



OASIS SECURITIES LTD.

Regd. Off.: Raja Bahadur Compound, Building No.5, 2nd Floor, 43 Tamarind Lane, Fort, Mumbai – 400 001
☎ : 4046 3500 / 01 • E-mail : admin@oasiscaps.com
CIN: L51900MH1986PLC041499 • Website: www.oasiscaps.com

Date: 12.04.2021

The General Manager
BSE LIMITED
P. J. Towers, Dalal Street
Mumbai – 400 001

Scrip code: 512489

Sub: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015 for half year ended 31.03.2021

Sir / Madam,

Pursuant to the Clause 40 (9) of the SEBI (Listing Obligations and Disclosure requirements) Regulations 2015, enclosed is copy of the Certificate from the practicing Company Secretary for the half year ended 31.03.2021

Thank you.

Yours truly,
For Oasis Securities Limited


Anil Kumar Bagri
Managing Director

PARIKH PAREKH & ASSOCIATES
COMPANY SECRETARIES

Office

111, 11th Floor, Sai-Dwar CHS Ltd.,
Sab TV Lane, Opp Laxmi Industrial Estate,
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai: 400053
Tel No.: 26301232 / 26301233 / 26301240
Email: cs@parikhassociates.com
parikh.associates@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to **OASIS SECURITIES LIMITED** ('the Company') maintained and provided electronically by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) There were no requests relating to transfers, deletion of name, transmission of securities, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from 01st October, 2020 to 31st March, 2021.

B) Any other information, if applicable: --

For Parikh Parekh & Associates

**Mohammad
Pillikandlu**

Digitally signed by Mohammad Pillikandlu
DN: c=IN, o=Personal, postalCode=400058,
st=Maharashtra,
2.5.4.20=8214168fbf82ba39874cb0ab53332
6d2fd33f894c2ce72823a068907c552b3d7,
serialNumber=572ebce0431e121c4dcbac81
b193b6412e2b2e04485121c598eda4564a57
c0b8, cn=Mohammad Pillikandlu
Date: 2021.04.12 13:00:02 +05'30'

Place: Mumbai
Date: April 12, 2021

Signature:
Name of Company Secretary: **Mohammad Pillikandlu**
FCS: 10619 CP: 14603
UDIN: F010619C000061230