

HDFC CPO - II - 36M January 2014 - HDFC Capital Protection Oriented Fund - Series II

Annexure 2

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
23-Jan-2014	Direct	Direct	Direct	Inter Scheme Purchase	10,000	429.50	-	-	0.00
10-Jun-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	10,000	676.04	0.00
4-Feb-2015	Closing Balance							-	-

HDFC CPO - I - 36M October 2013 - HDFC Capital Protection Oriented Fund - Series I

Annexure 3

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
13-Nov-2013	Karvy Stock Broking Ltd.	INB 230770138	NSE	Purchase	25,000	273.94	-	-	0.01
18-Nov-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	25,000	284.64	-	-	0.01
23-Jan-2014	Direct	Direct	Direct	Inter Scheme Sale	-	-	10,000	429.50	0.00
30-May-2014	Emkay Global Financial Services Limited	INB 230901838	NSE	Sale	-	-	10,000	668.85	0.00
10-Jun-2014	Batlivala & Karani Securities India Pvt. Ltd.	INB 231040537	NSE	Sale	-	-	30,000	675.82	0.01
4-Feb-2015	Closing Balance							-	-

HDFC Arbitrage Fund

Annexure 4

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
26-Sep-2011	Motilal Oswal Securities Limited	INB 231041238	NSE	Purchase	30,000	128.90	-	-	0.01
13-Oct-2011	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	4,000	132.58	0.00
20-Dec-2011	Motilal Oswal Securities Limited	INB 231041238	NSE	Sale	-	-	8,000	88.77	0.00
30-Aug-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	54,000	183.67	-	-	0.02
30-Jan-2014	Emkay Global Financial Services Limited	INB 230901838	NSE	Sale	-	-	72,000	454.70	0.02
31-Jul-2014	Motilal Oswal Securities Limited	INB 231041238	NSE	Purchase	43,000	706.55	-	-	0.01
29-Jan-2015	Emkay Global Financial Services Limited	INB 230901838	NSE	Sale	-	-	8,250	1,219.98	0.00
4-Feb-2015	Closing Balance							34,750	0.01

HDFC Balanced Fund

Annexure 5

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							3,30,000	0.11
23-Sep-2011	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	75,000	134.20	-	-	0.03
4-Oct-2011	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	95,000	119.62	-	-	0.03
6-Mar-2013	Religare Capital Markets Ltd.	INB 231302630	NSE	Purchase	1,00,000	166.67	-	-	0.03
10-Jun-2013	JM Financial Institutional Securities Private Limited	INB 231296634	NSE	Purchase	71,000	176.24	-	-	0.02
17-Jun-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	16,384	170.35	-	-	0.01
20-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	24,826	606.67	0.01
4-Feb-2015	Closing Balance							6,62,558	0.23

Opening Balance is calculated on Share capital of Rs. 29,11,21,290 comprising 29,11,21,290 shares of Re. 1 each

Closing Balance is calculated on Share capital of Rs. 29,17,95,921 comprising 29,17,95,921 shares of Re. 1 each

HDFC Capital Builder Fund

Annexure 6

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	0.00
10-Jan-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	2,50,000	191.33	-	-	0.09
14-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	21,000	619.72	0.01
14-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	22,500	614.06	0.01
26-May-2014	CIMB Securities (India) Pvt. Ltd.	INB231473431	NSE	Sale	-	-	20,000	611.47	0.01
9-Jun-2014	Emkay Global Financial Services Limited	INB 230901838	NSE	Sale	-	-	15,000	647.34	0.01
18-Jul-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	21,500	730.49	0.01
8-Oct-2014	SBICap Securities Limited	INB231052938	NSE	Sale	-	-	20,000	953.93	0.01
31-Oct-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	30,000	961.37	0.01
4-Feb-2015	Closing Balance							1,00,000	0.03

