



Date: October 19, 2021

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Subject: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018

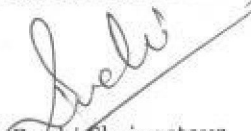
Dear Sir/Madam,

We enclose herewith a Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021 as received from M/s Skyline Financial Services Private Limited, Registrar and Share Transfer Agent of the Company.

You are requested to kindly take the above on record and acknowledge the receipt of the same.
Thanking You,

Thanking you,

For Aravali Securities and Finance Limited


Ruchi Shrivastava
Company Secretary



Encl: As above

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE : RIDER HOUSE, 4th Floor, Plot No. 136, Sector-44, Gurgaon-122003

Phone : +91-124-4556677

CIN : L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email : info@aravalisecurities.com

Dated: October 04, 2021

Ref: SFSPL/RTA/ September/2021

To,

The Company Secretary

ARAVALI SECURITIES & FINANCE LIMITED

PLOT NO - 136, 4TH FLOOR, RIDER HOUSE,

SECTOR 44 GURGAON 122003

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In accordance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the Quarter ended **30th September, 2021**, w.r.t. physical share certificates received for dematerialization of securities from the depository participant (Equity Shares) of the Company, "**ARAVALI SECURITIES & FINANCE LIMITED**" CIN: **L67120HR1980PLC039125**. We hereby confirm and certify the following:

- a) The securities comprised in the said certificate(s) have been listed on the Stock Exchange where the earlier issued securities are listed:

and

- b) The said certificate(s) after due verification have been mutilated and cancelled and the name of depository has been substituted in our records as registered owner within 15 days.

This is for your information and records.

Thanking you,

For Skyline Financial Services Private Limited



Servesh

Authorised Signatory



04/10/2021

Aravali Securities & Finance Limited

PLOT NO - 136,,

4TH FLOOR, RIDER HOUSE,,

SECTOR 44,

GURGAON - 122003

Dear Sir/Madam,

The demat Status Report of Aravali Securities & Finance Limited is as given below:

Particulars	Holding 30/06/2021	As on	Holding 30/09/2021	As on	Change in Total
NSDL	9948422		9868602		
CDSL	2783024		2864194		
TOTAL	12731446		12732796		1350

Thanking you.

For Skyline Financial Services Private Limited


Servesh

(Authorised Signatory)



ARAVALI SECURITIES & FINANCE LIMITED ISIN: INE068C01015 LIST OF DEMAT/REMAT/REJECTION(NSDL) FROM: 01/07/2021 TO 30/09/2021

S.NO.	CLIENT ID	D/R	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	IN300343-11368591	D	10000000057693	JAYANTIBHAI RAMDAS PATEL,,	03/07/2021	200	28/06/2021	200	0	08/07/2021	6	6388
2	IN302582-10051512	D	10000000007814	ASHOK VITHALDAS MARTHAK,,	12/08/2021	300	03/08/2021	0	300	20/08/2021	9	6389
3	IN300724-10178360	D	10000000008600	GEETA RANI,,	14/09/2021	200	09/09/2021	200	0	16/09/2021	3	6390

SUMMARY						
	QUANTITY DOWNLOADED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS
DEMAT	700	3	400	2	300	1
REMAT	0	0	0	0	0	0
Total	700	3	400	2	300	1



ARAVALI SECURITIES & FINANCE LIMITED ISIN: INE068C01015 LIST OF DEMAT/REMAT/REJECTION(CDSL) FROM: 01/07/2021 TO 30/09/2021

S.NO.	CLIENT ID	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	1207670000079226	9916069	GIRISHBHAI AMRUTLAL PATEL,,	03/07/2021	450	23/06/2021	0	450	14/07/2021	12	1572
2	1208250001423035	9923488	AKBAR ABDULHUSSEIN PRASLA,,	06/07/2021	50	29/06/2021	0	50	14/07/2021	9	1573
3	1201770100541637	9993766	VEENA JAIN,,	26/08/2021	100	21/08/2021	0	100	08/09/2021	14	1574
4	1203600006992617	10001724	MAHADEVAN VISWANATHANNAIR,,	02/09/2021	100	30/08/2021	100	0	07/09/2021	6	1575
5	1201770101299866	10005334	ANITA JAIN,,	07/09/2021	300	01/09/2021	0	300	11/09/2021	5	1576
6	1201770101299866	10005335	ANITA JAIN,,	07/09/2021	50	01/09/2021	0	50	11/09/2021	5	1578
7	1204720009536934	10007007	VERSHA MALHOTRA,,	07/09/2021	100	02/09/2021	0	100	10/09/2021	4	1577

SUMMARY						
	QUANTITY DOWNLOADED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS.
DEMAT	1150	7	100	1	1050	6
REMAT	0	0	0	0	0	0
Total	1150	7	100	1	1050	6

