

SEC/FILING/BSE-NSE/21-22/68A-B

September 15, 2021

BSE Limited
P. J. Towers,
Dalal Street, Fort,
Mumbai – 400 001.
Scrip Code: 511218

National Stock Exchange of India Limited
Listing Department
Exchange Plaza, 5th Floor,
Plot no. C/1, G- Block,
Bandra-Kurla Complex,
Mumbai – 400 051.
NSE Symbol: SRTRANSFIN

Dear Sirs,

Sub.: Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') and information received from Joint Statutory Auditors upon resignation as per SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019

In continuation of our letter dated August 04, 2021 regarding disclosure under Regulation 30 of Listing Regulations pertaining to change in Joint Statutory Auditors pursuant to RBI Circular No. DoS.CO.ARG/SEC.01/08.91.001/2021-22 dated April 27, 2021 issued by the Reserve Bank of India on appointment of Statutory Auditors for Banks and NBFCs (RBI Guidelines) and letter dated September 15, 2021 regarding Proceeding of Extra-ordinary General Meeting held today, we inform you that in order to comply with the requirements of the RBI Guidelines, M/s. Haribhakti & Company LLP, Chartered Accountants and M/s. Pijush Gupta & Co. Chartered Accountants have resigned as Joint Statutory Auditors of the Company effective from the conclusion of today's Extra-ordinary General Meeting ('outgoing Joint Statutory Auditors'). The outgoing Joint Statutory Auditors had completed four years of their tenure and hence as per the RBI Guidelines they are not eligible to continue as Joint Statutory Auditors from the second half of the current Financial Year 2021-22.

Please find enclosed the information pursuant paragraph no. 6(C)(i) of the SEBI circular CIR/CFD/CMD1/114/2019 dated October 18, 2019 (**Annexure A**) and the disclosure as required under sub-clause (7) of clause A in Part A of Schedule III under Regulation 30(2) of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated September 9, 2015 (**Annexure B**).

Kindly take the same on record.

Thanking you,
Yours faithfully,

For **SHRIRAM TRANSPORT FINANCE COMPANY LIMITED**



VIVEK ACHWAL
COMPANY SECRETARY

Encl.a/a

Shriram Transport Finance Company Limited

Corporate Office: Wockhardt Towers, Level – 3, West Wing, C-2, G-Block, Bandra – Kuria Complex, Bandra (East), Mumbai – 400 051. Tel: +91 22 4095 9595 | Fax: +91 22 4095 9597.

Registered Office: Sri Towers, 14A, South Phase, Industrial Estate, Guindy, Chennai – 600 032, Tamil Nadu, India. Tel: +91 44 4852 4666 | Fax: +91 44 4852 5666.

Website: www.sffc.in | Corporate Identity Number (CIN) – L65191TN1979PLC007874

Annexure A to SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019

Format of information to be obtained from the statutory auditor upon resignation

1. Name of the listed entity/ material subsidiary :	Shriram Transport Finance Company Limited
2. Details of the statutory auditor:	
a. Name:	Haribhakti & Co. LLP
b. Address:	701, Leela Business Park, Andheri Kurla Road, Andheri (East), Mumbai 400 059.
c. Phone number:	+91 22 6672 9999
d. Email:	sumant.sakhardande@haribhakti.co.in.
3. Details of association with the listed entity/ material subsidiary :	
a. Date on which the statutory auditor was appointed:	Joint Statutory Auditors were appointed in the Annual General Meeting held on June 29, 2017 for a period of 5 years.
b. Date on which the term of the statutory auditor was scheduled to expire:	On the conclusion of the 43 rd Annual General Meeting (after completion of the statutory audit for the financial year ending March 31, 2022)
c. Prior to resignation, the latest audit report limited review report submitted by the auditor and date of its submission:	Limited review for the quarter ended June 30, 2021 for which the report was issued on July 30, 2021.
4. Detailed reasons for resignation:	Not eligible to continue as auditor in view of Circular of Reserve Bank of India Ref. No. DoS.CO. ARG/SEC.01/08.91.001/2021-22 dated April 27, 2021 (the notification) read with Frequently Asked Questions dated June 11, 2021.
5. In case of any concerns, efforts made by the auditor prior to resignation (including approaching the Audit Committee/Board of Directors along with the date of communication made to the Audit Committee/Board of Directors):	Not Applicable, as the resignation is on account of a regulatory requirement.
6. In case the information requested by the auditor was not provided, then following shall be disclosed:	Not Applicable



a. Whether the inability to obtain sufficient appropriate audit evidence was due to a management-imposed limitation or circumstances beyond the control of the management	Not Applicable
b. Whether the lack of information would have significant impact on the financial statements/results	Not Applicable
c. Whether the auditor has performed alternative procedures to obtain appropriate evidence for the purposes of audit/limited review as laid down in SA 705 (Revised)	Not Applicable
d. Whether the lack of information was prevalent in the previous reported financial statements/results. If yes, on what basis the previous audit/limited review reports were issued	Not Applicable
7. Any other facts relevant to the resignation:	None

Declaration

1. We hereby confirm that the information given in this letter and its attachments is correct and complete.
2. We hereby confirm that there is no other material reason other than those provided above for resignation of my firm.