HDFC Core and Satellite Fund

Annexure 7

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
11-Nov-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	80,000	270.97	-	-	0.03
18-Nov-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	80,000	284.64	-	-	0.03
24-Mar-2014	Nalanda Securities Pvt. Ltd.	INB 231122335	NSE	Sale	-	-	80,000	523.86	0.03
31-Mar-2014	DSP Merrill Lynch Limited	INB 231348138	NSE	Sale	-	-	4,800	512.90	0.00
30-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	75,200	653.88	0.03
4-Feb-2015	Closing Balance							-	-

HDFC Equity Fund

Annexure 8

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							70,20,111	2.41
10-Oct-2011	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	1,30,000	126.28	-	-	0.04
1-Nov-2011	Religare Capital Markets Ltd.	INB 231302630	NSE	Purchase	60,000	129.28	-	-	0.02
22-Nov-2011	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	1,71,000	87.60	-	-	0.06
22-Nov-2011	Citigroup Global Markets India Pvt. Ltd.	INB 231141335	NSE	Purchase	1,87,000	89.75	-	-	0.06
23-Nov-2011	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	2,85,000	86.71	-	-	0.10
24-Nov-2011	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	57,500	90.32	-	-	0.02
24-Nov-2011	Edelweiss Securities Ltd.	INB 010895739	BSE	Purchase	7,000	91.25	-	-	0.00
25-Nov-2011	SBI Cap Securities Limited	INB231052938	NSE	Purchase	85,000	93.45	-	-	0.03
28-Nov-2011	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	68,077	90.96	-	-	0.02
28-Nov-2011	Asian Markets Securities Pvt. Ltd.	INB 010877137	BSE	Purchase	70,000	90.63	-	-	0.02
28-Nov-2011	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Purchase	2,00,000	91.97	-	-	0.07
29-Nov-2011	Antique Stock Broking Ltd.	INB 231298739	NSE	Purchase	50,000	90.92	-	-	0.02
7-Dec-2011	JM Financial Institutional Securities Private Limited	INB 231296634	NSE	Purchase	5,00,000	96.60	-	-	0.17
8-Dec-2011	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Purchase	90,000	91.36	-	-	0.03
14-Dec-2011	HSBC Securities and Capital Markets (I) Ltd.	INB 010791730	BSE	Purchase	50,000	92.58	-	-	0.02
14-Dec-2011	HSBC Securities and Capital Markets (I) Ltd.	INB 230791734	NSE	Purchase	4,50,000	93.22	-	-	0.15
17-Oct-2012	Quant Broking Private Ltd	INB231419434	NSE	Sale	-	-	2,85,978	156.82	0.10
17-Oct-2012	IDFC Securities Ltd.	INB 011291433	BSE	Sale	-	-	75,000	155.65	0.03
31-Jan-2013	India Infoline Ltd.	INB 231097537	NSE	Sale	-	-	2,00,000	183.85	0.07
31-Jan-2013	Kisan Ratilal Choksey Shares & Securities P. Ltd	INB 230975832	NSE	Sale	-	-	5,00,000	185.35	0.17
31-Jan-2013	SKP Securities Limited	INB 230707532	NSE	Sale	-	-	3,00,000	187.03	0.10
1-Feb-2013	Equirus Securities Private Limited	INB231301731	NSE		-	-	3,70,000	191.48	0.13
4-Feb-2013	Morgan Stanley India Company Pvt. Ltd	INB 011054237	BSE	Sale	-	-	2,72,000	194.58	0.09
4-Feb-2013	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	98,800	193.56	0.03
4-Feb-2013	Standard Chartered - STCI Capital Markets Ltd.	INB 011333334	BSE	Sale	-	-	16,200	193.60	0.01
3-Jun-2013	Motilal Oswal Securities Limited	INB 231041238	NSE	Purchase	2,60,000	177.03	-	-	0.09
4-Jun-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	2,74,290	183.79	-	-	0.09
5-Jun-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	3,00,000	186.70	-	-	0.10
5-Jun-2013	BNP Paribas Securities India Pvt Limited	INB231474835	NSE	Purchase	2,00,000	187.37	-	-	0.07
7-Jun-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	5,00,000	186.30	-	-	0.17
3-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	74,000	569.89	0.03
4-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	63,000	565.61	0.02
7-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	36,000	554.83	0.01
12-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	2,00,000	586.71	0.07
30-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	3,45,000	665.05	0.12
30-May-2014	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	65,000	653.92	0.02
24-Sep-2014	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	51,000	789.64	-	-	0.02
29-Sep-2014	Batlivala & Karani Securities India Pvt. Ltd.	INB 231040537	NSE	Sale	-	-	40,000	945.51	0.01
30-Sep-2014	UBS Securities India Pvt. Ltd.	INB 230951431	NSE	Sale	-	-	1,00,000	961.51	0.03
1-Oct-2014	Batlivala & Karani Securities India Pvt. Ltd.	INB 231040537	NSE	Sale	-	-	1,59,000	960.65	0.05
19-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	1,35,000	1,119.85	0.05
26-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	44,000	1,081.13	0.02

