

Tatia

GLOBAL VENNTURE LTD.

CIN No. L18101TN1994PLC026546

May 30, 2019

**TO
THE MANAGER,
LISTING /CORPORATE RELATIONS DEPARTMENT
THE BOMBAY STOCK EXCHANGE LTD,
PHIROZE JEEJEEBHOY TOWERS,
DALAL STREET,
MUMBAI- 400001.**

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report

Scrip Code No: 521228

With reference to SEBI circular No. CIR /CFD /CMDI /27 /2019 dated 08th February, 2019, we are submitting herewith the Annual Secretarial Compliance Report for the year ended 31st March, 2019.

We request you to kindly take the same on records.

Thanking you,
Yours faithfully,
For TATIA GLOBAL VENNTURE LTD

**S.PANNALAL JAIN TATIA
DIRECTOR
DIN.01208913**



New No.29, Old No.12, Mookathal street, II Floor, Purasawalkam, Chennai – 600 007
Website: tatia.co.in | Email: tatiainfo@gmail.com | Tel: 044-48676774



Secretarial Compliance Report of Tatia Global Vennture Limited for the year ended 31st March 2019

We, Lakshmmi Subramanian and Associates, have examined all the documents and records made available to us and explanation provided by Tatia Global Vennture Limited ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) all filings made with the MCA,

for the year ended 31st March, 2019 ("Review Period") in respect of compliance with the provisions of (1) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(2) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue Capital and Disclosure Requirements) Regulations, 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) Securities and Exchange Board of India (Delisting of Equity Shares) (Amendment) Regulation, 2016

(j) Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009

(k) Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003

(l) Securities and Exchange Board of India (Settlement Proceedings) Regulations, 2018 and circulars/ guidelines issued thereunder.



Lakshmmi Subramanian & Associates

LAKSHMMI SUBRAMANIAN, B.Com., FCS
P.S. SRINIVASAN, B.A., LL.B., A.C.S
S. SWETHA, B.Com., ACS
 Practising Company Secretaries

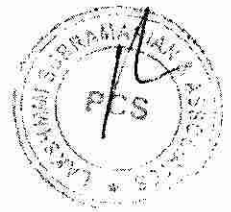
Further there are no events reported under (b), (d) to (g), (i), (j) to (l) for the year under review. With regard to (a), (c), (h) and (k) above, no deviations were found, except as specified below:

Further, the listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

S. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practising Company Secretary
1	Regulation 33 of SEBI (LODR) Regulations 2015	NIL	The Audited financial results (Standalone and Consolidated) for the Quarter and year ended 31.03.2018 was resubmitted 04.07.2018 and the same was acknowledged by BSE

(a) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.

(b) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder

S. No.	Action taken by	Details of Violation	Details of Action taken, eg., fines, warning letter, debarment, etc.	Observations/Remarks of the Practising Company Secretary, if any
1	SEBI Interim Order dated 09.10.2017 and Final Order dated 28.12.2018	The Company has been identified as a Shell Company vide MCA Letter dated 9 th June, 2017. The SEBI has passed an Interim Order dated 09.10.2017 and Final Order dated 28.12.2018 u/s 11, 11(4), 11A, 11B of SEBI Act, 1992	The Final Order Stated that the Promoters of the Company shall be permitted only to buy the securities of the Company. The Shares held by the Promoters and Directors shall not be allowed to be transferred for sale by depositories.	NIL 

"Murugesu Naicker Office Complex", 81, Greaves Road, Chennai 600 006.
Ph: 28292272, 28292273 Fax : 044-42142061, Cell : 9841015012, 9841047966
e-mail : lakshmmi6@gmail.com, worklistsa2@gmail.com

Lakshmmi Subramanian & Associates

LAKSHMMI SUBRAMANIAN, B.Com., FCS
P.S. SRINIVASAN, B.A., LL.B., A.C.S
S. SWETHA, B.Com., ACS
Practising Company Secretaries

3	Forensic Auditor appointed by SEBI. The Company was presumed to be shell company vide SEBI letter no. SEBI/HO/ISD/OW/P/2017/18183 dated August 07, 2017 and SEBI has initiated Forensic Audit of the Company.	In process	NIL	The Forensic Audit is under process.
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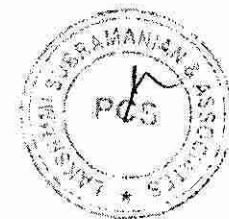
(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Observations of the Practising Company Secretary in previous reports	Observations made in the secretarial compliance report for the previous year ended.	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
Not Applicable				

Place: Chennai
Date: 29th May, 2019

Name of the Practising Company Secretary: P. S. Srinivasan

Signature:
ACS No.: 1090
C.P.No.: 3122



"Murugesha Naicker Office Complex", 81, Greams Road, Chennai 600 006.
Ph: 28292272, 28292273 Fax : 044-42142061, Cell : 9841015012, 9841047966
e-mail : lakshmmi6@gmail.com, worklistsa2@gmail.com