

May 5, 2021

The Manager Corporate Relationship, BSE Limited, 1st Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir/Madam,

Sub: Trading Plan

Ref: Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (“**PIT Regulations**”)

Pursuant to Regulation 5(5) of the PIT Regulations, please find enclosed, in **Annexure IX**, the Trading Plan dated May 5, 2021, as informed to us by Mr. Devender Singhal – Executive Vice President, an employee of the Bank’s subsidiary, Kotak Mahindra Asset Management Co. Ltd.

This is for your information and appropriate dissemination.

Yours faithfully,

For Kotak Mahindra Bank Limited

Avan Doomasia
Senior Executive Vice President &
Company Secretary

TRADING PLAN

(For the period from: 9-11-2021 to: 8-11-2022)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I **"Devender Singhal "**, hereby give **"My Trading Plan"** to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee *	Devender Singhal (FUNDMGMT EQTY , KMAMC)
Name of the Immediate Relative	self
Relation with Immediate Relative	self
Designation	Executive Vice President
Date of Submission	5-05-2021
Period of Trading	As per the plan mentioned in the table below
No trading period	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		Period or Dates of Proposed Trades			Quantity or Value of Proposed Trades	
					Quantity	Value
Name	Relation with insider	From	To	Date of Trade	Sell	Sell
Devender Singhal	Self	09-11-2021	16-11-2021		2073	NA

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information

Date	5-05-2021
Place	mumbai
Sign	“This is a system generated copy hence signature of employee is not provided here and the employee is fully responsible for all the information provided in trading plan and has submitted request as per his/her own discrete decision”

For Kotak Mahindra Asset Management Co. Ltd.	
Compliance Officer	☐ Jolly Bhatt (Compliance, KMAMC)
Date	5-05-2021
Status	Approved
Sign	
For Kotak Mahindra Bank Limited	
Compliance Officer	Avan Doomasia
Date	5-05-2021
Status	Approved
Sign	
Attachments	There are no attachments to in this item.