

**Almondz Global Securities Ltd.**

Ref: agsl/corres/Bse/Nse/19-20/0016

June 26, 2019

✓  
The General Manager  
(Listing & Corporate Relations)  
Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

The Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai - 400051

**Sub.: Compliance Under Regulation 23(9) of the SEBI (LODR) Regulations, 2015**

Dear Sir,

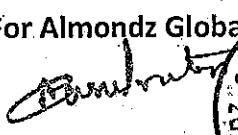
In terms of Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the relevant Disclosure of Related Party Transactions on a consolidated basis.

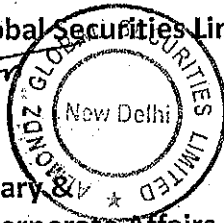
You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,

For Almondz Global Securities Limited

  
Ajay Pratap  
Company Secretary &  
Vice President Corporate Affairs



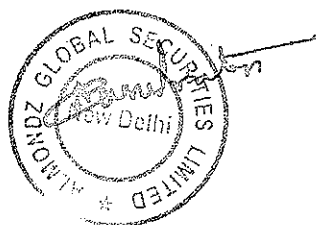
Encl:a/a

**Almondz Global Securities Ltd.**

**Consolidated Related Party Disclosures for the Half Year Ended March 31, 2019**

**i) Name of Related Parties**

| <b>Particulars</b>                                                                | <b>Half Year Ended March 31, 2019</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Holding Company</b>                                                            | Avonmore Capital & Management Services Limited                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>Subsidiaries</b>                                                               | Almondz Finanz Limited (AFL)<br>Almondz Commodities Private Limited (ACPL)<br>Almondz Wealth Advisors Limited (AWAL)<br>Almondz Debt Advisors Limited (ADAL), which was subsidiary till 25 <sup>th</sup> March, 2019<br>Skiffle Healthcare Services Limited (SHSL)<br>North Square Projects Private Limited (NSPPL)<br>Almondz Global Infra-Consultant Limited (AGICL)                                                                                                                                                                                                                                                                                                                                                                    |
| <b>Associates</b>                                                                 | Almondz Insolvency Resolution Services Private Limited<br>Willis Towers Watson India Insurance Brokers Private Limited, Sister Concern<br>Premier Alcobev Private Limited                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>Key Managerial Personnel</b>                                                   | Mr. Navjeet Singh Sobti, Vice Chairman & Managing Director<br>Mr. Jagdeep Singh, Whole Time Director<br>Mr. Debashish Ghoshal, Whole Time Director<br>Mr. Rajeev Kumar, Chief Financial Officer<br>Mr. Ajay Pratap, Company Secretary & Vice President Corporate Affairs<br>Mr. Atul Kumar Shukla, Non Executive & Independent Director who cease from Directorship w.e.f 29.03.2019<br>Mr. Krishan Lall Khetarpaul, Non Executive & Independent Director<br>Mr. Ajay Kumar, Non Executive & Independent Director<br>Mr. Sanjay Kumar Tiwari, Non Executive Director<br>Mr. Abdul Redha Mustafa Abdul Redha Sultan, Director<br>Mr. Satish Chandra Sinha, Non Executive & Independent Director<br>Mrs. Neelu Jain, Non Executive Director |
| <b>Relatives of Key Managerial Personnel, where transactions have taken place</b> | Gurpreet N.S. Sobti                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |



SEBI Merchant Banking Registration No. INM000000834

F - 33/3 Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel.: + 91 11 4350 0700 / 800 Fax : + 91 11 4350 0735, 4350 0787

CIN : L74899DL1994PLC059839 • Email : delhi@almondz.com • Website : www.almondzglobal.com

Registered Office: 2<sup>nd</sup> Floor, 3 Scindia House, Janpath, New Delhi 110001 India.Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

ii) Transactions and balances with related parties

a) Holding Company

| Particulars                                    | Amount in Rs.<br>Half Year Ended<br>March 31, 2019 |
|------------------------------------------------|----------------------------------------------------|
| <b>Description of Transactions:</b>            |                                                    |
| <b>Loan Taken:</b>                             |                                                    |
| Avonmore Capital & Management Services Limited | 1,63,50,000                                        |
| <b>Repayment of Loan:</b>                      |                                                    |
| Avonmore Capital & Management Services Limited | 1,66,60,000                                        |
| <b>Interest Paid:</b>                          |                                                    |
| Avonmore Capital & Management Services Limited | 20,729                                             |
| <b>Advance Given:</b>                          |                                                    |
| Avonmore Capital & Management Services Limited | 4,21,202                                           |
| <b>Repayment of Advance Given:</b>             |                                                    |
| Avonmore Capital & Management Services Limited | 4,17,500                                           |
| <b>Receipt towards brokerage:</b>              |                                                    |
| Avonmore Capital & Management Services Limited | 1,299                                              |
| <b>Receipt towards Depository Charges</b>      |                                                    |
| Avonmore Capital & Management Services Limited | 926                                                |

