

GOLD ROCK INVESTMENTS LIMITED

CIN NO.: L65990MH1978PLC020117

Regd. Off.: 507, 5th Floor, Plot No. 31, 1, Sharda Chamber, Narsi Natha Street, Bhat Bazar
Masjid, Chinchbunder Mumbai-400009

Tel.:022-49734998 E-mail id: goldrockinvest@yahoo.co.in Website: www.goldrockinvest.in

20th April, 2021

To,
The General Manager
Department of Corporate Services,
BSE Limited,
P J Towers, Dalal Street,
Mumbai-400 001

Dear Sir

BSE CODE: 501111

**Sub: Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015 Compliance Certificate related to transfer or transmission or
transportation of Securities.**

Please find enclosed herewith Compliance Certificate related to transfer or transmission or transportation of securities as per **Regulation 40(9) of SEBI (LODR) Regulations 2015** issued by M/s Aashish K. Bhatt & Associates, Practicing Company Secretaries for the half year ended **March 31, 2021**.

Kindly take the above on record.

Thanking you,

Yours faithfully,
For Gold Rock Investments Limited



S C Aythora
Director
Din:00085407

Encl: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015.



AASHISH K. BHATT & ASSOCIATES

Practicing Company Secretaries

Aashish K. Bhatt
B.Com., A.C.S., PGDSL

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

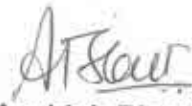
I have examined all share transfer deeds, memorandum of transfers, registers, files and other documents relating to **Gold Rock Investments Limited** having Registered Office at 507, Old Sharda Chambers, 31 Keshavji Nayak Road, Masjid Bunder (W), Mumbai - 400009 (hereinafter referred as "**The Company**") maintained by **Alankit Assignments Limited** having Registered Office at 205 - 208, Jhandewalan Extension, New Delhi, Delhi - 110055, (hereinafter referred as Registrar and Share Transfer Agent "**The RTA**") pertaining to transfer of Equity Shares of the Company for the period from October 1, 2020 to March 31, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015. Based on the information provided by the Company and RTA, I hereby certify that the Company was not required to deliver during half year ended on March 31, 2021:

- A) Share certificate(s) for registration of transfer, sub-division, consolidation, renewal and exchange or endorsement of calls/allotment monies for the period October 1, 2020 to March 31, 2021 within thirty days from the date of lodgment as no request has been received.
- B) Any other information - Not applicable.

Date: 17.04.2021
Place: Mumbai



For Aashish K. Bhatt & Associates
Company Secretary in Practice


Aashish Bhatt
C.P. No. 7023

UDIN: A019639C000116318