

**Form 603**  
**Corporations Act 2001**  
**Section 671B**  
**Notice of initial substantial holder**

To: Company Name/Scheme: IVE GROUP LIMITED

ACN/ARSN: 606 252 644

**1. Details of substantial holder**

Name Mitsubishi UFJ Financial Group, Inc.

The holder became a substantial holder on: 2 August 2019

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully Paid ordinary shares	8,181,757	8,181,757	5.52%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	669,419 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	1,620,583 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	56,631 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Carol Australia Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in Carol Australia Holdings Pty Limited.	2,776,884 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Carol Australia Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in Carol Australia Holdings Pty Limited.	3,058,240 Fully paid ordinary shares

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		2,290,002 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited		56,631 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)		2,776,884 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citibank N A Hong Kong		1,812,830 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	JPMorgan (UK)		492,417 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	National Custodian Services		752,993 Fully paid ordinary shares

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

#### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

#### 8. Signature



Tetsuya Shigemoto

Authorised signatory

8 August 2019

## Annexure A

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

### SCHEDULE

MUFG Bank, Ltd.  
Mitsubishi UFJ Trust and Banking Corporation  
Mitsubishi UFJ Securities Holdings Co., Ltd.  
MU Business Engineering, Ltd.  
The Mitsubishi UFJ Factors Limited  
Mitsubishi UFJ Jinji Service Co., Ltd.  
MU Techno-service Co., Ltd.  
Tokyo Credit Service, Ltd.  
Tokyo Associates Finance Corp.  
MU Business Service Co., Ltd.  
Mitsubishi UFJ Loan Business Co., Ltd.  
Mitsubishi UFJ Information Technology, Ltd.  
MU Center Service Tokyo Co., Ltd.  
MU Center Service Nagoya Co., Ltd.  
MU Center Service Osaka Co., Ltd.  
MU Business Aid Co., Ltd.  
MU Property Reserch Company Limited  
Mitsubishi UFJ Home Loan CREDIT CO., LTD.  
The Diamond Home Credit Company Limited  
Mitsubishi UFJ Reserch & Consulting Co., Ltd.  
MU Frontier Servicer Co., Ltd.  
Otemachi Guarantee Co., Ltd.  
GD Holdings Company Limited  
MU Loan Administration Support Co., Ltd.  
MU Communications Co., Ltd.  
Japan Electronic Monetary Claim Organization  
MU Business Partner Co., Ltd.  
MUT Business Outsourcing Co., Ltd.  
Mezzanine Solution II Limited Partnership  
Mezzanine Solution III Limited Partnership  
Mitsubishi UFJ Capital Co., Ltd.  
Mitsubishi UFJ Capital III, Limited Partnership  
Mitsubishi UFJ Capital IV, Limited Partnership  
Mitsubishi UFJ Capital V, Limited Partnership  
Mitsubishi UFJ Capital VI, Limited Partnership  
Mitsubishi UFJ Capital VII, Limited Partnership  
Mitsubishi UFJ Life Science 1, Limited Partnership  
Mitsubishi UFJ Life Science 2, Limited Partnership  
Tohoku Senary Industry Support, Limited Partnership  
OiDE Fund Investment Limited Partnership  
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.  
Mitsubishi UFJ Financial Partners Co., Ltd.  
The Mitsubishi Asset Brains Company, Limited  
BOT Lease Co., Ltd.  
The Chukyo Bank, Ltd.  
Nippon Mutual Housing Loan Co., Ltd.  
JM Real Estate Co., Ltd.  
Jibun Bank Corporation  
JACCS CO., LTD.  
JALCARD Inc.  
Yume Shokei Fund No.2  
Yume Shokei Fund No.3

