

STERLING
GUARANTY & FINANCE LIMITED
CIN No. : L65990MH1983PLC031384

May 26, 2020

To,
The Corporate Relationship Manager
Department of Corporate Services
BSE Ltd., P J Towers, Dalal Street,
Mumbai – 400001

Ref : Scrip Code – 508963

Subject : Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015

Dear Sir,

Please find enclosed herewith the certificate from a practicing Company Secretary for half year ended March 31, 2020 pursuant to Regulation 40(9) of the SEBI Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the same on record and acknowledge the receipt.

Thanking you,
Yours Faithfully

Sd/-
Nandini Chavan
Company Secretary & Compliance Officer

REGD. OFFICE: 91-A, Mittal Court, Nariman Point, Mumbai – 400021

TEL: 91-22-22840019. Email: sterling.guaranty@gmail.com

Website: www.sterlingguaranty.com

Sark & Associates
Unit No. 215, 2nd Floor, Gundecha Industrial Complex, Near Big Bazar, Akurli Road,
Kandivali East, Mumbai, Maharashtra 400101
Email Id : compliance@sarkcs.in , Contact No : 022-2844639

**Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations, 2015 for the Half Year
Ended 31st March, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Sterling Guaranty & Finance Limited maintained by Purva Sharegistry India Private Limited (RTA) pertaining to transfer of equity shares of the Company for the period from 1st October, 2019 to 31st March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of **Securities And Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015** and based on the information provided by the Company and explanation furnished to me which is to the best of my knowledge we hereby certify that the Company has not received any request for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended 31st March, 2020 except the below mentioned points provided by RTA

- 1) During the period transfer of 800 Shares were objected within 15 days
- 2) During the period Transposition of 100 Shares were approved within 21 Days
- 3) Duplicate Shares of 2400 were objected within 30 days
- 4) Name Deletion of 419 Shares were objected within and above the 21 days

For Sark and Associates
Practicing Company Secretary
Sd/-

Sumit Khanna
(Partner)

Place : Mumbai

Date : 26/05/2020

UDIN : A022135B000280019

Mem. No : 22135

COP No : 9304