Mobile: +91 97643 22951, Email: csranjeetsharma@gmail.com
UCN:- S2016MH359900, PRC:- 2758/2022

a. for the following:

Sr.	Compliance Requirement	Deviation	Observation
1.	There is non-compliance against which penalty was imposed by exchange and company has given clarification against the same. The Non-Compliance are as follows: (i) Delay in filing of Shareholding Pattern for the quarter ended 30th June, 2022 (ii) Delay in filing of Shareholding Pattern for the quarter ended 31st December, 2022 (iii) As per Regulation 30 of SEBI Listing Regulations, Outcome of meeting of Board of Directors in which financial results have been approved are to be disclosed to Stock Exchanges within 30 minutes of the closure of meeting. iv) As per Regulation 17(1A) Non-compliance with the requirements pertaining to appointment or continuation of non-executive director who has attained the age of seventy-five	Due to technical error, the shareholding patterns is not yet submitted. There was a delay in compliance by 4 days. Delay of 2 days in Board Meeting prior Intimation for the September Quarter held on 12th August, 2022. Non appointment of Mr. Subhash Chandra Rustogi as Independent	Yet to comply Duly Complied on 25th January, 2023. There was a delay of _2 days in submission of intimation of meeting of Board of Directors held on 12th August, 2022 during the period under review. Representation made to BSE vide email dated 22.11.2022, as at the time of appointment, the



Ranjeetkumar Sharma & Associates
Company Secretaries

B-26 Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist.Thane-401202 Mobile: +91 97643 22951, Email: csranjeetsharma@gmail.com
UCN:- S2016MH359900, PRC:- 2758/2022

	years	Director by way of Special Resolution	approval was taken as special Resolution
--	-------	---------------------------------------	--

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr No.	Action taken by	Details of violations	Details of action taken E.g Fines, warning letters, debarment etc.	Observations/remarks of practicing Company secretary, if any
NIL	NIL	NIL	NIL	NIL

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr No.	Observations/remarks of practicing Company secretary in the previous report	Observation made in the Secretarial Compliance Report FYE 31/03/2023	Action taken by the listed entity, if any	Comments of the Company Secretary on the actions taken by the listed entity
--------	---	--	---	---



Ranjeetkumar Sharma & Associates
Company Secretaries

**B-26 Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist.Thane-401202 Mobile: +91 97643 22951, Email: csranjeetsharma@gmail.com
UCN:- S2016MH359900, PRC:- 2758/2022**

	Compliance Officer Regulation 6 (1) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015	The Company is yet to appoint the Compliance officer.	The Company is in the process of appointing whole-time Company Secretary and Compliance Officer	Said observation was duly complied.
--	--	---	---	-------------------------------------

For Ranjeet Kumar Sharma and Associates Company Secretary

**Ranjeet Sharma
Proprietor
C.P. No. 13242
UDIN Number - A027079E000411672**

**Place: Mumbai
Date:- 29th May, 2023**