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
27-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	20,000	1,084.24	0.01
28-Nov-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	40,000	1,091.29	0.01
4-Dec-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	16,000	1,140.99	0.01
5-Dec-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	14,000	1,131.87	0.00
9-Dec-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	18,000	1,125.45	0.01
9-Dec-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	22,500	1,144.34	0.01
10-Dec-2014	LKP Securities Ltd.	INB 230720030	NSE	Sale	-	-	8,700	1,125.19	0.00
10-Dec-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	40,000	1,121.13	0.01
11-Dec-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	70,500	1,121.20	0.02
15-Dec-2014	Nomura Financial Advisory and Securities (Indi	INB 231299034	NSE	Sale	-	-	74,000	1,130.57	0.03
19-Dec-2014	Macquarie Capital Securities (India) Pvt. Ltd.	INB 231246738	NSE	Sale	-	-	90,000	1,097.62	0.03
24-Dec-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	15,200	1,089.66	0.01
4-Feb-2015	CLSA India Ltd.	INB 230826436	NSE	Sale	-	-	1,13,700	1,215.08	0.04
4-Feb-2015	CLSA India Ltd.	INB 230826436	NSE	Sale	-	-	18,000	1,205.36	0.01
4-Feb-2015	Closing Balance							71,26,400	2.44

Opening Balance is calculated on Share capital of Rs. 29,11,21,290 comprising 29,11,21,290 shares of Re. 1 each

Closing Balance is calculated on Share capital of Rs. 29,17,95,921 comprising 29,17,95,921 shares of Re. 1 each

HDFC Growth Fund

Annexure 9

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
22-Nov-2011	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	30,000	87.60	-	-	0.01
22-Nov-2011	Edelweiss Securities Ltd.	INB 010895739	BSE	Purchase	47,000	88.17	-	-	0.02
23-Nov-2011	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	95,000	86.71	-	-	0.03
28-Nov-2011	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	1,72,596	90.96	-	-	0.06
29-Nov-2011	Antique Stock Broking Ltd.	INB 231298739	NSE	Purchase	90,000	90.92	-	-	0.03
29-Nov-2011	RBS Equities (India) Limited	INB 230608032	NSE	Purchase	90,000	91.48	-	-	0.03
30-Nov-2011	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Purchase	2,10,000	89.74	-	-	0.07
1-Dec-2011	Daiwa Capital Markets India Private Limited	INB 231292930	NSE	Purchase	1,52,000	92.62	-	-	0.05
1-Dec-2011	Daiwa Capital Markets India Private Limited	INB 011292936	BSE	Purchase	18,000	92.77	-	-	0.01
15-Dec-2011	HSBC Securities and Capital Markets (I) Ltd.	INB 230791734	NSE	Purchase	2,70,000	88.69	-	-	0.09
15-Oct-2012	Quant Broking Private Ltd	INB231419434	NSE	Sale	-	-	69,000	156.84	0.02
16-Oct-2012	Quant Broking Private Ltd	INB231419434	NSE	Sale	-	-	68,282	157.56	0.02
17-Oct-2012	IDFC Securities Ltd.	INB 011291433	BSE	Sale	-	-	25,000	155.65	0.01
4-Dec-2012	Inani Securities Ltd.	INB 230857131	NSE	Sale	-	-	1,50,000	196.10	0.05
28-Jun-2013	Kisan Ratilal Choksey Shares & Securities P. Ltd.	INB 230975832	NSE	Purchase	1,35,000	179.29	-	-	0.05
17-Jul-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	3,00,000	175.80	-	-	0.10
10-Sep-2013	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	5,00,000	188.18	0.17
25-Sep-2013	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	2,50,000	190.68	0.09
21-Jan-2014	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	50,000	422.50	-	-	0.02
22-Jan-2014	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	50,000	442.55	-	-	0.02
23-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	46,000	616.52	0.02
26-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE		-	-	1,00,000	612.23	0.03
27-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,00,000	630.14	0.03
29-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	50,000	641.14	0.02
30-May-2014	Emkay Global Financial Services Limited	INB 230901838	NSE	Sale	-	-	25,000	668.85	0.01
30-May-2014		INB 231141335	NSE		-	-	25,000	671.47	0.01
4-Feb-2015	Closing Balance							3,01,314	0.10

