



Date: April 09, 2021

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Dear Sir/Madam,

We enclose herewith the Certificate as required under Regulation 40(10) of SEBI (LODR) Regulations, 2015 issued by Sachin Kumar Shrivastva, Company Secretary dated April 06, 2021 for the half year ended 31.03.2021.

Kindly find the above in order and acknowledge the same.

Thanking you,

For Aravali Securities and Finance Limited

Sd/-
Ruchi Shrivastava
Company Secretary

Note: In view of the Guidelines issued by the stock exchanges for submission of the documents in the wake of CoVID-19 virus pandemic, this document is being submitted without signature of the aforesaid signatories i.e. with Sd/-.

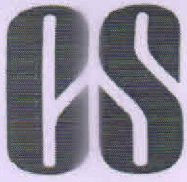
Encl: As above

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE : RIDER HOUSE, 4th Floor, Plot No. 136, Sector-44, Gurgaon-122003

Phone : +91-124-4556677

CIN : L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email : info@aravalisecurities.com



Sachin Kumar Shrivastva

Company Secretary

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENT) REGULATIONS 2015

FOR THE HALF YEAR ENDED MARCH 31, 2021

UDIN: A055362C000028566

I have examined all Share Transfer Deeds, Memorandum of transfers, registers, files and other documents relating to **M/s Aravali Securities and Finance Limited** as maintained by the Company through its Registrar and Share Transfer Agent M/s Skyline Financial Services Private Limited, pertaining to transfer of equity shares of the Company for the period from October 1, 2020 to March 31, 2021 for the purpose of issuing a certificate as per clause 40 (9) of the SEBI (Listing Obligation & Disclosure Requirement) 2015 and based on the information provided by the Company, I hereby certify that the Company during half year ended on March 31, 2021:

- No instance requesting for sub-division, consolidation, transfer, transmission, renewal, exchange or endorsement of call/allotment monies were received during the half year ended March 31, 2021.



Sachin

Date: 06.04.2021
Place: Vaishali

Sachin Kumar Shrivastva
(Company Secretary in Practice)
CP No. 21674