b) Subsidiaries

| Particulars                               | Half Year Ended<br>March 31, 2019 |
|-------------------------------------------|-----------------------------------|
| <b>Description of Transactions:</b>       |                                   |
| <b>Loans/Advances granted :</b>           |                                   |
| North Square Projects Limited             | 7,00,000                          |
| Almondz Global Infra – Consultant Limited | 5,02,50,000                       |
| Almondz Wealth Advisors Limited           | 78,54,600                         |
| Skiffle Healthcare Services Limited       | 77,65,000                         |
| Almondz Finanz Limited                    | 2,59,09,092                       |
| <b>Repayment of Loan:</b>                 |                                   |
| Almondz Global Infra – Consultant Limited | 3,41,30,000                       |
| Almondz Wealth Advisors Limited           | 68,60,300                         |
| Skiffle Healthcare Services Limited       | 40,75,000                         |
| Almondz Finanz Limited                    | 4,35,58,000                       |
| <b>Loan Taken:</b>                        |                                   |
| Almondz Finanz Limited                    | 1,00,00,000                       |
| <b>Interest Received:</b>                 |                                   |



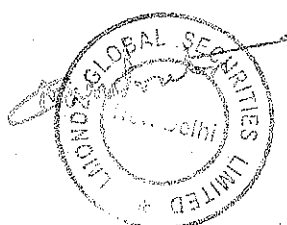
|                                                         |             |
|---------------------------------------------------------|-------------|
| Almondz Finanz Limited                                  | 13,40,656   |
| Almondz Global Infra –Consultant Limited                | 4,39,835    |
| Skiffle Healthcare Services Limited                     | 7,62,591    |
| Almondz Wealth Advisors Limited                         | 1,44,573    |
| North Square Projects Limited                           | 1,38,816    |
| <b>Interest Paid:</b>                                   |             |
| Almondz Finanz Limited                                  | 2,05,411    |
| <b>Receipt of Space/other facilities usage charges:</b> |             |
| Almondz Global Infra –Consultant Limited                | 5,84,74,299 |
| <b>Professional Fee Paid:</b>                           |             |
| Almondz Global Infra – Consultant Limited               | 7,28,84,293 |
| <b>Advance Given:</b>                                   |             |
| Almondz Wealth Advisors Limited                         | 38,72,075   |
| Almondz Global Infra –Consultant Limited                | 3,54,824    |
| Almondz Commodities Private Limited                     | 40,970      |
| Skiffle Healthcare Services Limited                     | 2,01,976    |
| North Square Projects Private Limited                   | 3,774       |
| <b>Repayment of Advance Given:</b>                      |             |
| Almondz Wealth Advisors Limited                         | 42,04,255   |
| Almondz Global Infra – Consultant Limited               | 3,54,824    |
| Skiffle Healthcare Services Ltd.                        | 2,01,976    |
| Almondz Finanz Limited                                  | 150         |
| North Square Projects Private Limited                   | 3,774       |
| Almondz Commodities Private Limited                     | 41,080      |

**c) Associates**

| Particulars                                                  | Half Year Ended<br>March 31, 2019 |
|--------------------------------------------------------------|-----------------------------------|
| <b>Repayment of Advance Given:</b>                           |                                   |
| Almondz Insolvency Resolutions Services Private Limited      | 77,000                            |
| <b>Receipt of Space/other facilities usage charges:</b>      |                                   |
| Willis Towers Watson India Insurance Brokers Private Limited | 1,58,54,811                       |

**d) Key Managerial Personnel**

| Particulars                      | Half Year Ended<br>March 31, 2019 |
|----------------------------------|-----------------------------------|
| <b>*Managerial Remuneration:</b> |                                   |
| Mr. Navjeet Singh Sobti          | 18,98,085                         |
| Mr. Jagdeep Singh                | 30,19,800                         |
| Mr. Debashish Ghoshal            | 21,00,000                         |
| Mr. Ajay Pratap                  | 11,31,400                         |
| <b>Sitting Fees:</b>             |                                   |



|                                            |        |
|--------------------------------------------|--------|
| Mr. Atul Kumar Shukla                      | 60,000 |
| Mr. Krishan Lal Khetarpaul                 | 60,000 |
| Mr. Ajay Kumar                             | 15,000 |
| Mr. Sanjay Kumar Tiwari                    | 45,000 |
| Mr. Satish Chandra Sinha                   | 60,000 |
| Mrs. Neelu Jain                            | 45,000 |
| <b>Receipt towards brokerage:</b>          |        |
| Mr. Jagdeep Singh                          | 2,733  |
| <b>Receipt towards Depository Charges:</b> |        |
| Mr. Jagdeep Singh                          | 2,133  |

**e) Relatives of Key Managerial Personnel, where transactions have taken place**

| Particulars                                             | Half Year Ended<br>March 31, 2019 |
|---------------------------------------------------------|-----------------------------------|
| <b>Receipt of Space/other facilities usage charges:</b> |                                   |
| Gurpreet N.S. Sobti                                     | 18,00,000                         |

**\*Managerial Remuneration include Perks**

|                       |             |
|-----------------------|-------------|
| • Navjeet Singh Sobti | Rs.7,52,835 |
| • Jagdeep Singh       | Rs.19,800   |
| • Ajay Pratap         | Rs.3,480    |

