PRISMX
GLOBAL VENTURES LIMITED
CIN: L74110MH1973PLC016243

Date: 29-05-2022

To,
The Department of Corporate Services,
Bombay Stock Exchange Limited,
Ground Floor, P.J. Towers,
Dalal Street Fort, Mumbai-400001

Scrip Code – 501314

Sub: Submission of Annual Secretarial Compliance Report for the Year ended 31st March, 2022

Dear Sir,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are submitting here with the Annual Secretarial Compliance Report for the year ended 31st March, 2022.

We hope you will find it in order and request you to take the same on your records.

Thanking You,
Yours Faithfully,

For Prismx Global Ventures Limited

Tejas Vinodrai Hingu
Managing Director
DIN: 06936684

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1st Floor, Purva Building, Tejpal Scheme Road
No. 3, Vile Parle (East), Mumbai - 400057

SECRETARIAL COMPLIANCE REPORT OF PRISMX GLOBAL VENTURES LIMITED
For the year ended on 31st March, 2022
(Under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

To,
PRISMX GLOBAL VENTURES LIMITED
1st Floor, Purva Building,
Tejpal Scheme Road No. 3,
Vile Parle (East), Mumbai - MH 400057 IN

I Nitesh Chaudhary have examined:

(a) all the documents and records made available to us and explanation provided by M/s. PRISMX GLOBAL VENTURES LIMITED ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) other documents/ filings, which were relevant, and relied upon to make this certification and issue this report,

For the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
ii) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
iii) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
iv) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (not applicable for the year under review);
v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (not applicable for the year under review).
vi) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (not applicable for the year under review);
vii) Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations,2013; (not applicable for the year under review);
viii) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
ix) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
x) Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018;

And based on the above examination and considering the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to the spread of the Covid-19 pandemic, I hereby report that, during the review period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

NITESH CHAUDHARY & ASSOCIATES PRACTISING COMPANY SECRETARY COP-16275 FCS-10010

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Compliance under Regulation 33 for the Quarter ended September 2021	Company has received a notice from BSE that the Company has made Non - submission of Standalone Results for the Quarter ended 30th September, 2021	The Management of the Company informed that, the Company has filed the Board Meeting outcome along with Un-Audited Financial Results with-in prescribed time, however at the time of conversion of PDF file in Machine Readable format due to technical glitched of software some of the documents were skipped in file downloaded and inadvertently clerical stall has not verified all the documents after conversion in Machine readable form before uploading and therefore Consolidated Cash Flow Statement was skipped to upload. The Company has also informed that clarifications and waiver application was filed by the Company with the Stock Exchange and also has submitted revised outcome and Un-audited Standalone & Consolidated Financial Results for the quarter ended 30th September, 2021. The company has submitted its clarification letter and waiver application to BSE Ltd in this respect, the same is pending with BSE Ltd. and management is awaiting the reply of Stock Exchange, No other action has been taken against the promoter of the Company in this regard.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ ~~material subsidiaries~~ either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Non-Compliance	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE	Non- Compliance under Regulation 33, Standalone & Consolidated financial results for the Quarter ended September, 2021	Penalty levied of Rs. 1,00,300/- including GST imposed by BSE Ltd on the Company	The Company has informed to us, that revised Standalone & Consolidated Un-audited Financials results has been submitted to the BSE along with clarification letter and waiver application, and Company requested for waiver of penalty. The Company has not received any approval of waiver application filed by Company and management is awaiting for BSE reply, Stock Exchange after receiving waiver application has not initiated any action of freezing the Demat Account of Promoters.

NITESH CHAUDHARY & ASSOCIATES
COP-16275
FCS-10010
PRACTICING COMPANY SECRETARY

(d) The Listed Entity has taken following Actions to comply the Observations made in Previous Reports:

S. No.	Observations of the Practicing Company Secretary in previous reports	Observation made in the secretarial compliance report for the year end March 2021	Actions taken by Listed Entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity
1.	Regulation 29(2)/29(3) Delay –Submission of Intimation under Regulation 29(2) for the quarter ended September 2020	Same observations were made in the secretarial compliance report for the year ended 2019-20	The Company has submitted the clarification letter and waiver application with the reason of delay for the late filing under Regulation 29(2) however BSE Ltd. has not accepted the reasons of delay submission and waiver not granted by BSE Ltd., Company made the fine payment of Rs. 11,800/- including GST.	As per the information given by the Company due to Covid-19 pandemic this delay was happen in Sep, 2020 and company filed waiver application but Due to non-acceptance of waiver application, the company was in non-compliance of Regulation 29(2)/29(3) and the company has also made payment of fines to BSE Ltd., the Company is advised to comply with the requirements diligently.
2.	Regulation 6(1) Non-compliance with the requirement to appoint a qualified Company Secretary as the Compliance Officer	Same observations were made in the secretarial compliance report for the year ended 2019-20	The Company has appointed Mrs. Shreya Garg Company secretary as the Compliance Officer on 22nd July, 2020. The Company also filed the clarification letter and waiver application with the Stock exchange stating reason of delay due to Covid -19 pandemic and difficulty faced in selection and appointment of Company Secretary cum Compliance Officer. The BSE Ltd has accepted and approved waiver application and no penalty has been paid by the Company.	The Company is advised to comply with the requirement diligently.

Note:

1. During the period of Audit i.e. F Y 2021-2022, the Company has sub-divided its Equity Shares from Rs. 10/- to Rs. 1/- per Equity Share resulting into, the Authorized Equity Share Capital of the Company of 3,32,50,000 Equity Shares of Rs. 10/-(Rupees Ten only) each shall be Sub-divided to 33,25,00,000 (Thirty Three Crores Twenty Five Lakhs) Equity Shares of Rs. 1/-(Rupee one only) each amounting to Rs. 33,25,00,000/-(Rupees Thirty Three Crores Twenty Five Lakhs only).

NITESH CHAUDHARY & ASSOCIATES COP-16275 FCS-10010 PRACTICING COMPANY SECRETARY

2. During the period of Audit i.e. F Y 2021-2022, the Company has approved Rights Issue of up to 12,20,77,000 (Twelve Crore Twenty Lakhs Seventy-Seven Thousand) Equity Shares Of Face Value Of ₹1.00/- (Rupees One Only) Each At A Price Of ₹4.00/- (Rupees Four Only) Per Equity Share (Including A Premium Of ₹3.00/- (Rupees Three Only) Per Equity Share) For An Amount Up To ₹48,83,08,000.00/- (Rupees Forty Eight Crore Eighty Three Lakhs Eight Thousand Only) on a Rights Issue Basis to the eligible shareholders of Prismx Global Ventures Limited.

Date: 29/05/2022

For Nitesh Chaudhary & Associates
Practicing Company Secretary

NITESH CHAUDHARY & ASSOCIATES
COP-16275
FCS-10010
PRACTISING COMPANY SECRETARY

Chaudhary

Nitesh Chaudhary
Proprietor
C.P. No. 16275
Membership FCS: 10010
UDIN: F010010D000422224