



**ADITYA BIRLA
CAPITAL**

PROTECTING INVESTING FINANCING ADVISING

Date: 29 April, 2021

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400001

Scrip Code: 540691

National Stock Exchange of India Limited

Exchange Plaza,
Plot no. C/1, G-Block,
Bandra Kurla Complex, Bandra (East)
Mumbai- 400051

Scrip Code: ABCAPITAL

Dear Sir/ Madam,

Sub: Compliance Certificate pursuant to Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31 March 2021

Pursuant to Regulation 40(9) and 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith a copy of the compliance certificate received from M/s Dilip Bharadiya and Associates, Practicing Company Secretary, for the half year ended 31 March 2021.

Thanking you,

Yours sincerely,

For **Aditya Birla Capital Limited**

Amber Gupta
Company Secretary
ACS 14091

Aditya Birla Capital Ltd.

OneWorld Centre, Tower 1, 18th Floor, Jupiter Mill Compound,
841, Senapati Bapat Marg, Elphinstone Road, Mumbai 400013
+91 22 4356 7000 (F) +91 22 4356 7111
abc.secretarial@adityabirlacapital.com | www.adityabirlacapital.com

Registered Office:

Indian Rayon Compound, Veraval- 362 266. Gujarat
+91 28762 45711
CIN L67120GJ2007PLC058890

To,
KFIN TECHNOLOGIES PRIVATE LIMITED
Selenium, Tower B, Plot 31-32, Gachibowli,
Financial District, Hyderabad - 500 032

To,
ADITYA BIRLA CAPITAL LIMITED
Registered Office Address:
Indian Rayon Compound,
Veraval - 362266
Correspondence Address:
One World Center, Tower 1,
18th Floor, Jupiter Mill Compound,
841, Senapati Bapat Marg,
Elphinstone Road, Mumbai - 400 013

Sub: Compliance certificate by Practicing Company Secretaries for the half year ended as on March 31, 2021, in terms of the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015 ("SEBI Listing Regulations")

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Aditya Birla Capital Limited** ("Company") maintained by the Registrar & Transfer Agent of the Company i.e. KFIN Technologies Private Limited (Formerly known as Karvy Fintech Pvt. Ltd.) from October 1, 2020 till March 31, 2021 pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of Equity shares of the Company for the period from October 1, 2020 to March 31, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) & 40(10) of the *SEBI Listing Regulations*.

Considering the various travel and other restrictions imposed by authorities in the state of Maharashtra due to COVID-19 pandemic, the process of audit has been modified. Some of the documents /records /returns / registers were not verified physically, however, the documents were made available in electronic mode and were verified based on the representations received from the Company for its accuracy and authenticity and based on the information provided by the Company and its RTA, I hereby certify that the Company has delivered during half year ended on **March, 31 2021:**

- A) Share Certificate(s) relating to the transfer of shares/transmission of shares received during the period from **01.10.2020 to 31.03.2021** as entered in the Memorandum of transfers/transmission/sub-division and renewals have been issued within 15/30 days of the date of lodgment for transfer/transmission/renewal other than those rejected on technical grounds.



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B) Share Certificates in respect of requests for exchange of duplicate, consolidated, subdivision, renewal, endorsement of call/ allotment money, transmission and split certificates have been issued within 21/30 days of lodgement as may be applicable.

For DILIP BHARADIYA & ASSOCIATES
Company Secretaries.



DILIP BHARADIYA
Proprietor
FCS No. 7956, C.P. No. 6740
UDIN: F007956C000204790



Place: Mumbai

Date: April 29, 2021