

27th October, 2020

BSE Limited
Department of Corporate Services
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400 001

National Stock Exchange of India Limited
Exchange Plaza, Listing Department
Bandra-Kurla Complex
Bandra (East), Mumbai 400 051

Dear Sir,

Sub: Compliance of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations'), we enclose herewith copy of Certificate dated 26th October, 2020 received today from M/s. N. L. Bhatia & Associates, Company Secretaries, confirming dispatch of share certificates received by the Company for transfer / transmission / split / renewal, during the period between 1st April, 2020 and 30th September, 2020 in compliance with Regulation 40(9) of the Listing Regulations.

Thanking You,

Yours faithfully,
VOLTAS LIMITED

V. P. Malhotra
Vice President – Taxation,
Legal & Company Secretary

Encl.

VOLTAS LIMITED

Corporate Management Office

Registered Office Voltas House 'A' Dr Babasaheb Ambedkar Road Chinchpokli Mumbai 400 033 India
Tel 91 22 66656251 66656258 Fax 91 22 66656311 e-mail vpmalhotra@voltas.com website www.voltas.com

Corporate Identity Number L29308MH1954PLC009371

A **TATA** Enterprise



N L BHATIA & ASSOCIATES
PRACTISING COMPANY SECRETARIES

Tel. : 91-022-2510 0718
Tel. : 91-022-2510 0698
E-mail : navnitlb@hotmail.com
brupadhyay@hotmail.com
Website : www.nlba.in

CERTIFICATE

This certificate is issued pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 pursuant to uniform Listing Agreement of BSE Limited and National Stock Exchange of India Limited.

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and its press release on December 3, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

We have examined all relevant records of Voltas Limited, maintained and provided electronically by its Registrar and Share Transfer Agent, TSR Darashaw Consultants Private Limited, 6-10, Haji Moosa Patrawala Industrial Estate, 20, Dr. E. Moses Road, Near Famous Studio, Mahalaxmi, Mumbai 400 011 and on the basis of such examination, checks carried out by us and explanation given to us, we hereby certify that during the period from April 1, 2020 to September 30, 2020, the requests received for transmission / split / renewal have been processed within regulatory timelines, however, due to Covid-19 pandemic lockdown, the share certificates were dispatched and delivered post resumption of postal services, as entered in the Memorandum of Transfer from the respective date of lodgement, within the time permitted by SEBI vide Circular No. SEBI/HO/MISD/DOP/CIR/P/2020/142 dated July 29, 2020.

We further certify that, during half year ended September 30, 2020, the Company has due to Covid-19 pandemic lockdown, dispatched and delivered share certificates after resumption of postal services in respect of transfer of shares only relating to the transfer deeds lodged prior to April 1, 2019 and re-lodged thereafter rectifying the deficiency, as entered in the Memorandum of Transfers. excepting those rejected on technical grounds.

Date: October 26, 2020

Place: Mumbai



For M/s. N L Bhatia & Associates
Practising Company Secretaries
UIN: P1996MH055800
UDIN: F008663B001062804

Bhaskar Upadhyay
Partner
FCS No. 8663
COP No. 9625