

SML/SEC/2021  
19<sup>th</sup> April, 2021

|                                                                                                                              |                                                                                                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Dy. General Manager-<br>Corporate Relationship Department<br>BSE Limited<br>P.J Towers, Dalal Street<br>Fort, Mumbai-400 001 | The Secretary,<br>National Stock Exchange of India Ltd.<br>Exchange Plaza, 5th Floor,<br>Plot no. C/1, G Block<br>Bandra- Kurla Complex<br>Bandra (E), Mumbai – 400 051 |
| <b>Scrip Code: 505192</b>                                                                                                    | <b>Scrip Code: SMLISUZU</b>                                                                                                                                             |

**Sub: Certificate under Regulation 40(9) & (10) of SEBI (LODR) Regulations, 2015**

Dear Sir,

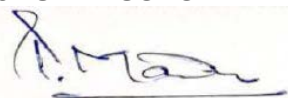
We are enclosing herewith the Compliance certificate under Regulation 40(9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 issued by Mr. Aseem Chhabra, a practicing Company Secretary for the half year ended 31<sup>st</sup> March, 2021.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

**For SML ISUZU LIMITED**



PARVESH MADAN)  
Company Secretary & Compliance Officer  
ACS-31266  
pmadan@smlisuzu.com

**SML ISUZU Limited**

Corporate Office : 204-205, Sector 34-A, Chandigarh -160135

T +91 172 2647700-10 F +91 172 2615111

**Regd. Office & Works:**

Village : Asron, Distt. Shahid Bhagat Singh Nagar (Nawanshahar) Punjab -144533

T +91 1881 270255 F +91 1881 270223 W [www.smlisuzu.com](http://www.smlisuzu.com) CIN L50101PB1983PLC005516

## **ASEEM CHHABRA & ASSOCIATES**

**PRACTISING COMPANY SECRETARY**

**OFF: SCO 137-138, 2<sup>ND</sup> FLOOR, SECTOR 8-C, CHANDIGARH, Tel: 4679131, 98723-68608**

### **COMPLIANCE CERTIFICATE** **FOR THE HALF YEAR ENDED (31<sup>ST</sup> MARCH 2021)**

**(Under Regulation 40(9) of Securities & Exchange Board of India ( Listing Obligation and Disclosure Requirements) Regulations 2015 )**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s SML ISUZU LIMITED** maintained by **MCS Share Transfer Agent Limited, New Delhi** ( Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from **1<sup>ST</sup> OCTOBER 2020 to 31<sup>ST</sup> MARCH 2021** for the purpose of issuing a Certificate as per **Regulation 40(9) of Securities & Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations 2015** and based on the information provided by the Company I hereby certify that the Company has delivered during half year ended **31<sup>ST</sup> MARCH 2021** :

1) All Share Certificate(s) relating to the Share Transfer Form(s) received during the period from **1<sup>ST</sup> OCTOBER 2020 to 31<sup>ST</sup> MARCH 2021** as entered in the Memorandum of Transfer have been issued within One Month from the respective date of lodgment of each form(s) excepting those rejected on technical grounds.

2) All Share Certificate(s) in respect of sub-division, duplicate, consolidation, exchange of old certificate, splitt, renewal have been issued within One Month from the respective date of lodgment .

The above information is true to the best of my knowledge, information & belief as were necessary.

Place: Chandigarh  
Date : 19/04/2021



Signature:   
for **ASEEM CHHABRA & ASSOCIATES**  
**(ASEEM CHHABRA)**  
**PRACTISING COMPANY SECRETARY**  
**CP No: 3113, FCS No.:3813**