Marunouchi Capital Fund II Limited Partnership  
 M·U·Trust Sougou Kanri Co., Ltd.  
 Mitsubishi UFJ Trust Business Co., Ltd.  
 Ryoshin Data Co., Ltd.  
 Mitsubishi UFJ Trust Systems Co., Ltd.  
 Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.  
 Mitsubishi UFJ Trust Hosyo Co., Ltd.  
 M·U·Trust·Apple Planning Company, Ltd.  
 Mitsubishi UFJ Real Estate Services Co., Ltd.  
 Mitsubishi UFJ Daiko Business Co., Ltd.  
 The Master Trust Bank of Japan, Ltd.  
 MU Investments Co., Ltd.  
 Japan Shareholder Services Ltd.  
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.  
 Tokumei-Kumiai (CPI)  
 Tokumei-Kumiai (GII)  
 Tokumei-Kumiai (Gate Bridge 1)  
 Tokumei-Kumiai (CENTOMILIARDO)  
 Tokumei-Kumiai (MEET)  
 Tokumei-Kumiai (FIELD)  
 Ippan Shadan Houjin Leone  
 Jointly Managed Monetary Trust Fund (Fund Number:550001)  
 MU Trust Property Management Co., Ltd.  
 Tokumei-Kumiai (RICE)  
 TOKKINGAI (Fund Number.900338)  
 TOKKINGAI (Fund Number.900327)  
 TOKKINGAI (Fund Number.900344)  
 AMP Capital Investors KK  
 MM Partnership  
 MUS Information Systems Co., Ltd.  
 MUS Business Service Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.  
 kabu.com Securities Co., Ltd.  
 Morgan Stanley MUFG Securities Co., Ltd.  
 Mitsubishi UFJ NICOS Co., Ltd.  
 Card Business Service Co.,Ltd.  
 MU NICOS Business Service Co., Ltd.  
 MU NICOS Credit Co.,Ltd.  
 Ryoshin DC Card Company Ltd.  
 JMS Co., Ltd.  
 Paygent Co., Ltd.  
 JA Card Co., Ltd  
 ACOM CO., LTD.  
 IR Loan Servicing, Inc.  
 MU Credit Guarantee Co., Ltd.  
 Japan Digital Design, Inc.  
 Tetsuya Shigemoto                      Authorised signatory  
 MUMEC Visionary Design, Ltd.  
 Global Open Network, Inc.  
 Global Open Network Japan, Inc.  
 MUFG Innovation Partners Co., Ltd.  
 MUFG Innovation Partners No.1 Investment Partnership  
 Mitsubishi Research Institute DCS Co.,Ltd.  
 HR Solution DCS Co.,Ltd.  
 Mitsubishi UFJ Lease & Finance Company Limited  
 Hitachi Capital Corporation  
 Nihombashi TG Jigyō Kyōdo Kumiai  
 NIHOMBASHI TG SERVICE CO., LTD.  
 SHIN-NIHOMBASHI TSUSHO CO., LTD.  
 Solution Design Co.,Ltd.  
 Nippon Record Keeping Network Co., Ltd.  
 Banco MUFG Brasil S.A.  
 9808680 Canada Inc.  
 BTMU (Curacao) Holdings N.V.  
 MUFG Bank (Europe) N.V.  
 MUFG Business Services (Holland) B.V.  
 MUFG Funding (UK) Limited  
 MUFG Europe Lease (Deutschland) GmbH  
 MUFG Bank (Malaysia) Berhad  
 MUFG North America International, Inc.  
 MUFG Bank Mexico, S.A.  
 MUFG Nominees (HK) Limited  
 MUFG Nominees (UK) Limited

