



**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED ON 31st MARCH, 2019

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **UGRO Capital Limited (formerly known as Chokhani Securities Limited)** having its registered office at Equinox Business Park, Tower 3, Fourth Floor, Off BKC, LBS Road, Kurla, Mumbai, Maharashtra, 400070, maintained by **Link Intime India Private Limited and Universal Capital Securities Private Limited,*** the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 01st October, 2018 to 31st March, 2019 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **UGRO Capital Limited (formerly known as Chokhani Securities Limited)** and based on information provided by the Company, we hereby certify that during the half year ended on **31st March, 2019** the Company:

- a) has issued Share Certificate(s) relating to the Share Transfer form(s) received during the said half year (from 01st October, 2018 to 31st March, 2019) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds; and
- b) has issued share certificate in respect of the request received for consolidation during the half year ended on 31st March, 2019 within thirty days from the respective date of lodgment excepting those rejected on technical grounds and there is no other request for sub-division, renewal exchange or endorsement of calls/ allotment monies during the said period.

**Link Intime India Private Limited appointed as Registrar and Share Transfer Agent of the Company with effect from 27th February, 2019 and ISIN shifted from erstwhile Registrar to Link Intime India Private Limited on 21st March, 2019.*

Place: Ghaziabad
Date: 25th April, 2019

For Pankaj Nigam & Associates
Practicing Company Secretaries


Pankaj Kumar Nigam
Proprietor
M. No. FCS: 7343, C. P. No. 7979