HDFC Prudence Fund

Annexure 10

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							37,41,657	1.29
18-Aug-2011	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Purchase	64,334	144.18	-	-	0.02
24-Nov-2011	IDFC Securities Ltd.	INB 231291437	NSE	Purchase	2,84,000	90.86	-	-	0.10
24-Nov-2011	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	64,500	90.32	-	-	0.02
25-Nov-2011	SBICap Securities Limited	INB011053031	BSE	Purchase	75,000	93.30	-	-	0.03
25-Nov-2011	SBICap Securities Limited	INB231052938	NSE	Purchase	10,000	93.45	-	-	0.00
28-Nov-2011	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	1,55,337	90.96	-	-	0.05
28-Nov-2011	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Purchase	1,00,000	91.97	-	-	0.03
29-Nov-2011	Antique Stock Broking Ltd.	INB 231298739	NSE	Purchase	50,000	90.92	-	-	0.02
16-Oct-2012	Quant Broking Private Ltd	INB231419434	NSE	Sale	-	-	20,000	159.12	0.01
16-Oct-2012	Quant Broking Private Ltd	INB231419434	NSE	Sale	-	-	1,09,000	157.56	0.04
4-Feb-2013	Morgan Stanley India Company Pvt. Ltd	INB 011054237	BSE	Sale	-	-	3,55,000	194.58	0.12
7-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	70,000	559.36	0.02
9-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	2,00,000	566.36	0.07
10-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	56,000	557.57	0.02
11-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,31,000	556.38	0.04
21-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,54,000	556.20	0.05
22-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	75,000	548.83	0.03
23-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	80,500	558.69	0.03
29-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,25,000	582.44	0.04
8-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,75,000	596.72	0.06
9-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,00,000	584.25	0.03
14-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	-	-	-	77,500	614.06	0.03
20-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	45,600	606.67	0.02
20-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	31,000	610.10	0.01
28-Jul-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	50,000	679.26	0.02
29-Sep-2014		INB 231040537	NSE	-	-	-	40,000	945.51	0.01
30-Sep-2014	UBS Securities India Pvt. Ltd.	INB 230951431	NSE	Sale	-	-	1,00,000	961.51	0.03
1-Oct-2014	Batlivala & Karani Securities India Pvt. Ltd.	INB 231040537	NSE	Sale	-	-	53,000	960.65	0.02
9-Oct-2014	Nirmal Bang Equities Private Ltd	INB231343733	NSE	Sale	-	-	50,000	946.17	0.02
10-Oct-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	30,000	938.79	0.01
10-Oct-2014	Daiwa Capital Markets India Private Limited	INB 011292936	BSE	Sale	-	-	5,000	940.03	0.00
17-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,00,000	1,105.18	0.03
18-Nov-2014		INB 231299034	NSE	Sale	-	-	1,20,000	1,137.92	0.04
18-Nov-2014	Spark Capital Advisors (I) Pvt. Ltd.	INB 231256232	NSE	Sale	-	-	5,000	1,139.70	0.00
21-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	50,000	1,113.97	0.02
24-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	59,000	1,115.08	0.02
24-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	41,000	1,118.81	0.01
25-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	1,32,406	1,105.26	0.05
26-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	22,000	1,081.13	0.01
27-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	10,000	1,084.24	0.00
28-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	20,000	1,091.29	0.01
4-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	16,000	1,140.99	0.01
5-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	14,000	1,131.87	0.00
9-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	22,500	1,144.34	0.01
9-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	18,000	1,125.45	0.01
10-Dec-2014	LKP Securities Ltd.	INB 010675433	BSE	Sale	-	-	4,000	1,119.58	0.00

