



**SUNDARAM FINANCE**

*Enduring values. New age thinking.*

SEC:79:14/CS

June 3, 2014

The Manager - Listing  
National Stock Exchange of India Limited  
Capital Market – Listing  
Exchange Plaza, 5th Floor  
Plot No.C/1, G Block  
Bandra-Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sirs,

**Sub: Disclosure of change in shareholding**

We enclose disclosures in Form D under Regulation 13 (4) of SEBI (Prohibition of Insider Trading) Regulations, 1992, received from 1 Director and 7 Officers of our Company in respect of 13,000 Stock Options exercised by them under Sundaram Finance Employee Stock Option Scheme - 2008.

Thanking you,

Yours truly,  
for Sundaram Finance Limited

P. Viswanathan  
Secretary & Compliance Officer

**Sundaram Finance Limited**

Regd. Office : 21, Patullos Road, Chennai - 600 002, India Ph: +91 44 2852 1181 Fax: +91 44 2858 6641 www.sundaramfinance.in PAN: AAACS4944A

CIN: L65101TN1000PLC002429

A. N. RAJU

Flat No 1 A Block No 1,  
Magnolia Park,  
2 Five Furlong Road,  
Guindy  
Chennai 600 032

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

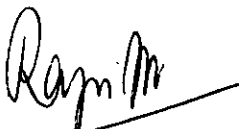
**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D relating to 3,000 Equity Shares acquired by me pursuant to Sundaram Finance Employee Stock Option Scheme – 2 008 Grant -5, in accordance with Regulation 13(4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly



A N RAJU

Encl: Form D

## Form D

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992**  
*[Regulation 13(4) 13 (4A) and 13(6)]*

**Details of change in shareholding by Director or Officer and his dependents or Promoter or Person who is part of Promoter Group of a listed company**

| Name, PAN No. & Address of Promoter / Person who is part of Promoter Group / Director / Officer                                            | No. & % of shares/voting rights held by the Promoter / Person who is part of Promoter Group / Director / Officer | Date of receipt of allotment advice/acquisition/ sale of shares/voting rights | Date of intimation to Company | Mode of acquisition (market purchase/ public/rights/ preferential offer etc.)/ sale                              | No. & % of shares / voting rights post acquisition/ sale | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value   | Sell Quantity | Sell Value |
|--------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|-------------|---------------|------------|
| A. N. Raju<br>PAN: AACPR9536L<br>R. N. MALA<br>Flat No 1 A Block No 1<br>Magnolia Park<br>2 Five Furlong Road<br>Guindy<br>Chennai 600 032 | 20,028 shares<br>(0.018%) held jointly                                                                           | 02.06.2014                                                                    | 03.06.2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 23,028 shares<br>(0.021%) held jointly                   | Not Applicable                                                                          | Not Applicable                           | 3,000        | Rs.30,000/- | -             | -          |

Date: 03.06.2014

  
 Signature of Director

PARAMESH KRISHNAIER

31A, 4th Seaward Road,  
Valmiki Nagar,  
Tiruvanmiyur,  
Chennai 600 041

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

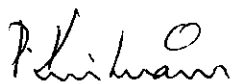
**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 2,000 stock options exercised by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant-5, in accordance with Regulation 13(4) of SEBI (Prohibition of Insider Trading) Regulations, 1992

Kindly acknowledge receipt.

Thanking you,

Yours truly



Paramesh Krishnaier

Encl: Form D

**Form D**

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992**  
[Regulation 13(4) 13 (4A) and 13(6)]

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| Name, PAN No. & Address of Promoter / Person who is part of Promoter Group / Director / Officer                                                         | No. & % of shares/voting rights held by the Promoter / Person who is part of Promoter Group / Director / Officer | Date of receipt of allotment advice/acquisition/ sale of shares/voting rights | Date of intimation to Company | Mode of acquisition (market purchase/ public/rights/ preferential offer etc.)/ sale                              | No. & % of shares / voting rights post acquisition/ sale                                       | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value   | Sell Quantity | Sell Value |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|-------------|---------------|------------|
| <b>Paramesh Krishnaier</b><br>PAN: AFDPK8646L<br><b>Indrani Krishnaier</b><br>31A, 4th Seaward Road,<br>Valmiki Nagar, Tiruvanmiyur,<br>Chennai 600 041 | 400 shares<br>(0.0004%) in<br>my sole name<br>and 13,000<br>shares<br>(0.012%) held<br>Jointly                   | 02.06.2014                                                                    | 03.06.2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 400 shares<br>(0.0004%) in<br>my sole name<br>and 15,000<br>shares<br>(0.014%) held<br>Jointly | Not Applicable                                                                          | Not Applicable                           | 2,000        | Rs.20,000/- | -             | -          |

Date: 03.06.2014

  
Signature of Officer

M. RAMASWAMY

No.5A, Mangaduswamy Street,  
Nungambakkam  
Chennai - 600034

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 2,750 equity shares acquired by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant – 5, in accordance with Regulation 13 (4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly

