

HDFC CPO - II - 36M May 2014

Annexure 2

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance								-
02-Jun-14	Emkay Global Financial Services Limited	NSE	INB 230901838	Purchase	20,000	1,502,794.00	-	-	0.01
14-Aug-14	Closing Balance							20,000	0.01





HDFC Balanced Fund

Annexure 3

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance							2,600,000	1.09
08-May-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	15,047	947,250.83	-	-	0.01
08-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	4,953	312,078.57	-	-	0.00
08-May-14	Prabhudas Lilladher Private Limited	NSE	INB 010502855	Purchase	4,700	297,393.87	-	-	0.00
08-May-14	Prabhudas Lilladher Private Limited	BSE	INB 010502855	Purchase	3,380	213,727.60	-	-	0.00
22-Jul-14	Emkay Global Financial Services Limited	NSE	INB 230901838	Purchase	43,300	3,655,137.00	-	-	0.02
14-Aug-14	Prabhudas Lilladher Private Limited	BSE	INB 010502855	Purchase	15,000	1,344,292.00	-	-	0.01
14-Aug-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Purchase	20,000	1,789,296.00	-	-	0.01
14-Aug-14	Closing Balance							2,706,380	1.14





HDFC Premier Multi-Cap Fund

Annexure 4

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance							-	-
23-Apr-14	ICICI Securities Ltd.	NSE	INB 230773037	Purchase	28,010	1,768,001.78	-	-	0.01
25-Apr-14	ICICI Securities Ltd.	NSE	INB 230773037	Purchase	102,000	6,438,584.80	-	-	0.04
30-Apr-14	HDFC Securities Ltd.	NSE	INB 231109431	Purchase	44,295	2,663,440.93	-	-	0.02
30-Apr-14	HDFC Securities Ltd.	BSE	INB 011109437	Purchase	12,425	746,990.50	-	-	0.01
08-May-14	Prabhudas Lilladher Private Limited	BSE	INB 010502855	Purchase	2,820	178,317.40	-	-	0.00
12-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	10,000	630,750.00	-	-	0.00
13-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	10,000	628,756.00	-	-	0.00
13-May-14	Nirmal Bang Equities Private Ltd	BSE	INB011343739	Purchase	2,000	125,525.00	-	-	0.00
14-Aug-14	Closing Balance							211,550	0.09





HDFC Prudence Fund

Annexure 5

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance							2,361,000	0.99
10-Apr-14	Dhanki Securities Private Limited	NSE	INB 230995632	Purchase	107,000	6,539,658.10	-	-	0.04
11-Apr-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	53,000	3,159,304.00	-	-	0.02
07-May-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	13,000	782,341.00	-	-	0.01
07-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	37,000	2,263,306.80	-	-	0.02
12-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	140,000	8,830,507.00	-	-	0.06
13-May-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	5,000	313,813.00	-	-	0.00
13-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	150,000	9,431,333.00	-	-	0.06
13-May-14	Nirmal Bang Equities Private Ltd	NSE	INB231343733	Purchase	29,000	1,817,718.60	-	-	0.01
23-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	160,000	12,015,560.00	-	-	0.07
14-Aug-14	Closing Balance							3,055,000	1.28





HDFC Small and Mid-Cap Fund

Annexure 6

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance								-
14-Jul-14	Dalal & Broacha Stock Broking Pvt. Ltd.	NSE	INB 230980634	Purchase	20,800	1,578,634.08	-	-	0.01
16-Jul-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Purchase	22,500	1,749,757.50	-	-	0.01
18-Jul-14	Batlivala & Karani Securities India Pvt. Ltd.	NSE	INB 231040537	Purchase	5,000	399,234.00	-	-	0.00
18-Jul-14	Anand Rathi Share and Stock Brokers Ltd.	NSE	INB 230676935	Purchase	95,000	7,521,511.00	-	-	0.04
18-Jul-14	Anand Rathi Share and Stock Brokers Ltd.	NSE	INB 230676935	Purchase	400,000	31,669,520.00	-	-	0.17
22-Jul-14	Emkay Global Financial Services Limited	NSE	INB 230901838	Purchase	56,700	4,786,288.00	-	-	0.02
30-Jul-14	Antique Stock Broking Ltd.	NSE	INB 231298739	Purchase	100,000	7,967,490.00	-	-	0.04
14-Aug-14	Closing Balance							700,000	0.29