MUFG Americas Holdings Corporation  
 MUFG Union Bank, N.A.  
 Union Bank of California Leasing, Inc.  
 UBOC Community Development Corporation  
 UnionBanc Investment Services, LLC  
 Bankers Commercial Corporation  
 UnionBanCal Equities, Inc.  
 UnionBanCal Leasing Corporation  
 UnionBanCal Mortgage Corporation  
 Mills-Ralston, Inc.  
 HighMark Capital Management, Inc.  
 BCC OX I, Inc.  
 BCC OX II, Inc.  
 SEMA OP9 LLC  
 SEMA OP8 LLC  
 MORGANTOWN OL6 LLC  
 MORGANTOWN OL7 LLC  
 MORGANTOWN OL5 LLC  
 DICKERSON OL4 LLC  
 TRL One A, LLC  
 TRL One B, LLC  
 TRL One, LP  
 BM1, LLC  
 UB Leasing Corporation  
 Pacific Capital Statutory Trust I  
 Shiloh IV Wind Project, LLC  
 Shiloh IV Holdings Lessor Trust  
 Green Union I Trust  
 Green Union II Trust  
 Green Union III Trust  
 Tohlease Corporation  
 MUFG Americas Funding Corporation  
 MUFG Americas Financial & Leasing Corporation B-4  
 U.B. Vehicle Leasing, Inc.  
 MUFG Americas Leasing Corporation  
 MUFG Americas Financial Services, Inc.  
 MUFG Americas Leasing (Canada) Corporation  
 MUFG Americas Leasing & Finance, Inc.  
 MUFG Americas Capital Leasing & Finance, LLC  
 MUFG Americas Corporate Advisory, Inc.  
 MUFG Americas LF Capital LLC  
 MUFG Americas Capital Company  
 MUFG Fund Services (USA) LLC  
 MUFG Securities Americas Inc.  
 MUFG Capital Analytics LLC  
 MUFG Investor Services (US), LLC  
 Intrepid Investment Bankers LLC  
 Southern California Business Development Corporation  
 Catalina Solar Holdings Lessor Trust  
 Catalina Solar, LLC  
 Morgan Stanley MUFG Loan Partners, LLC  
 PT U Finance Indonesia  
 PT. MU Research and Consulting Indonesia  
 MU Research and Consulting (Thailand) Co., Ltd.  
 MUFG Participation (Thailand) Co., Ltd.  
 AO MUFG Bank (Eurasia)  
 MUFG Bank (China), Ltd.  
 BTMU Preferred Capital 8 Limited  
 BTMU Preferred Capital 9 Limited  
 BTMU Liquidity Reserve Investment Limited  
 MUFG Bank Turkey Anonim Sirketi  
 Bank of Ayudhya Public Company Limited  
 Krungsri Ayudhya AMC Limited  
 Krungsri Factoring Company Limited  
 Ayudhya Development Leasing Company Limited  
 Ayudhya Capital Auto Lease Public Company Limited  
 Krungsriayudhya Card Company Limited  
 General Card Services Limited  
 Ayudhya Capital Services Company Limited  
 Krungsri General Insurance Broker Limited  
 Krungsri Life Assurance Broker Limited  
 Krungsri Asset Management Company Limited  
 Total Services Solutions Public Company Limited  
 Ngerm Tid Lor Company Limited

