# Anupam Gupta

16.03.2022

To,

1. Listing Compliance
   BSE Limited
   P. J. Tower,
   Dalal Street,
   Mumbai – 400 001.

2. PRO FIN CAPITAL SERVICES LIMITED
   503, WesternEdge II,
   Western Express High Way,
   Borivali East Mumbai - 400066

**Subject: Disclosure under Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

Dear Sir/Madam,

Annexed herewith disclosure under Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 for your perusal.

Kindly take the same on your record.

Thanking You,

Anupam Gupta

**Anupam Gupta**

ANNEXURE – 1

**Format for disclosure by the Promoter(s) to the stock exchanges and to the Target Company for ~~encumbrance of shares / invocation of encumbrance~~ / release of encumbrance, in terms of Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.**

| | |
|---|---|
| Name of the Target Company (TC) | Pro Fin Capital Services Limited |
| Names of the stock exchanges where the shares of the target company are listed | BSE Limited |
| Date of reporting | 16.03.2022 |
| Name of the promoter or PAC on whose shares encumbrance has been ~~created~~ / released ~~/ invoked~~ | Triyamb Securities Private Limited |

Details of the Invocation of encumbrance:

| **Name of the promoter (s) or PACs with him*** | **Promoter holding in the target company (1)** | | **Promoter holding already encumbered (2)** | | **Details of events pertaining to encumbrance (3)** | | | | | | | **Post event holding of encumbered shares {creation [(2)+(3)] / release [(2)-(3)] / invocation [(1)-(3)]]** | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | **Number** | **% of total share capital** | **Number** | **% of total share capital** | **Type of event (creation / release / invocation)** | **Date of creation/ release/ invocation of encumbrance** | **Type of encumbrance (pledge/ lien/ non disposal undertaking/ others)** | **Reasons for encumbrance **** | **Number** | **% of share capital** | **Name of the entity in whose favor shares encumbered **** | **Number** | **% of total share capital** |
| Triyamb Securities Private Limited | 973534 | 13.7760 | 960000 | 13.58 | release | 08.03.2022 | Pledge | - | 200000 | 2.83 | - | 760000 | 10.75 |
| Anupam Narain Gupta | 831686 | 11.77 | 815000 | 11.53 | | | | - | - | - | -- | 565000 | 7.99 |
| Anupam Gupta Huf. | 21200 | 0.3000 | 18500 | 0.26 | - | -- | - | -- | - | - | - | 18500 | 0.26 |
| Ambe Securities Pvt Ltd | 4970 | 0.0703 | 4970 | 0.07 | - | - | - | - | - | - | - | 4970 | 0.07 |

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Abhay Narain Gupta | 90063 | 1.2744 | - | - | - | - | - | - | - | - | - | - | - |
| Narain Kumar Gupta | 77625 | 1.0984 | - | - | - | - | - | - | - | - | - | - | - |
| Neha Anupam Gupta | 287084 | 4.0624 | - | - | - | - | - | - | - | - | - | - | - |
| Rashi Abhaykumar Gupta | 270 | 0.0038 | - | - | - | - | - | - | - | - | - | - | - |
| Abhay Gupta Huf | 14986 | 0.2121 | - | - | - | - | - | - | - | - | - | - | - |
| Narain Kumar Gupta Huf | 46425 | 0.6569 | - | - | - | - | - | - | - | - | - | - | - |

**Anupam Gupta**

**Place: Mumbai**
**Date: 16.03.2022**

*The names of all the promoters, their shareholding in the target company and their pledged shareholding as on the reporting date should appear in the table irrespective of whether they are reporting on the date of event or not.

** For example, for the purpose of collateral for loans taken by the company, personal borrowing, third party pledge, etc.

***This would include name of both the lender and the trustee who may hold shares directly or on behalf of the lender.