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HDFC Small and Mid-Cap Fund

Annexure 11

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
28-Jun-2014	Direct	Direct	Direct	Transfer of security from Morgan Stanley MF on account of takeover of the scheme by HDFC MF	62,724	-			0.02
25-Jul-2014	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	7,276	721.42			0.00
1-Aug-2014	Espirito Santo Securities India Private Ltd	INB231451833	NSE	Purchase	13,000	720.93	-	-	0.00
5-Aug-2014	IDFC Securities Ltd.	INB 231291437	NSE	Purchase	3,000	734.30	-	-	0.00
11-Aug-2014	Equirus Securities Private Limited	INB231301731	NSE	Purchase	14,000	764.62	-	-	0.00
1-Sep-2014	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	10,000	823.45	-	-	0.00
5-Sep-2014	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	10,000	830.19	-	-	0.00
22-Sep-2014	Religare Capital Markets Ltd.	INB 231302630	NSE	Purchase	15,000	857.42	-	-	0.01
4-Feb-2015	Closing Balance							1,35,000	0.05

HDFC Top 200 Fund

Annexure 12

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							81,62,208	2.80
4-Feb-2013	Morgan Stanley India Company Pvt. Ltd	INB 011054237	BSE	Sale	-	-	3,55,000	194.58	0.12
7-Feb-2013	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	2,00,000	187.70	0.07
31-Mar-2014	DSP Merrill Lynch Limited	INB 231348138	NSE	Sale	-	-	30,000	512.90	0.01
4-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	63,000	565.61	0.02
10-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	56,000	557.57	0.02
23-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	80,500	558.69	0.03
29-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,25,000	582.44	0.04
15-May-2014	Citigroup Global Markets India Pvt. Ltd.	INB 231141335	NSE	Sale	-	-	3,31,000	623.80	0.11
19-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	3,13,450	599.95	0.11
26-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	5,00,000	616.81	0.17
30-May-2014	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	65,000	653.92	0.02
30-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	3,45,000	665.05	0.12
20-Jun-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	42,000	689.63	0.01
20-Jun-2014	Tata Securities Limited	INB 231288730	NSE	Sale	-	-	20,000	690.45	0.01
1-Jul-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	25,000	738.86	0.01
1-Jul-2014	Goldman Sachs (India) Securities Private Limited	INB 011277838	BSE	Sale	-	-	3,00,000	739.37	0.10
2-Jul-2014	Goldman Sachs (India) Securities Private Limited	INB 231277832	NSE	Sale	-	-	2,00,000	737.87	0.07
29-Sep-2014	Batlivala & Karani Securities India Pvt. Ltd.	INB 231040537	NSE	Sale	-	-	40,000	945.51	0.01
29-Sep-2014	Tata Securities Limited	INB 231288730	NSE	Sale	-	-	2,00,000	931.44	0.07
30-Sep-2014	UBS Securities India Pvt. Ltd.	INB 230951431	NSE	Sale	-	-	1,00,000	961.51	0.03
1-Oct-2014	Batlivala & Karani Securities India Pvt. Ltd.	INB 231040537	NSE	Sale	-	-	53,000	960.65	0.02
14-Oct-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	88,700	935.12	0.03
14-Oct-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 011333334	BSE	Sale	-	-	2,300	939.69	0.00
17-Oct-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,00,000	906.28	0.03
17-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	50,000	1,103.91	0.02
18-Nov-2014		INB 231299034	NSE	Sale	-	-	1,20,000	1,137.92	0.04
18-Nov-2014	Spark Capital Advisors (I) Pvt. Ltd.	INB 231256232	NSE	Sale	-	-	5,000	1,139.70	0.00
21-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	50,000	1,113.97	0.02
24-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	9,000	1,115.08	0.00
24-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	41,000	1,118.81	0.01
26-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	44,000	1,081.13	0.02
27-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	10,000	1,084.24	0.00
28-Nov-2014		INB 231299034	NSE	Sale	-	-	20,000	1,091.29	0.01
1-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	1,20,000	1,116.46	0.04
3-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	1,34,111	1,141.98	0.05