Krungsri Securities Public Company Limited  
 Siam Realty and Services Security Co.,Ltd.  
 Krungsri Leasing Services Co., Ltd.  
 Hattha Kaksekar Limited  
 Krungsri Finnovate Co., Ltd.  
 Tesco Card Services Limited  
 BTMU Liquidity Reserve Investment 2 Limited  
 BTMU Liquidity Reserve Investment 3 Limited  
 PT Guna Dharma  
 PT Bank Danamon Indonesia, Tbk.  
 PT Adira Quantum Multifinance  
 PT Adira Dinamika Multi Finance Tbk  
 PT Asuransi Adira Dinamika  
 BOT Lease (HK) Co., Ltd.  
 PT Bumiputera - BOT Finance  
 BOT Lease Holding Philippines, Inc.  
 BOT Lease and Finance, Philippines, Inc.  
 BOT Lease (Thailand) Co., Ltd.  
 MUFG Holding (Thailand) Co., Ltd.  
 Bangkok MUFG Limited  
 BOT Lease(Eurasia)LLC  
 BOT Lease (Tianjin) Co., Ltd.  
 BOTL Factoring (Shanghai) Co., Ltd.  
 BOT LEASE MEXICO S.A. DE C.V.  
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.  
 GOLDEN ASIA FUND VENTURES LTD.  
 Lakefield Wind Project OP Trust  
 Lakefield Wind Project, LLC  
 Pacwind Holdings Lessor Trust  
 Pacific Wind, LLC  
 Vietnam Joint Stock Commercial Bank for Industry and Trade  
 GOLDEN ASIA FUND II, L.P.  
 Security Bank Corporation  
 Mitsubishi UFJ Trust International Limited  
 Mitsubishi UFJ Baillie Gifford Asset Management Limited  
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.  
 MUFG Lux Management Company S.A.  
 Mitsubishi UFJ Investment Services (HK) Limited  
 Mitsubishi UFJ Asset Management (UK) Ltd.  
 LUX J1 FUND  
 MUFG Investor Services Holdings Limited  
 MUFG Fund Services (Bermuda) Limited  
 MUFG Fund Services (Cayman) Limited  
 MUFG Fund Services (Cayman) Group Limited  
 MUFG Fund Services (Ireland) Limited  
 Fund Secretaries Limited  
 MUFG Fund Services Limited  
 MUFG Fund Services (Canada) Limited  
 MUFG Fund Services (UK) Limited  
 MUFG Fund Services (Halifax) Limited  
 MUFG Fund Services (Singapore) Pte. Ltd.  
 MUFG Fund Services (Hong Kong) Limited  
 MUFG Alternative Fund Services (Cayman) Limited  
 Firtown International Holdings Ltd.  
 General Secretaries Ltd.  
 AFS Controlled Subsidiary 1 Ltd.  
 AFS Controlled Subsidiary 2 Ltd.  
 AFS Controlled Subsidiary 3 Ltd.  
 MUFG Alternative Fund Services (Ireland) Limited  
 MUFG Alternative Fund Services (Jersey) Limited  
 MUFG Jersey Management Company Limited  
 MUFG Controlled Subsidiary 1 (Cayman) Limited  
 SWS MU FUND MANAGEMENT CO.,LTD.  
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED  
 AMP Capital Holdings Limited  
 MUFG Global Fund SICAV  
 MUFG Securities EMEA plc  
 MUFG Securities (Europe) N.V.  
 MUFG Securities Asia (Singapore) Limited  
 MUFG Securities Asia Limited  
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.  
 MUFG Securities (Canada), Ltd.  
 EASY BUY Public Company Limited  
 ACOM CONSUMER FINANCE CORPORATION

MUFG Capital Finance 8 Limited  
MUFG Capital Finance 9 Limited  
GOLDEN ASIA FUND, L.P.  
DCS Information Technology (Shanghai) Co., Ltd  
MRIDCS Americas, Inc.  
Morgan Stanley  
Purple Finance (Cayman) International Ltd.  
Bangkok Mitsubishi UFJ Lease Co., Ltd  
The California-Sansome Corporation  
CAROL AUSTRALIA HOLDINGS PTY LIMITED (ACN 630 725 558)  
CFSGAM IP HOLDINGS PTY LIMITED (ACN 625 765 399)  
CFSGAM SERVICES PTY LTD (ACN 624 305 595)  
CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)  
CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)  
COLONIAL FIRST STATE ASSET MANAGEMENT (AUSTRALIA) LIMITED (ACN 114 194 311)  
COLONIAL FIRST STATE INFRASTRUCTURE HOLDINGS LIMITED (ACN 085 313 926)  
COLONIAL FIRST STATE INFRASTRUCTURE MANAGERS (AUSTRALIA) PTY LTD (ACN 101 384 294)  
COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)  
COLONIAL FIRST STATE MANAGED INFRASTRUCTURE LIMITED (ACN 006 464 428)  
EDIF II GP S.A.R.L. (COMPANY NO. B204413)  
FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)  
FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)  
FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)  
FIRST STATE INVESTMENT MANAGERS (ASIA) LIMITED (ACN 054 571 701)  
FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)  
FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)  
FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)  
FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)  
FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)  
FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)  
FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)  
FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)  
FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)  
FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)  
FSIB LTD  
REALINDEX INVESTMENTS PTY LIMITED (ACN 133 312 017)  
SI HOLDINGS LIMITED (COMPANY NO. SC109439)  
TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

