

**Form 604**Corporations Law  
Section 671B**Notice of change of interests of substantial holder**To Company Name/Scheme AURIZON HOLDINGS LTD  
ACN/ARSN 146 335 622**1. Details of substantial holder (1)**Name JPMorgan Chase & Co. and its affiliatesACN (if applicable)  
NAThere was a change in the interests of the  
substantial holder on 19 June 2017The previous notice was given to the company on 4 Jan 2016The previous notice was dated 30 Dec 2015**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary	127,444,497	6.07%	149,582,908	7.29%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See Appendix	J.P. Morgan Investment Management Inc.	In its capacity as investment manager or in various other related capacities	See Appendix	169,676 Ordinary	169,676 Ordinary
See Appendix	JF Asset Management Limited	In its capacity as investment manager or in various other related capacities	See Appendix	16,891,953 Ordinary	16,891,953 Ordinary
See Appendix	JPMorgan Asset Management (Australia) Limited	In its capacity as investment manager or in various other related capacities	See Appendix	232,450 Ordinary	232,450 Ordinary

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See Appendix	JPMorgan Asset Management (Taiwan) Limited	In its capacity as investment manager or in various other related capacities	See Appendix	244,872 Ordinary	244,872 Ordinary
See Appendix	JPMorgan Asset Management (UK) Limited	In its capacity as investment manager or in various other related capacities	See Appendix	101,130 Ordinary	101,130 Ordinary
See Appendix	JPMorgan Chase Bank, National Association	In its capacity as investment manager or in various other related capacities	See Appendix	3,561 Ordinary	3,561 Ordinary
See Appendix	GLAS Branch - London - J.P. Morgan Whitefriars Inc.	Purchase and sales of shares in its capacity as Principal/Proprietary	See Appendix	62,474 Ordinary	62,474 Ordinary
See Appendix	J.P. Morgan Securities Australia Limited	Holder of securities subject to an obligation to return under a securities lending agree	See Appendix	19,871 Ordinary	19,871 Ordinary
See Appendix	J.P. Morgan Securities Australia Limited	Purchase and sales of shares in its capacity as Principal/Proprietary	See Appendix	38,756,068 Ordinary	38,756,068 Ordinary
See Appendix	J.P. Morgan Securities LLC	Purchase and sales of shares in its capacity as Principal/Proprietary	See Appendix	550,000 Ordinary	550,000 Ordinary
See Appendix	J.P. Morgan Securities plc	Purchase and sales of shares in its capacity as Principal/Proprietary	See Appendix	41,774 Ordinary	41,774 Ordinary
See Appendix	J.P. Morgan Securities plc	Rehypothecation of client securities under a Prime Brokerage Agreement.	See Appendix	177,204 Ordinary	177,204 Ordinary

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
J.P. Morgan Investment Management Inc.	Bank Of New York Mellon - Brussels	Various clients and custodians	In its capacity as investment manager or in various other related capacities	27,555 Ordinary	27,555 Ordinary
J.P. Morgan Investment Management Inc.	HSBC Securities Australia Ltd, Sydney	Various clients and custodians	In its capacity as investment manager or in various other related capacities	21,960 Ordinary	21,960 Ordinary
J.P. Morgan Investment Management Inc.	State Street Bank Luxembourg, SA	Various clients and custodians	In its capacity as investment manager or in various other related capacities	28,637 Ordinary	28,637 Ordinary
J.P. Morgan Investment Management Inc.	State Street Global Markets, LLC	Various clients and custodians	In its capacity as investment manager or in various other related capacities	16,795 Ordinary	16,795 Ordinary

