

AD-MANUM FINANCE LIMITED



Date: 29th June, 2021

AMFL/BSE/2020-21/IV/009

To,
The General Manager
DCS-CRD
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
MUMBAI - 400001

Online filing at www.listing.bseindia.com

BSE CODE: 511359

SUBJECT: Submission of the Annual Secretarial Compliance Report for the year ended 31.03.2021.

Dear Sir,

With reference to the terms of clause 3(b)(iii) of the SEBI Circular No. CIR/CFD /CMD 1/27 /2019 dated 8th February, 2019; we are hereby submitting the Annual Secretarial Compliance Report for the year ended 31.03.2021.

You are requested to please take on record the above said document for your reference and further needful.

Thanking You,
Yours Faithfully,

For AD-MANUM FINANCE LIMITED

(DHARMENDRA AGRAWAL)
WHOLE-TIME-DIRECTOR
DIN: 08390936



D. K. JAIN & CO.
Company Secretaries

CS (Dr.) Dilip Kumar Jain
M. Com., FCS., ACIS (U.K.), Ph.D., IR, RV (SFA)

SECRETARIAL COMPLIANCE REPORT

(Pursuant to Reg. 24A of SEBI (Listing) Regulations, 2015 read with the SEBI Circular No. 1/RC/11/CM/11-27/2019 dated 26th February, 2019)

OF

AD-MANUM FINANCE LIMITED

for the year ended 31.03.2021

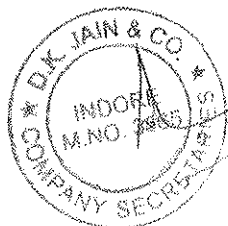
1. CS (Dr.) Dilip Kumar Jain, proprietor of M/s D.K. Jain & Co., Company Secretaries have examined:
- all the documents and records made available to us and explanation provided by Ad-Manum Finance Limited. (CIN L52520MP1986PLC003405) ("the Listed Entity")
 - the filings/ submissions made by the listed entity to the BSE Ltd. - www.bseindia.com
 - website of the Company is www.admanumfinance.com.
 - any other document/ filing, as may be relevant, which has been relied upon to make this certification.
- for the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of:
- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").
- The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -
- SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018;
 - SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
 - SEBI (Buyback of Securities) Regulations 2018;
 - SEBI (Share Based Employee Benefits) Regulations, 2014;
 - SEBI (Issue and Listing of Debt Securities) Regulations, 2008;
 - SEBI (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
 - SEBI (Prohibition of Insider Trading) Regulations, 2015;
 - Circulars/guidelines issued thereunder;
- and based on the above examination,

I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder as applicable to it (however, the Regulations mentioned above under (d) to (g) are not applicable to the company as there were no such activities as covered under the said regulations) during the review period, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/circulars/ guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
NIL			

- (b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the Company / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
			None	

(d) The Company has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the Company, if any	Comments of the Practicing Company Secretary on the actions taken by the Company
1	The Company was required to submit the Statement of Related Party Transactions for the half year ended 30.09.2019 within a period of 30 days from the submission of the Financial Results to the Stock Exchange, i.e. on or before 13 th December, 2019, whereas the company has submitted the same on 5 th May, 2020.	31.03.2020	The company has rectified its mistake and is now regular in filing Related Party Statement on the half year basis within the stipulated time.	Adequate compliance found in the Current Year 2020-21.
2	The Company is NBFC registered with the RBI and has provided loans to the entities covered under the related parties and other in the ordinary course of business and on arm-length basis. The Company needs to take approval of the members in the ensuing AGM under Regulation 23 of the SEBI (LODR) Regulation, 2015.	31.03.2020	The company has revised its RPT limit in the AGM held in the year 2020.	Adequate compliance found in the Current Year 2020-21.

Place: Indore
Date: 28th June, 2021
UDIN: F003565C000532027



For, D. K. JAIN & CO.,
COMPANY SECRETARIES
FRN No. 11995MP067500

CS (Dr.) D.K. JAIN
PROPRIETOR
FCS No.: 3565
C P No.: 2382
Peer review: 743/2020