

13 January 2021

Ivan Tatkovich
ASX Listings Compliance

Dear Ivan,

Re: Appendix 3Y – Change of Director’s Interest Notice

The Company wishes to notify the ASX that it inadvertently neglected to lodge an Appendix 3Y for a director upon the completion of an on-market trade on 24 December 2020.

We now enclose the Appendix 3Y for lodgement and have outlined below an explanation on why it has been lodged late.

1. Explanation as to why was the Director’s Notice was lodged late

The failure to lodge the Appendix 3Y in this instance was an administrative oversight during the Christmas and New year period.

2. Company arrangements in place with directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A.

- (a) Each director is informed of ASX disclosure requirements upon their appointment.
- (b) The Company has entered into agreements with each of its directors, which places an obligation on the directors to notify the Company of interests and any changes thereto so that the ASX Appendices can be lodged. Notification of any changes in a director’s direct or indirect interest in Company securities is also required under the Company’s market disclosure policy.
- (c) Standing items on the agenda of board meetings are director’s shareholding register, director’s interest register and trading window; and
- (d) Any director wishing to trade in the Company’s securities must first obtain the consent to do so from the Chairman and Company Secretary in accordance with the Company’s security trading policy. Where approved, the director must continue to comply with other requirements set out in that policy in connection with the dealing.

3. Additional Steps the Company intends to take to ensure compliance with Listing Rules 3.19B

The Company considers that the current arrangements as outlined above are adequate and notes that the late lodgement of the abovementioned Appendix 3Y was a result of an inadvertent oversight.

Yours sincerely,



Carl Middlehurst
Company Secretary

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity: Appen Limited
ABN: 60 138 878 298

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Ms Vanessa Liu
Date of last notice	27 March 2020

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	
Date of change	24 December 2020
No. of securities held prior to change	Nil
Class	Ordinary Shares
Number acquired	1,000
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$25.00 per share
No. of securities held after change	1,000

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market trade.
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Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	Not applicable
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	
If prior written clearance was provided, on what date was this provided?	

Date of Notice: 13 January 2021

⁺ See chapter 19 for defined terms.