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
J.P. Morgan Investment Management Inc.	JPM Morgan Ireland	Various clients and custodians	In its capacity as investment manager or in various other related capacities	7,203 Ordinary	7,203 Ordinary
J.P. Morgan Investment Management Inc.	JPMorgan Chase Bank - London	Various clients and custodians	In its capacity as investment manager or in various other related capacities	681,021 Ordinary	681,021 Ordinary
J.P. Morgan Investment Management Inc.	JPMorgan Chase Bank - Hong Kong	Various clients and custodians	In its capacity as investment manager or in various other related capacities	15,457 Ordinary	15,457 Ordinary
JF Asset Management Limited	HSBC- Fund Services A/c 006JF	Various clients and custodians	In its capacity as investment manager or in various other related capacities	144,013 Ordinary	144,013 Ordinary
JF Asset Management Limited	NAB - KAS Depository Trust Company	Various clients and custodians	In its capacity as investment manager or in various other related capacities	881,956 Ordinary	881,956 Ordinary
JPMorgan Asset Management (Australia) Limited	State Street Australia Limited	Various clients and custodians	In its capacity as investment manager or in various other related capacities	234,870 Ordinary	234,870 Ordinary
JPMorgan Asset Management (UK) Limited	HSBC Securities Australia Ltd, Sydney	Various clients and custodians	In its capacity as investment manager or in various other related capacities	5,214 Ordinary	5,214 Ordinary
JPMorgan Asset Management (UK) Limited	JP Morgan Chase Bank, Sydney	Various clients and custodians	In its capacity as investment manager or in various other related capacities	45,398 Ordinary	45,398 Ordinary
JPMorgan Asset Management (UK) Limited	National Australia Bk Ltd, Melbourne	Various clients and custodians	In its capacity as investment manager or in various other related capacities	110,761 Ordinary	110,761 Ordinary
JPMorgan Asset Management (UK) Limited	State Street Bank And Trust Company	Various clients and custodians	In its capacity as investment manager or in various other related capacities	8,575 Ordinary	8,575 Ordinary

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
JPMorgan Asset Management (UK) Limited	State Street Bk & Trt Co (Toronto)	Various clients and custodians	In its capacity as investment manager or in various other related capacities	22,580 Ordinary	22,580 Ordinary
JPMorgan Asset Management (UK) Limited	The Bank of New York Mellon SA/NV (Brussels)	Various clients and custodians	In its capacity as investment manager or in various other related capacities	8,859 Ordinary	8,859 Ordinary
JPMorgan Asset Management (UK) Limited	BBH Boston	Various clients and custodians	In its capacity as investment manager or in various other related capacities	3,768 Ordinary	3,768 Ordinary
JPMorgan Asset Management (UK) Limited	J.P. Morgan Bank Luxembourg S.A.	Various clients and custodians	In its capacity as investment manager or in various other related capacities	37,757 Ordinary	37,757 Ordinary
JPMorgan Chase Bank, National Association	JP Morgan Chase Bank, Sydney	Various clients and custodians	In its capacity as investment manager or in various other related capacities	14,635 Ordinary	14,635 Ordinary
JPMorgan Chase Bank, National Association	Mikhail Grinberg	Various clients and custodians	In its capacity as investment manager or in various other related capacities	3,545 Ordinary	3,545 Ordinary
J.P. Morgan Securities Australia Limited	Ecapital Nominees Pty Ltd	J.P. Morgan Securities Australia Limited	Purchase and sales of shares in its capacity as Principal/Proprietary	146,417,208 Ordinary	146,417,208 Ordinary
J.P. Morgan Securities LLC	Not Available	Not Available	Purchase and sales of shares in its capacity as Principal/Proprietary	550,000 Ordinary	550,000 Ordinary
J.P. Morgan Securities plc	DCC Melbourne	J.P. Morgan Securities plc	Purchase and sales of shares in its capacity as Principal/Proprietary	117,937 Ordinary	117,937 Ordinary

