

9 June 2021

To Corporate Relations Department BSE Limited 1 st Floor, New Trading Ring Rotunda Building, P J Tower Dalal Street Mumbai 400 001 BSE Code: 532978	To Corporate Listing Department National Stock Exchange of India Ltd. Exchange Plaza, 5 th Floor Plot No.C-1, G Block Bandra-Kurla Complex Bandra (East), Mumbai 400 051 NSE Code: BAJAJFINSV
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Subject: Reporting of contravention of the Company's Code of Conduct to Regulate, Monitor and Report Trading by Designated Persons

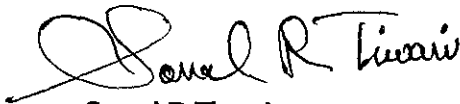
Dear Sirs/Madam,

Pursuant to clause 13 of Schedule B of SEBI (Prohibition of Insider Trading) Regulations, 2015, as amended, we hereby submit details of violations of the Company's Code of Conduct by a Designated Person of the Company.

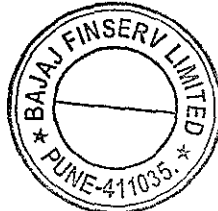
The details of the same are enclosed as **Annexure A** in the format prescribed by SEBI vide its circular dated 23 July 2020.

Thanking you,

Yours faithfully,
For Bajaj Finserv Limited



Sonal R Tiwari
Company Secretary & Compliance Officer

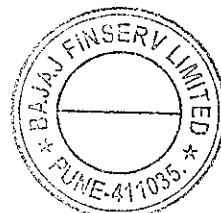


Encl: as above.

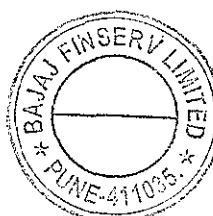
**Report by Bajaj Finserv Limited for violations related to Code of Conduct
under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations,
2015.]

Sr. No.	Particulars	Details
1	Name of the listed company	Bajaj Finserv Limited
2	Please tick appropriate checkbox Reporting in capacity of: ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the Designated Person (DP)	Sourabh Chatterjee
	ii. PAN of the DP	ACTPC9295L
	iii. Designation of DP	Head – IT of subsidiary company i.e. Bajaj Allianz General Insurance Company Ltd.
	iv. Functional Role of DP	Head – IT
	v. Whether DP is Promoter /Promoter Group/holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	BAJAJ FINSERV LTD BSE Code- 532978 NSE Code- BAJAJFINSV
	ii. Number of shares traded (which includes pledge) and value (Rs.) (Date-wise)	Sold 100 equity shares on 17 May 2021 of Bajaj Finserv Limited as Designated Person of the Company. Inadvertently delayed disclosure of sale of esop shares by 1 day due to COVID-19 case in family. Value was Rs. 11,36,740.



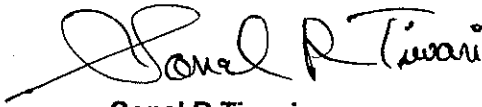
Sr. No.	Particulars	Details
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	21 May 2021
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	24 May 2021
4	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Sold 100 equity shares on 17 May 2021 of Bajaj Finserv Limited as Designated Person of the Company. Inadvertently delayed disclosure in Form C by 1 day for sale of esop shares due to COVID-19 case in family.
5	Action taken by Listed company/ Intermediary/ Fiduciary	A penalty of Rs. 10,000/- has been levied on Shri Sourabh Chatterjee by the Company as per the Penalty Structure approved by the Board of Directors of the Company. A strict warning letter has also been issued to him.
6	Reasons recorded in writing for taking action stated above	For delay in Form C by 1 day penalty of Rs. 10,000 has been levied for the said violation in accordance with the Penalty Structure under the Insider Trading Code of the Company as approved by the Board of Directors of the Company.
7	Details of the previous instances of violations, if any, since last financial year	Nil



Sr. No.	Particulars	Details												
8	If any amount collected for Code of Conduct violation(s)													
	i) Mode of transfer to SEBI - IPEF (Online Transfer)													
	ii) Details of transfer/online payment													
	<table border="1"><thead><tr><th>Particulars</th><th>Details</th></tr></thead><tbody><tr><td>Name of the transferor</td><td>Bajaj Finserv Limited</td></tr><tr><td>Bank Name, branch and Account number</td><td>Name: Citibank NA Branch: Fort A/c No.: 0016833002</td></tr><tr><td>UTR/Transaction reference Number</td><td>CITIN21186120174</td></tr><tr><td>Transaction date</td><td>9 June 2021</td></tr><tr><td>Transaction Amount (in Rs.)</td><td>Rs.10,000/- (Rupees Ten Thousand Only)</td></tr></tbody></table>	Particulars	Details	Name of the transferor	Bajaj Finserv Limited	Bank Name, branch and Account number	Name: Citibank NA Branch: Fort A/c No.: 0016833002	UTR/Transaction reference Number	CITIN21186120174	Transaction date	9 June 2021	Transaction Amount (in Rs.)	Rs.10,000/- (Rupees Ten Thousand Only)	
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9	Any other relevant information													

Yours faithfully,

For Bajaj Finserv Limited



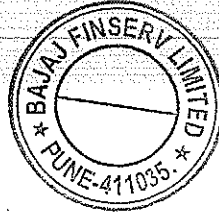
Sonal R Tiwari

Company Secretary & Compliance Officer

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Email id: sonal.tiwari@bajajfinserv.in

Mobile Number: 9890500576



Date: 9 June 2021

Place: Pune