

THE SWASTIK SAFE DEPOSIT AND INVESTMENTS LIMITED

CIN: L65190MH1940PLC003151

Regd. Office: 4th Floor, Piramal Tower Annexe, Ganpatrao Kadam Marg, Lower Parel, Mumbai - 400 013
E: complianceofficer.swastik@piramal.com **W:** www.theswastiksafedeposit.in

May 03, 2024

The Market Operations Dept.,
BSE Limited
Dalal Street, Fort
Mumbai - 400 023

Dear Sirs,

Sub: Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('**SEBI LODR Regulations**'), We write to inform that the Company has on May 02, 2024 received an email from BSE Limited ('**Stock Exchange**') imposing a penalty of Rs. 59,23,600/- w.r.t. non-compliance of Regulation 23(9) of the SEBI LODR Regulations for the Half year ended on March 31, 2021.

The Company is in the process of taking appropriate legal steps in respect of the same.

The relevant information as per the format prescribed by SEBI, is attached as an **Annexure**.

Request you to please take the above on record and oblige.

Thanking you,
For The Swastik Safe Deposit and Investments Limited

Jitesh K. Agarwal
Company Secretary
FCS- 6890

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ANNEXURE

Sr. No.	Particulars	Details
1.	Name of authority.	BSE Limited.
2.	Nature and details of the action(s) taken, initiated or order(s) passed.	Email imposing a monetary penalty of Rs. 59,23,600/- on the Company.
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority.	May 02, 2024 (vide email from BSE Limited).
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed.	Alleged Non-Compliance of Regulation 23(9) of the SEBI LODR Regulations for the Half Year ended on March 31, 2021.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	No impact on the financial, operation or other activities of the listed entity.