



Kotak Mahindra Bank

8th January 2021

The Manager Corporate Relationship, BSE Limited, 1st Floor, New Trading Ring, Rotunda Buliding, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir/Madam,

**Sub:** Disclosure under Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("**PIT Regulations**")

Pursuant to Regulation 5(5) of the PIT Regulations, please find enclosed, in **Annexure A**, the trading plan dated 5th January 2021 as informed by Mr Pankaj Tibrewal, Sr. Executive Vice President and Equity Fund Manager, an employee of Kotak Mahindra Asset Management Co. Limited, the Bank's subsidiary company.

This is for your information and appropriate dissemination.

Yours faithfully,  
**Kotak Mahindra Bank Limited**

**Avan Doomasia**  
Senior Executive Vice President &  
Company Secretary



Kotak Mahindra Bank Ltd.  
CIN: L65110MH1985PLC038137

Registered Office:  
27 BKC, C 27, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai 400051,  
Maharashtra, India.

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**TRADING PLAN**(For the period from 5<sup>th</sup> August 2021 to 4<sup>th</sup> August 2022)

To  
The Compliance Officer,  
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

**Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015**

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.14 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, **Pankaj Tibrewal**, hereby give my trading plan / ~~trading plan of my immediate relatives~~ to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee:	<b>PANKAJ TIBREWAL</b>
Name of the Immediate Relative	NA
Relation with Immediate Relative	NA
Designation:	<b>Sr. Executive Vice President and Equity Fund Manager</b>
Date of Submission:	<b>January 5, 2021</b>
Period of Trading:	<b>As per the plan mentioned in the table below</b>
No trading period:	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		Period or Dates of Proposed Trades			Quantity or Value of Proposed Trades	
					Quantity	Value
Name	Relation with insider	From	To	Date of Trade	Sell	Sell
Pankaj Tibrewal	Self	5 <sup>th</sup> Aug 2021	6 <sup>th</sup> Aug 2021		1500	
		9 <sup>th</sup> August 2021	13 <sup>th</sup> Aug 2021		1500	
		16 <sup>th</sup> August 2021	21 <sup>st</sup> August 2021		1500	
		23 <sup>rd</sup> August 2021	27 <sup>th</sup> August 2021		1500	

<b>Pankaj Tibrewal</b>	<b>Self</b>	<b>8<sup>th</sup> Nov 2021</b>	<b>12<sup>th</sup> Nov 2021</b>	<b>2000</b>	
		<b>15<sup>th</sup> Nov 2021</b>	<b>19<sup>th</sup> Nov 2021</b>	<b>2000</b>	
		<b>22<sup>nd</sup> Nov 2021</b>	<b>26<sup>th</sup> Nov 2021</b>	<b>2000</b>	
<b>Pankaj Tibrewal</b>	<b>Self</b>	<b>7<sup>th</sup> Feb 2022</b>	<b>11<sup>th</sup> Feb 2022</b>	<b>2000</b>	
		<b>14<sup>th</sup> Feb 2022</b>	<b>18<sup>th</sup> Feb 2022</b>	<b>2000</b>	
<b>Pankaj Tibrewal</b>	<b>Self</b>	<b>9<sup>th</sup> May 2022</b>	<b>13<sup>th</sup> May 2022</b>	<b>2000</b>	
		<b>16<sup>th</sup> May 2022</b>	<b>20<sup>th</sup> May 2022</b>	<b>2000</b>	
		<b>23<sup>rd</sup> May 2022</b>	<b>27<sup>th</sup> May 2022</b>	<b>1500</b>	

**I hereby undertake that:**

I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.

I/my immediate relatives will not trade during the no-trading periods specified herein above.

I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information OR

~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I/my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information.~~

Signature: 

Date: January 5, 2021

Place: Mumbai

The above trading plan is approved / rejected:

In case of rejection the reasons for rejection are as follows:

**For Kotak Mahindra Asset Management Co. Ltd.**

Jolly Kamlesh  
Bhatt

Digitally signed by Jolly Kamlesh Bhatt  
DN: cn=Jolly Kamlesh Bhatt, postalCode=400066,  
ou=Kotak Mahindra  
2.5.4.20=11226050433464376631b125092215  
3.0.1.1.1=505051176182625116676  
serialNumber=127112626768304114156691  
6633ae17029c2332c005fe6e4e479eb.cn=Jolly  
KO=Kotak Mahindra  
Date: 2021.01.27 13:56:31 +05'30'

Compliance Officer:

Date:

**For Kotak Mahindra Bank Limited**

  
Compliance Officer

Date:

