

# THE GAEKWAR MILLS LTD.

**REGD. OFFICE:** 2/2, Plot-2, New Sion CHS, Swami Vallabhdas Marg, Road No. 24, Sindhi Colony, Sion Mumbai – 400022.

**CIN:** L17120MH1949PLC007731

**Website:** [www.gaekwarmills.com](http://www.gaekwarmills.com)



Date: 20/04/2021

To,  
The Manager,  
Department of Corporate Services,  
Bombay Stock Exchange limited  
1<sup>st</sup> Floor, Dalal street,  
Mumbai - 400001

Ref: BSE Scrip Code: 502850

Sub: Submission of Certificate under Clause 40(9) of the Listing Regulations, 2015.

Dear Sir,

Please find enclosed herewith the Certificate under clause 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for your necessary records and kind perusal.

Kindly acknowledge the receipt of the same.

Thanking You,

For The Gaekwar Mills Limited

RATAN  
NOSHIR  
KARANJIA

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RATAN NOSHIR  
KARANJIA  
Date: 2021.04.27  
21:49:54 +05'30'

RATAN KARANJIA  
Director  
DIN: 00033108



# Anish Gupta & Associates

**COMPANY SECRETARIES & INSOLVENCY PROFESSIONAL**

Anish Gupta  
FCS, IP, LLB, B.com

413 Autumn Grove, Lokhandwala, Kandivali(E), Mumbai -400101  
India, Call: +91 022 29659720 email: [anish@csanishgupta.com](mailto:anish@csanishgupta.com)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

We have examined the relevant books and records of **GAEKWAR MILLS LIMITED** at their R & T Agent **M/s. Link Intime India Private Limited**, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by the Company with BSE Limited and based on the information provided by the Company and RTA, I hereby certify that the Company has delivered in respect of the half year ended **31<sup>st</sup> March, 2021**:

A) That the Company has not received any request and thus was not required to deliver any Share Certificate(s) relating to the transfer of shares/transmission/sub-division of shares during the period 01.10.2020 to 31.03.2021;

B) As per the online certificate received from the RTA, that the company has neither issued any debentures nor carried out any activity such as Physical Transfer/Split/Replacement/renewal/Consolidation/Sub-division/Duplicate/exchange or endorsement of call/allotment monies, during the period 01.10.2020 to 31.03.2021.

*Note:-On account of ongoing pandemic of COVID-19 and consequent lockdown as directed by the Central and State Government(s), the process of audit has been changed to online in place of physical verification. The said report is prepared on the basis of examining the Register of share transfer/transmission/renewal etc. provided in electronic mode by its Registrar and Share Transfer Agent for the period under review.*

**For Anish Gupta & Associates  
Company Secretaries**

**ANISH  
GUPTA**

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ANISH GUPTA  
Date: 2021.04.20  
13:42:53 -07'00'

**Place : Mumbai  
Date : 20/04/2021**

**Anish Gupta  
Proprietor  
FCS No.5733,C P No.4092  
UDIN: F005733C000137282**