J.P. Morgan Securities plc	ARROW STREETCAPITAL LP	Various clients and custodians	Rehypothecation of client securities under a Prime Brokerage Agreement	177,204 Ordinary	177,204 Ordinary
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## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN (if applicable)	Nature of association
JPMorgan Chase & Co.	Subsidiary of JPMorgan Chase & Co.
J.P. Morgan Investment Management Inc.	Subsidiary of JPMorgan Chase & Co.
JF Asset Management Limited	Subsidiary of JPMorgan Chase & Co.
JPMorgan Asset Management (Australia) Limited	Subsidiary of JPMorgan Chase & Co.
JPMorgan Asset Management (Taiwan) Limited	Subsidiary of JPMorgan Chase & Co.
JPMorgan Asset Management (UK) Limited	Subsidiary of JPMorgan Chase & Co.
JPMorgan Chase Bank, National Association	Subsidiary of JPMorgan Chase & Co.
GLAS Branch - London - J.P. Morgan Whitefriars Inc.	Subsidiary of JPMorgan Chase & Co.
J.P. Morgan Securities Australia Limited	Subsidiary of JPMorgan Chase & Co.
J.P. Morgan Securities LLC	Subsidiary of JPMorgan Chase & Co.
J.P. Morgan Securities plc	Subsidiary of JPMorgan Chase & Co.

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
JPMorgan Chase & Co.	270 Park Avenue, New York 10017, United States
J.P. Morgan Investment Management Inc.	c/o CT Corporation, 1209 Orange Street, Wilmington, DE2, DE, 19801-1120, United States
JF Asset Management Limited	21st Floor, Chater House, 8 Connaught Road Central, Hong Kong
JPMorgan Asset Management (Australia) Limited	Level 18, 85 Castlereagh Street, Sydney, NSW 2000, Australia
JPMorgan Asset Management (Taiwan) Limited	20F & 21, No.1 Song Zhi Rd, Xin Yi District, Taipei City, Taiwan., Taipei, 110, Taiwan Province of China
JPMorgan Asset Management (UK) Limited	60 Victoria Embankment, London, EC4Y0JP, England
JPMorgan Chase Bank, National Association	1111 Polaris Parkway, Columbus, OH21, OH, 43240, United States
GLAS Branch - London - J.P. Morgan Whitefriars Inc.	Corporation Trust Centre, 1209 Orange Street, Wilmington, New Castle County, Delaware 19801, United States
J.P. Morgan Securities Australia Limited	Level 18, 85 Castlereagh Street, Sydney, NSW 2000, Australia
J.P. Morgan Securities LLC	c/o CT Corporation, 1209 Orange Street, Wilmington, DE2, DE, 19801-1120, United States
J.P. Morgan Securities plc	25 Bank Street, Canary Wharf, London, E14 5JP, London, E14 5JP, England

**Signature**

Print name Beverly Tse Capacity JPMorgan Chase Bank, N.A.



Sign here \_\_\_\_\_

Date 21/Jun/2017

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Given details, if appropriate, of the present association and any change in that association since the last substantial holding notice.



















































































Appendix Investment Management

Proprietary

Table with columns: Transaction date, Enty, Type of transaction, Price, Qty, Consideration, Total Positions, Issued share capital, % Owned, JPMI, JFMLE, JPAN(AU), JPAN(TW), JPAN(UK), JPAN(BA), JPAN(VE), JPAN(SL), JPAN(SL), JPAN(SL), Total. Rows list various transactions from 19-Apr-17 to 24-May-17.









Table with columns: Transaction date, Entity, Type of transaction, Equity/AD, Price, Quantity, Consideration, Total Positions, % Owned, JPRICE (AU), JPMAN (AU), JPMAN (TW), JPMAN (UK), JPRICE (IA), JPMAN (IA), JPMAN (EU), JPMAN (HK), JPMAN (JP), JPMAN (KR), JPMAN (SE), JPMAN (US), JPMAN (Other), Total

Table with columns: Transaction date, Entity, Type of transaction, Equity/AD, Price, Quantity, Consideration, Total Positions, % Owned, JPRICE (AU), JPMAN (AU), JPMAN (TW), JPMAN (UK), JPRICE (IA), JPMAN (IA), JPMAN (EU), JPMAN (HK), JPMAN (JP), JPMAN (KR), JPMAN (SE), JPMAN (US), JPMAN (Other), Total



