  
M. RAMASWAMY  
Encl: Form D

## Form D

## Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

[Regulation 13(4) 13 (4A) and 13(6)]

Details of change in shareholding by ~~Director or Officer~~ and his dependents ~~or Promoter or Person who is part of Promoter Group~~ of a listed company

| Name, PAN No. & Address of Director/Officer                                                                        | No. & % of shares/voting rights held by the Director/Officer | Date of receipt of allotment <del>advice/acquisition/ sale of shares/voting rights</del> | Date of intimation to Company | Mode of acquisition (market purchase/ public/rights/ preferential offer etc.)                                    | No. & % of shares/post acquisition/ voting rights sale | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value (Rs.) | Sell Quantity | Sell Value |
|--------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|-----------------|---------------|------------|
| M. Ramaswamy<br>PAN: AAAPR3587K<br>No.5A, Mithra Flats<br>Mangaduswamy Street,<br>Nungambakkam,<br>Chennai 600 034 | 16,402 shares<br>(0.015%) held<br>individually               | 02-06-2014                                                                               | 03-06-2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 19,152 shares<br>(0.017%) held<br>individually         | Not Applicable                                                                          | Not Applicable                           | 2,750        | Rs.27,500/-     | -             | -          |

Date: 03-06-2014

Signature of Officer

S. SRINIVASAN

Door No.4, Thambusamy  
Road, Kilpauk,  
Chennai 600 010

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

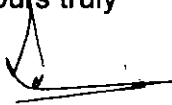
**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 750 equity shares acquired by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant-5, in accordance with Regulation 13(4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly



S. SRINIVASAN

Encl: Form D

## Form D

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992**  
*[Regulation 13(4) 13 (4A) and 13(6)]*

**Details of change in shareholding by ~~Director or Officer and his dependents or Promoter or Person who is part of Promoter Group~~ of a listed company**

| Name, PAN No. & Address of <del>Promoter / Person who is part of Promoter Group / Director / Officer</del> | No. & % of shares/voting rights held by the <del>Promoter / Person who is part of Promoter Group / Director / Officer</del> | Date of receipt of allotment <del>advice/acquisition/ sale of shares/voting rights</del> | Date of intimation to Company | Mode of acquisition (market purchase/ public/rights/ preferential offer etc.)/ sale                               | No. & % of shares / voting rights post acquisition/ sale | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value  | Sell Quantity | Sell Value |
|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|------------|---------------|------------|
| S. Srinivasan<br>PAN: AIUPS2376M<br>Door No. 4, Thambusamy Road, Kilpauk, Chennai - 600 010                | 6,500 shares (0.006%) held individually                                                                                     | 02.06.2014                                                                               | 03.06.2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme - 2008 at an exercise price of Rs.10/-. | 7,250 shares (0.006%) held individually                  | Not Applicable                                                                          | Not Applicable                           | 750          | Rs.7,500/- | -             | -          |

Date:

03.06.2014



Signature of Officer

S. RAVINDRAN

No. 3/2, 50th Street,  
Ashok Nagar,  
Chennai, 600083

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

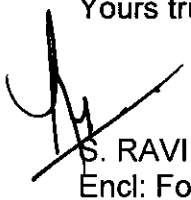
**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 750 equity shares acquired by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant-5, in accordance with Regulation 13(4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly

  
S. RAVINDRAN  
Encl: Form D

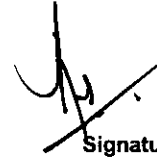
**FORM D**

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992**  
[Regulation 13(4) and (6)]

**Details of change in shareholding by Director or Officer of a listed company (Sundaram Finance Limited) and his dependents**

| Name, PAN No. & Address of Promoter / Person who is part of Promoter Group / Director / Officer                  | No. & % of shares/voting rights held by the Promoter / Person who is part of Promoter Group / Director / Officer | Date of receipt of allotment advice/acquisition/ sale of shares/voting rights | Date of intimation to Company | Mode of acquisition (market purchase/ public/rights/ preferential offer etc.)/ sale                              | No. & % of shares / voting rights post acquisition/ sale | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value   | Sell Quantity | Sell Value |
|------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|-------------|---------------|------------|
| S. Ravindran &<br>PAN: AUGPS7800J<br>Geetha Ravindran<br>No. 3/2, 50th Street,<br>Ashok Nagar,<br>Chennai 600083 | 4,684 Shares<br>(0.004%) held<br>Jointly                                                                         | 02.06.2014                                                                    | 03.06.2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 5,434 Shares<br>(0.005%)<br>held Jointly                 | Not Applicable                                                                          | Not Applicable                           | 750          | Rs. 7,500/- | -             | -          |

Date: 03.06.2014

  
Signature of the Officer

P. VISWANATHAN

Flat No.1, 4th Floor,  
'Voora Vijayashree Flats',  
New No.47, Old No.22,  
North Boag Road, T. Nagar,  
Chennai - 600017

