

NIVI TRADING LIMITED

CIN: L99999MH1985PLC036391

Regd. Off.: C/o. United Phosphorus Ltd., Readymoney Terrace, 4th Floor, 167, Dr. A.B. Road, Worli, Mumbai - 400 018.

Admin. Off.: C/o. Uniphos House, C.D. Marg, 11th Road, Opp. Madhu Park, Khar (West), Mumbai - 400 052.

Tel Nos.: 61233500 / 26468 000 Fax No.: 2648 7523

Email : nivi.investors@uniphos.com Website : nivionline.com

28th October, 2020

To,
BSE Limited,
Floor 25, P.J. Towers,
Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,

REG.: NIVI TRADING LIMITED (Scrip Code – 512245)

SUB.: Certificate from a Practising Company Secretary for the half year ended on 30th September, 2020 – Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the above subject, we enclose herewith a certificate received from M/s Dholakia & Associates LLP (Company Secretaries), certifying that the company adhered to all formalities regarding transfer of shares in compliance with the said Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 during the half year ended 30th September, 2020.

We trust you will find the same in order.

Thanking you.

Yours faithfully,
For NIVI TRADING LIMITED



NIKITHA NAIR (ACS 41617)

Company Secretary & Compliance Officer

Encl: as above

Designated Partners
CS Bhumitra V. Dholakia
B.A., L.L.B., C.A.I.I.B., F.C.S.
CS Nrupang B. Dholakia
B.Com., F.C.S., L.L.B., D.C.L., EP.CORP

DHOLAKIA & ASSOCIATES LLP
(COMPANY SECRETARIES)

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

This is to certify that **Nivi Trading Limited** (CIN: L99999MH1985PLC036391) ("Company") having its Registered Office at C/O United Phosphorus Ltd, Ready Money Terrace, 4th Floor, Dr. A. B. Road, Worli Naka, Mumbai - 400018, has kept all the records and registers maintained by **Link Intime India Private Limited**, Registrar and Share Transfer Agent ("RTA") at its Registered Office at C-101, 247 Park, L.B.S Marg, Vikhroli (West), Mumbai- 400 083 and on the basis of the confirmation received from the RTA, we hereby certify that during the period from 1st April, 2020 to 30th September, 2020 there were no requests relating to transfer, sub-division, consolidation, renewal, exchange or endorsement of certificate received by the RTA of the Company.

This certificate is being issued under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

**For DHOLAKIA & ASSOCIATES LLP
(Company Secretaries)**

Date: 26TH October, 2020
Place: Mumbai
UDIN : F000977B001062983

CS Bhumitra V. Dholakia
Designated Partner
FCS No: - 977
C.P. No.: - 507

Disclaimer: The Certificate is being issued after verifying the details shared by the RTA electronically. We have not conducted the physical check of documents owing to COVID -19 pandemic.