

November 08, 2023

To

The Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No. C-1, G- Block,
Bandra - Kurla Complex, Bandra (East)
Mumbai – 400 051.

BSE Limited
Corporate Relationship Department
25, P.J. Towers,
Dalal Street,
Mumbai 400 001.

Symbol: RPGLIFE

Scrip Code: 532983

Dear Sirs,

Sub: Reporting of violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

We submit herewith a report in Annexure-A with the details as per SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

Kindly take the same on record.

Thanking you,

Yours faithfully,
For RPG Life Sciences Limited
RAJESH RAMESH SHIRAMBEKAR
Digitally signed by RAJESH RAMESH SHIRAMBEKAR
Date: 2023.11.08 16:41:29 +05'30'

Rajesh Shirambekar
Head – Legal & Company Secretary



Encl: as above

Annexure A

Report by RPG Life Sciences Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

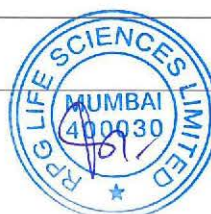
Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	RPG Life Sciences Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Kartick Patra
	ii. PAN of the DP	AILPP6755A
	iii. Designation of DP	Plant Head- API
	iv. Functional Role of DP	Plant Head- API
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	532983 (BSE) RPG LIFE (NSE)
	ii. No of shares traded and value (Rs.) (Date- wise)	The Designated Person has sold 70 shares on November 2, 2023 @ Rs. 1,447.95 per share, aggregating to Rs.1,01,356.50/-



D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter		
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/ promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not applicable
4	Details of violations observed under Code of Conduct	The Designated Person has sold 70 shares on November 2, 2023 @ Rs. 1,447.95 per share without observing the provisions of Code of Conduct by entering into a contra-trade transaction by trading in shares within six month of last date of transaction.
5	Action taken by Listed company/ Intermediary/ Fiduciary	Employee has been asked to remit the entire profit to Investor Protection and Education Fund administered by SEBI. A warning Letter has been issued to the employee cautioning due compliance of the Code.



6	Reasons recorded in writing for taking action stated above	Mr. Kartick Patra has expressed that: "I have inadvertently sold 70 shares of the Company on November 2, 2023, by entering into a contra- trade transaction by trading in shares within six month of last date of transaction. I am aware of the policies and framework. However, the transaction was inadvertently entered by me. Also confirming that he did not have any price sensitive information while entering into the transaction." It was also noted that it's a first instance of non-compliance by the concerned employee.												
7	Details of the previous instances of violations, if any, since last financial year	Not applicable												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/payment													
	In case of Online:													
	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the transferor</td><td>Mr. Kartick Patra</td></tr><tr><td>Bank Name, Branch and Account Number IFSC Code</td><td>IDBI Bank A, Technocity, Plot No. X, 4/1-2 Opp. Millenium Business Park, Mahape, Navi Mumbai- 400 710 1110104000014021 IBKL0001110</td></tr><tr><td>UTR/Transaction Reference Number</td><td>231108168323846</td></tr><tr><td>Transaction Date</td><td>08.11.2023</td></tr><tr><td>Transaction amount (in Rs.)</td><td>81,242/-</td></tr></table>	Particulars	Details	Name of the transferor	Mr. Kartick Patra	Bank Name, Branch and Account Number IFSC Code	IDBI Bank A, Technocity, Plot No. X, 4/1-2 Opp. Millenium Business Park, Mahape, Navi Mumbai- 400 710 1110104000014021 IBKL0001110	UTR/Transaction Reference Number	231108168323846	Transaction Date	08.11.2023	Transaction amount (in Rs.)	81,242/-	
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9	Any other relevant information	-
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Date: 08.11.2023
Place: Mumbai

Yours faithfully,
For RPG Life Sciences Limited
RAJESH RAMESH SHIRAMBEKAR
Rajesh Shirambekar
Head – Legal & Company Secretary

PAN: ADUPS7947P
Email ID: cosec@rpglis.com

Digitally signed by RAJESH
RAMESH SHIRAMBEKAR
Date: 2023.11.08 16:41:57 +05'30'