Transaction date		Entity	Type of transaction	Equity/AD	Qty	Price	Quantity	Consideration	Total Products	Issued share capital	% Owned	JPRAL	JPMAN(AD)	JPMAN(TW)	JPMAN(UK)	JPRCE(IA)	JPMWI	JPNISAL	JPNIS(EL)	JPNIS(PL)	Total																					
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.41	10,473	\$ 56,634.84	135,650,842	7,051,745,485	6.61%							10,473			10,473																					
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.41	716	\$ 3,873.56	135,651,558	7,051,745,485	6.61%								716			716																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.41	53	\$ 285.73	135,651,511	7,051,745,485	6.61%								53			53																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.41	357	\$ 1,932.80	135,653,468	7,051,745,485	6.61%								357			357																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.41	857	\$ 4,555.17	135,658,325	7,051,745,485	6.61%								857			857																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.42	520	\$ 2,816.01	135,663,145	7,051,745,485	6.61%								520			520																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.42	15,723	\$ 87,504.57	135,678,868	7,051,745,485	6.61%								15,723			15,723																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.42	451	\$ 2,444.28	135,683,319	7,051,745,485	6.61%								451			451																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.41	949	\$ 5,134.09	135,688,268	7,051,745,485	6.61%								949			949																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.42	112	\$ 605.76	135,689,380	7,051,745,485	6.61%								112			112																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.43	1,601	\$ 8,924.00	135,690,981	7,051,745,485	6.61%								1,601			1,601																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.43	3,052	\$ 16,577.36	135,694,033	7,051,745,485	6.61%								3,052			3,052																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.43	3,721	\$ 17,499.02	135,697,754	7,051,745,485	6.61%								3,721			3,721																				
19-Jun-17		JPNISA	Purchase	Equity	AUD	5.43	5,236	\$ 28,431.48	135,702,990	7,051,745,485	6.61%								5,236			5,236																				
19-Jun-17		JPNISA	Call Option	Equity	AUD	5.43	14,000,000	\$ 76,020,000.00	149,702,990	7,051,745,485	7.89%								14,000,000			14,000,000																				
19-Jun-17		JPNISA	Sell	Equity	AUD	5.43	(16,251)	\$ 88,241.93	149,686,739	7,051,745,485	7.89%								(16,251)			(16,251)																				
<b>Balance as at 30 June 2017</b>																																										
JPNIS(P) Moran Investment Management Inc. JPNIS(P) Moran Investment Management (Australia) Limited JPNIS(P) Moran Asset Management (Australia) Limited JPNIS(P) Moran Asset Management (UK) Limited JPNIS(P) Moran Asset Management (USA) Limited JPNIS(P) Moran Asset Management (Canada) Limited JPNIS(P) Moran Asset Management (Japan) Limited JPNIS(P) Moran Asset Management (Brazil) Limited JPNIS(P) Moran Asset Management (India) Limited JPNIS(P) Moran Asset Management (China) Limited JPNIS(P) Moran Asset Management (South Korea) Limited JPNIS(P) Moran Asset Management (Singapore) Limited JPNIS(P) Moran Asset Management (Hong Kong) Limited JPNIS(P) Moran Asset Management (Taiwan) Limited JPNIS(P) Moran Asset Management (New Zealand) Limited JPNIS(P) Moran Asset Management (Australia) Limited JPNIS(P) Moran Asset Management (USA) Limited JPNIS(P) Moran Asset Management (Canada) Limited JPNIS(P) Moran Asset Management (Japan) Limited JPNIS(P) Moran Asset Management (Brazil) Limited JPNIS(P) Moran Asset Management (India) Limited JPNIS(P) Moran Asset Management (China) Limited JPNIS(P) Moran Asset Management (South Korea) Limited JPNIS(P) Moran Asset Management (Singapore) Limited JPNIS(P) Moran Asset Management (Hong Kong) Limited JPNIS(P) Moran Asset Management (Taiwan) Limited JPNIS(P) Moran Asset Management (New Zealand) Limited																																										