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 1,500 equity shares acquired by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant - 5, in accordance with Regulation 13 (4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly,



P. VISWANATHAN

Encl: Form D

## Form D

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992**  
*[Regulation 13(4) 13 (4A) and 13(6)]*

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| Name, PAN No. & Address of <del>Promoter / Person who is part of Promoter Group / Director / Officer</del>                                                                             | No. & % of shares/voting rights held by the <del>Promoter / Person who is part of Promoter Group / Director / Officer</del> | Date of receipt of allotment <del>advice/acquisition/ sale of shares/voting rights</del> | Date of intimation to Company | Mode of acquisition (market purchase/ public/rights/ preferential offer etc.)/ sale                              | No. & % of shares / voting rights post acquisition/ sale                       | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value   | Sell Quantity | Sell Value |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|-------------|---------------|------------|
| P Viswanathan<br>PAN: AAPV1790J<br>Shobana Viswanathan<br>Flat No.1, 4th Floor<br>'VOORA VIJAYASHREE FLATS'<br>New No.47, Old No.22<br>North Boag Road<br>T.Nagar,<br>Chennai – 600017 | 4,508 shares (0.004%) held individually and 30,008 shares (0.027%) held jointly                                             | 02.06.2014                                                                               | 03.06.2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 4,508 shares (0.004%) held individually and 31508 shares (0.028%) held jointly | Not Applicable                                                                          | Not Applicable                           | 1,500        | Rs.15,000/- | -             | -          |

Date: 03.06.2014

  
Signature of Officer

K. Sankarakumar

No. 273/2, Anna Nagar Extn,  
3<sup>rd</sup> Avenue, Sector C  
16<sup>th</sup> Street Chennai 600 101

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

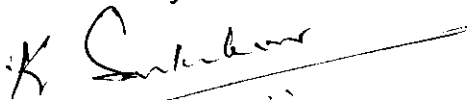
**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 750 equity shares acquired by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant-5, in accordance with Regulation 13(4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly



K. Sankarakumar  
Encl: Form D

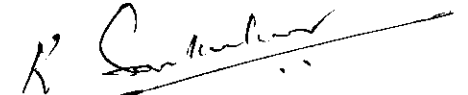
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| K Sankarakumar<br>PAN: ABGPS9031E<br>273/2, Anna Nagar Extn,<br>3rd Avenue, Sector 'C'<br>16th Street, Chennai, 600101 | 1500 shares<br>held Individually<br>(0.001%)                                                                     | 02-06-2014                                                                    | 03-06-2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 2250 shares held Individually (0.002%)                   | Not Applicable                                                                          | Not Applicable                           | 750          | Rs. 7,500/- | -             | -          |

Date: 03.06.2014

  
Signature of the Officer

K. SWAMINATHAN

Ceebros Gardens,  
Orchid 2G, II Floor  
1/2, Vembuliamman Koil Street  
Virugambakkam  
Chennai 600 092

Date: 03.06.2014

The Manager - Listing  
National Stock Exchange of India Ltd.  
Capital Market – Listing  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (E), Mumbai 400 051

Dear Sir,

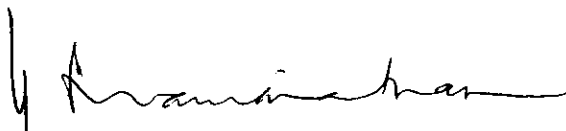
**Sub: Disclosure of Change in shareholding**

I am enclosing disclosure in Form D, relating to 1,500 shares acquired by me, pursuant to Sundaram Finance Employee Stock Option Scheme – 2008 Grant - 5, in accordance with Regulation 13(4) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Kindly acknowledge receipt.

Thanking you,

Yours truly



K. SWAMINATHAN

Encl: Form D

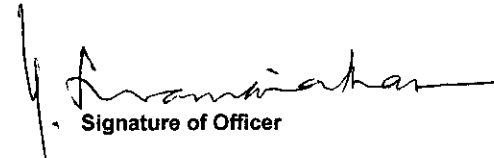
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|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------|--------------|-------------|---------------|------------|
| K. Swaminathan &<br>PAN: AAMPS2585G<br>Nithya Swaminathan<br>Ceebros Gardens<br>Orchid 2G, 2nd Floor<br>1/2 Vembuliamman Koil Street<br>Virugambakkam<br>Chennai 600 092 | 11,440 shares<br>(0.010%) held jointly                                                                                      | 02.06.2014                                                                    | 03.06.2014                    | Acquisition of shares under Sundaram Finance Employee Stock Option Scheme -2008 at an exercise price of Rs.10/-. | 12,940 shares<br>(0.012%) held jointly                   | Not Applicable                                                                          | Not Applicable                           | 1,500        | Rs.15,000/- | -             | -          |

Date: 03.06.2014

  
 Signature of Officer