HDFC Mid-Cap Opportunities Fund

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance							2,640,517	1.11
03-Apr-14	Anand Rathi Share and Stock Brokers Ltd.	NSE	INB 230676935	Purchase	269,640	16,281,550.97	-	-	0.11
04-Apr-14	Anand Rathi Share and Stock Brokers Ltd.	BSE	INB 010676931	Purchase	282,000	17,450,654.00	-	-	0.12
04-Apr-14	Anand Rathi Share and Stock Brokers Ltd.	NSE	INB 230676935	Purchase	10,000	618,727.00	-	-	0.00
09-Apr-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Purchase	99,500	5,931,233.55	-	-	0.04
09-Apr-14	Prabhudas Lilladher Private Limited	BSE	INB 010502855	Purchase	1,000	59,820.00	-	-	0.00
11-Apr-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	47,000	2,801,647.00	-	-	0.02
21-Apr-14	HDFC Securities Ltd.	BSE	INB 011109437	Purchase	9,500	598,432.00	-	-	0.00
21-Apr-14	HDFC Securities Ltd.	NSE	INB 231109431	Purchase	14,000	878,671.40	-	-	0.01
28-Apr-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	3,000	184,778.80	-	-	0.00
28-Apr-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	6,000	368,408.00	-	-	0.00
07-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	33,000	2,018,625.20	-	-	0.01
07-May-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	12,000	722,161.00	-	-	0.01
23-May-14	Centrum Broking Limited	NSE	INB 231251134	Purchase	159,000	11,940,462.70	-	-	0.07
26-May-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Purchase	175,900	13,502,174.01	-	-	0.07
26-May-14	Centrum Broking Limited	BSE	INB 011251130	Purchase	100,000	7,864,850.00	-	-	0.04
28-May-14	Dhandl Securities Private Limited	NSE	INB 230995632	Purchase	40,000	3,086,160.00	-	-	0.02
28-May-14	Direct	BSE	Direct	Inter Scheme Purchase	50,735	4,020,748.75	-	-	0.02
04-Jun-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Purchase	30,000	2,246,870.00	-	-	0.01
04-Jun-14	Prabhudas Lilladher Private Limited	BSE	INB 010502855	Purchase	12,207	912,162.55	-	-	0.01
18-Jun-14	IDFC Securities Ltd.	NSE	INB 231291437	Purchase	36,000	2,947,629.40	-	-	0.02
18-Jun-14	IDFC Securities Ltd.	BSE	INB 011291433	Purchase	4,200	343,315.00	-	-	0.00
08-Jul-14	HDFC Securities Ltd.	NSE	INB 231109431	Purchase	36,000	2,889,791.40	-	-	0.02
09-Jul-14	Equirus Securities Private Limited	NSE	INB 231109432	Purchase	184,000	14,706,209.20	-	-	0.08
09-Jul-14	HDFC Securities Ltd.	NSE	INB 231109431	Purchase	16,000	1,274,016.60	-	-	0.01
18-Jul-14	Anand Rathi Share and Stock Brokers Ltd.	NSE	INB 230676935	Purchase	59,619	4,750,138.15	-	-	0.03
23-Jul-14	Emkay Global Financial Services Limited	NSE	INB 230901838	Purchase	5,195	429,397.29	-	-	0.00
23-Jul-14	Emkay Global Financial Services Limited	BSE	INB 010901838	Purchase	4,805	397,144.85	-	-	0.00
24-Jul-14	Equirus Securities Private Limited	NSE	INB 231109432	Purchase	100,000	8,443,525.00	-	-	0.04
24-Jul-14	Emkay Global Financial Services Limited	NSE	INB 230901838	Purchase	10,000	851,764.00	-	-	0.00
12-Aug-14	Elara Securities (India) Private Limited	BSE	INB011289833	Purchase	500,000	45,095,000.00	-	-	0.21
12-Aug-14	Elara Securities (India) Private Limited	BSE	INB011289833	Purchase	549,182	49,530,724.20	-	-	0.23
13-Aug-14	HDFC Securities Ltd.	BSE	INB 011109437	Purchase	54,200	4,881,245.00	-	-	0.02
13-Aug-14	HDFC Securities Ltd.	NSE	INB 231109431	Purchase	223,200	20,097,138.52	-	-	0.09
13-Aug-14	Closing Balance							5,777,400	2.43





HDFC Multiple Yield Fund - Plan 2005

Annexure 8

Trade Date	Trading Member through whom the trade was executed	Exchange on which the trade was executed	SEBI Registration No. of the Trading Member	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Mar-14	Opening Balance							270,000	0.11
27-Mar-14	SBCap Securities Limited	NSE	INB231052938	Sale	-	-	20,000	1,094,815.00	0.01
31-Mar-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Sale	-	-	10,000	572,996.00	0.00
10-Apr-14	Prabhudas Lilladher Private Limited	NSE	INB 230597738	Sale	-	-	9,265	564,044.10	0.00
28-May-14	Centrum Broking Limited	BSE	INB 011251130	Inter Scheme Sale	-	-	50,735	4,020,748.75	0.02
14-Aug-14	Closing Balance							180,000	0.08



