



GCM SECURITIES LIMITED

MEMBER : NATIONAL STOCK EXCHANGE OF INDIA LTD. (SEBI REGN. NO. INB/INF 230793439)
: BOMBAY STOCK EXCHANGE OF INDIA LTD. (SEBI REGN. NO. INB/INF 010793439)

Corporate Office :

805, Raheja Centre, 8th Floor, 214, Nariman Point, F. B. Journal Road, Mumbai - 400 021.
Tel. : (91-22) 3023 5727 / 33 • Fax : (91-22) 3020 1364



EMPOWERING INVESTORS
A SEBI Initiative

To
Listing Dept.
BSE Limited
P.J. Towers, Dalal Street,
Fort, Mumbai-400 001

Scrip Code: - 535431 (SME)

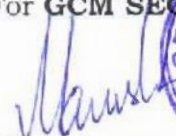
Sub.: Submission of Certificate u/r 40 (9) & (10) of SEBI LODR
Regulations 2015

Respected Sir/Madam,

With reference to the above, we are enclosing along with this letter, certificate for half year ended on 31st March 2019: issued & certified by Ms. Kirti Daga, Practicing Company Secretary; u/r 40(9) & (10) of SEBI LODR Regulations, 2015.

Kindly take the same on your record & oblige.

Thanking You,
For **GCM SECURITIES LIMITED**


Manish Baid
Managing Director



Registered Office: Sr. R. N. M. House, 3 B Lal Bazar Street, (5th Floor, Block-2), Kolkata - 700 001. India.

Phones : (91-33) 2248 1053 / 1415 / 9908 • Fax : (91-22) 2248 1415

CIN No:- L67120WB1995PLC071337 | Email Id:- gcmsecu.kolkata@gmail.com | Website:- www.gcmsecuritiesltd.com



Kriti Daga

Practicing Company Secretaries

4, Ho Chi Minh Sarani, KBR Complex, Flat No. 3C, Kolkata – 700 071

Mobile : +91 98361 62295, Email : kritisdaga@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31st March 2019

TO WHOMSOEVER IT MAY CONCERN

I have examined all share transfer Forms/deeds, Memorandum of Transfers, Registers, Files and other documents relating to **GCM SECURITIES LIMITED** having its registered office as 3B, Sir RNM House, 5th Floor, 3B, Lal Bazar Street, Kolkata-700001, West Bengal (India) maintained by **PURVA SHAREREGISTRY (INDIA) PRIVATE LIMITED** the Registrar & share Transfer Agent of the Company pertinent to transfer of equity shares of the Company for the period from **01st October 2018 to 31st March 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) regulations, 2015 entered into by **GCM SECURITIES LIMITED** and based on information provided by the company, we hereby certify that the company has delivered during the half year ended on 31st March 2019:

- a) Share Certificate(s) relating to the Share Transfer Form(s) received during the period from 1st October 2018 to 31st March 2019 as entered in the Memorandum of transfers have been issued within fifteen days from the respective date of lodgement of each forms/deeds excepting those rejected on technical grounds;
- b) Has not received any request for sub-division, Consolidation, renewal, exchange or endorsement of calls/allotments monies: and
- c) No request for exchange of Duplicate, Transmission and Split Share Certificate have been received during the half year ended on 31st March 2019.

For Kriti Daga
Company Secretary

Kriti Daga
Proprietor
ACS No. A26425 C.P. No. 14023

KRITI DAGA
COMPANY SECRETARY IN WHOLE-TIME PRACTICE
Membership No.-26425
C.P. No.-14023
KBR Complex, 4, Ho Chi Minh Sarani
Next to US Consulate
Flat No.3C, Kolkata-700 071
Ph: 9836162295

Place: Kolkata
Date: 11.04.2019