For Haribhakti & Co. LLP
Chartered Accountants
(Firm Registration No. 103523W/W100048)


Sumant Sakhardande
Partner

Membership No. 034828

Mumbai, Dated September 15, 2021.



PIJUSH GUPTA & CO.

ANNEXURE A

Annexure A to SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019

Format of information to be obtained from the statutory auditor upon resignation

1. Name of the listed entity/ material subsidiary :	Shriram Transport Finance Company Limited
2. Details of the statutory auditor:	
a. Name:	Pijush Gupta & Co
b. Address:	GF 17, August Point , Golf Course Road , Sector 53. Gurugram -122002
c. Phone number:	+91 0124-4569416
d. Email:	sangeeta@pijushgupta.com
3. Details of association with the listed entity/ material subsidiary :	
a. Date on which the statutory auditor was appointed:	Joint Statutory Auditors were appointed in the Annual General Meeting held on June 29, 2017 for a period of 5 years.
b. Date on which the term of the statutory auditor was scheduled to expire:	On the conclusion of the 43 rd Annual General Meeting (after completion of the statutory audit for the financial year ending March 31, 2022)
c. Prior to resignation, the latest audit report /limited review report submitted by the auditor and date of its submission:	Limited review for the quarter ended June 30, 2021 for which the report was issued on July 30, 2021.
4. Detailed reasons for resignation:	Not eligible to continue as auditor in view of Circular of Reserve Bank of India Ref. No. DoS.CO. ARG/SEC.01/08.91.001/2021-22 dated April 27, 2021 (the notification) read with Frequently Asked Questions dated June 11, 2021.
5. In case of any concerns, efforts made by the auditor prior to resignation (including approaching the Audit Committee/Board of Directors along with the date of communication made to the Audit Committee/Board of Directors):	Not Applicable, as the resignation is on account of a regulatory requirement.
6. In case the information requested by the auditor was not provided, then following shall be disclosed:	Not Applicable



a. Whether the inability to obtain sufficient appropriate audit evidence was due to a management-imposed limitation or circumstances beyond the control of the management	Not Applicable
b. Whether the lack of information would have significant impact on the financial statements/results	Not Applicable
c. Whether the auditor has performed alternative procedures to obtain appropriate evidence for the purposes of audit/limited review as laid down in SA 705 (Revised)	Not Applicable
d. Whether the lack of information was prevalent in the previous reported financial statements/results. If yes, on what basis the previous audit/limited review reports were issued	Not Applicable
7. Any other facts relevant to the resignation:	None

Declaration

1. We hereby confirm that the information given in this letter and its attachments is correct and complete.
2. We hereby confirm that there is no other material reason other than those provided above for resignation of my firm.

For Pijush Gupta & Co
Chartered Accountants
(Firm Registration No.309015E)



Sangeeta Gupta

Partner

Membership No. 064225

Gurugram, Dated September 15, 2021.



Information as required under Regulation 30 - sub-clause (7) of Clause A in Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated September 9, 2015

SN.	Requirement	Disclosure
1	Reason for change	<p>Reason for resignation of M/s Haribhakti & Co. LLP and M/s Pijush Gupta & Co., Joint Statutory Auditors of the Company:</p> <p>Pursuant to RBI Guidelines, M/s Haribhakti & Co. LLP, Chartered Accountants (Firm Registration No.103523W/W100048) and M/s Pijush Gupta & Co., Chartered Accountants (Firm Registration No. 309015E), have resigned as Joint Statutory Auditors of the Company effective from the conclusion of today's Extra-ordinary General Meeting ('outgoing Joint Statutory Auditors'). The outgoing Joint Statutory Auditors had completed four years of their tenure and hence as per the RBI Guidelines they are not eligible to continue as Joint Statutory Auditors from the second half of the current Financial Year 2021-22.</p>
2	Date of Cessation of outgoing Joint Statutory Auditors'	Cessation effective from conclusion of Extra-ordinary General Meeting held on September 15, 2021

Shriram Transport Finance Company Limited

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