



Trio Mercantile & Trading Limited

613/B, Mangal Aarambh, Near Mc. Donalds, Kora Kendra, R. M. Bhattad Road,
Borivali (W), Mumbai - 400 092. • Tel. : 2833 5999 / 2833 5998
www.triomercantile.com • CIN : L51909MH2002PLC136975

25.10.2019

To,
Department of Corporate Services
BSE Limited,
P J Tower, Dalal Street,
Mumbai 400 001

Sub : Submission of Compliance Certificate Reg 40(9)

Dear Sir,

We hereby enclose the Compliance Certificate Reg 40(9) of the Company for the half year ended as on 30th September, 2019.

Kindly acknowledge receipt of the same and take it on record

Thanking you,

Yours faithfully,

For Trio Mercantile and Trading Limited

M.V. Trivedi

**Company Secretary
Megha Trivedi**



**Compliance Certificate of Trio Mercantile and Trading Limited in terms of
Regulation 40(9) of the SEBI (Listing Obligation & Disclosure
Requirements) Regulation, 2015**

For the half year ended September 30, 2019

We have examined all records, Registers, files and other documents relating to of **Trio Mercantile and Trading Limited [CIN: L51909MH2002PLC136975]** (hereinafter referred to as the "Company") maintained by **Sharex Dynamic (India) Pvt. Ltd** (hereinafter referred to as the "Registrar and Share Transfer Agents / RTA") pertaining to transfer of Equity Share(s) of the Company for the half year commencing from **April 01, 2019 to September 30, 2019** (hereinafter referred to as the "period") for the purpose of issuing a Certificate as per Clause 40(9) of SEBI (listing obligations and disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and the Registrar and Share Transfer Agent, We do and hereby certify that during period:

- The Company has not received any application for transfer / sub-division/ consolidation / renewal / exchange in respect of shares of the Company.
- There are no cases of requests for exchange of Duplicate and Split certificates.

**For: DEEP SHUKLA & ASSOCIATES
COMPANY SECRETARIES**

**DEEP SHUKLA
{PROPRIETOR}
FCS: 5652
CP NO.: 5364**

UDIN: F005652A000162534



Place: Mumbai

Date: 24/10/2019