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
4-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	48,000	1,140.99	0.02
5-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	42,000	1,131.87	0.01
9-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	35,000	1,125.45	0.01
9-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	45,000	1,144.34	0.02
10-Dec-2014	LKP Securities Ltd.	INB 230720030	NSE	Sale	-	-	8,700	1,125.19	0.00
10-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	40,000	1,121.13	0.01
11-Dec-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	94,000	1,121.20	0.03
15-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	37,000	1,130.57	0.01
24-Dec-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	22,800	1,089.66	0.01
6-Jan-2015	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	75,000	1,102.19	0.03
14-Jan-2015	CIMB Securities (India) Pvt. Ltd.	INB231473431	NSE	Sale	-	-	1,00,000	1,142.55	0.03
15-Jan-2015	CIMB Securities (India) Pvt. Ltd.	INB231473431	NSE	Sale	-	-	49,000	1,141.15	0.02
15-Jan-2015	Deutsche Equities India Pvt. Ltd.	INB 231196834	NSE	Sale	-	-	2,10,000	1,137.72	0.07
4-Feb-2015	Closing Balance							31,17,647	1.07

Opening Balance is calculated on Share capital of Rs. 29,11,21,290 comprising 29,11,21,290 shares of Re. 1 each

Closing Balance is calculated on Share capital of Rs. 29,17,95,921 comprising 29,17,95,921 shares of Re. 1 each

HDFC Infrastructure Fund

Annexure 13

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
11-Nov-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	1,00,000	270.97	-	-	0.03
11-Nov-2013	IDFC Securities Ltd.	INB 231291437	NSE	Purchase	2,00,000	272.40	-	-	0.07
16-Dec-2013	Religare Capital Markets Ltd.	INB 231302630	NSE	Purchase	2,00,000	324.94	-	-	0.07
22-Apr-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,00,000	549.41	0.03
21-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	77,000	617.78	0.03
27-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,00,000	630.14	0.03
30-May-2014	Kotak Securities Ltd.	INB 230808130	NSE	Sale	-	-	45,000	653.92	0.02
30-May-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	1,28,378	658.52	0.04
2-Jun-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	49,622	639.63	0.02
4-Feb-2015	Closing Balance							-	-

HDFC MF Monthly Income Plan - Long Term Plan

Annexure 14

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							-	-
12-Aug-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	2,00,000	174.21	-	-	0.07
13-Aug-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	2,00,000	185.83	-	-	0.07
22-Jan-2014	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	1,00,000	442.55	-	-	0.03
27-Mar-2014	Nalanda Securities Pvt. Ltd.	INB 231122335	NSE	Sale	-	-	1,00,000	526.15	0.03
15-May-2014	Citigroup Global Markets India Pvt. Ltd.	INB 231141335	NSE	Sale	-	-	15,000	623.80	0.01
15-May-2014	Citigroup Global Markets India Pvt. Ltd.	INB 231141335	NSE	Sale	-	-	78,000	626.12	0.03
10-Oct-2014	Daiwa Capital Markets India Private Limited	INB 011292936	BSE	Sale	-	-	5,000	940.03	0.00
10-Oct-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	30,000	938.79	0.01
27-Nov-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	10,000	1,084.24	0.00
28-Nov-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	20,000	1,091.29	0.01
4-Feb-2015	Closing Balance							2,42,000	0.08

HDFC Mid-Cap Opportunites Fund

Annexure 15

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
18-Aug-2011	Opening Balance							15,95,000	0.55
21-Sep-2011	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	1,05,000	141.92	-	-	0.04
23-Sep-2011	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	50,000	132.57	-	-	0.02
10-Oct-2011	Religare Capital Markets Ltd.	INB 231302630	NSE	Purchase	91,000	124.40	-	-	0.03
10-Oct-2011	ICICI Securities Ltd.	INB 230773037	NSE	Purchase	15,000	126.28	-	-	0.01
12-Oct-2011	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	75,000	134.59	-	-	0.03
12-Oct-2011	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	69,000	134.29	-	-	0.02
17-Nov-2011	BRICS Securities Ltd.	INB 231205734	NSE	Purchase	1,57,000	85.35	-	-	0.05
18-Nov-2011	LKP Securities Ltd.	INB 230720030	NSE	Purchase	43,000	81.68	-	-	0.01
16-Dec-2011	JM Financial Institutional Securities Private Limited	INB 231296634	NSE	Purchase	1,00,000	90.29	-	-	0.03
10-Jun-2013	JM Financial Institutional Securities Private Limited	INB 231296634	NSE	Purchase	51,000	176.24	-	-	0.02
10-Jun-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	30,000	175.65	-	-	0.01
14-Jun-2013	JM Financial Institutional Securities Private Limited	INB 231296634	NSE	Purchase	1,19,000	169.75	-	-	0.04
1-Jul-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	2,03,000	184.60	-	-	0.07
9-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	46,000	1,125.45	0.02
10-Dec-2014	LKP Securities Ltd.	INB 230720030	NSE	Sale	-	-	17,400	1,125.19	0.01
10-Dec-2014	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	80,000	1,121.13	0.03
11-Dec-2014	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	94,000	1,121.20	0.03
19-Dec-2014	Macquarie Capital Securities (India) Pvt. Ltd.	INB 231246738	NSE	Sale	-	-	30,600	1,097.62	0.01
22-Dec-2014	Macquarie Capital Securities (India) Pvt. Ltd.	INB 231246738	NSE	Sale	-	-	47,000	1,106.67	0.02
7-Jan-2015	Standard Chartered - STCI Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	60,000	1,104.78	0.02
8-Jan-2015	Goldman Sachs (India) Securities Private Limited	INB 231277832	NSE	Sale	-	-	2,00,000	1,111.55	0.07
23-Jan-2015	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE		-	-	1,50,000	1,177.17	0.05
23-Jan-2015	Dhanki Securities Private Limited	INB 230995632	NSE	Sale	-	-	5,000	1,171.15	0.00
27-Jan-2015	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	2,00,000	1,172.36	0.07
28-Jan-2015	Nomura Financial Advisory and Securities (India) Pvt. Ltd.	INB 231299034	NSE	Sale	-	-	1,00,000	1,178.21	0.03
3-Feb-2015		INB 231299034	NSE		-	-	83,000	1,221.62	0.03
4-Feb-2015	CLSA India Ltd.	INB 230826436	NSE	Sale	-	-	68,300	1,215.08	0.02
4-Feb-2015	Closing Balance							15,21,700	0.52

Opening Balance is calculated on Share capital of Rs. 29,11,21,290 comprising 29,11,21,290 shares of Re. 1 each

Closing Balance is calculated on Share capital of Rs. 29,17,95,921 comprising 29,17,95,921 shares of Re. 1 each