Annexure B

This is annexure B of 10 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

This disclosure is being made as a consequence of Mitsubishi UFJ Trust and Banking Corporation, a consolidated subsidiary of Mitsubishi UFJ Financial Group, Inc., completing its acquisition of the global asset management business of Colonial First State Global Asset Management, and aggregates the trade data in respect of Mitsubishi UFJ Financial Group, Inc., Carol Australia Holdings Pty Limited and each of their respective associates.

Holder of relevant interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	221.00	N/A	100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	6,954.20	N/A	3,161 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	5,468.43	N/A	2,497 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	621.01	N/A	281 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	16,157.82	N/A	7,378 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/04/2019	4,390.00	N/A	2,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/04/2019	2.19	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/04/2019	2.19	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/04/2019	6,804.33	N/A	3,107 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	1,804.56	N/A	824 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	387.20	N/A	176 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	2,195.00	N/A	1,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	1,650.00	N/A	750 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	12,876.60	N/A	5,853 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	69.92	N/A	32 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	6,254.64	N/A	2,856 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/04/2019	4,337.24	N/A	1,967 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/04/2019	8,646.00	N/A	3,930 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/04/2019	349.80	N/A	159 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/04/2019	6,116.67	N/A	2,774 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	92.61	N/A	42 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	1,128.60	N/A	513 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	44.20	N/A	20 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	12,524.40	N/A	5,680 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	356.40	N/A	162 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	1,463.00	N/A	665 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	583.00	N/A	265 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	250.80	N/A	114 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/04/2019	7,369.11	N/A	3,342 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/04/2019	19.89	N/A	9 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/04/2019	972.41	N/A	441 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/04/2019	19.89	N/A	9 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/04/2019	1,946.94	N/A	877 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	11,659.96	N/A	5,276 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	470.64	N/A	212 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	11/04/2019	1,306.11	N/A	591 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	110.50	N/A	50 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	877.37	N/A	397 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	17.76	N/A	8 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/04/2019	1,922.70	N/A	870 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	9,306.54	N/A	4,164 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	7,673.12	N/A	3,472 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	103.40	N/A	47 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	2,136.20	N/A	971 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	3,296.70	N/A	1,485 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	12,748.91	N/A	5,717 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	2,206.60	N/A	1,003 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/04/2019	1,516.40	N/A	680 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/04/2019	287.67	N/A	129 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/04/2019	35.36	N/A	16 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/04/2019	6,683.31	N/A	2,997 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/04/2019	457.32	N/A	206 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/04/2019	3,360.61	N/A	1,507 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/04/2019	8,023.40	N/A	3,647 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/04/2019	5,934.03	N/A	2,661 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/04/2019	5,063.82	N/A	2,281 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/04/2019	1,839.75	N/A	825 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/04/2019	2.22	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/04/2019	257.52	N/A	116 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/04/2019	2,797.86	N/A	1,266 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/04/2019	7,202.90	N/A	3,230 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/04/2019	525.98	N/A	238 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/04/2019	6,025.08	N/A	2,714 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/04/2019	1,936.00	N/A	880 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/04/2019	6,124.98	N/A	2,759 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/04/2019	5,134.80	N/A	2,334 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/04/2019	1,771.00	N/A	805 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/04/2019	1,421.03	N/A	643 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/04/2019	819.06	N/A	374 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/04/2019	1,045.33	N/A	473 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/04/2019	383.20	N/A	173 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/04/2019	540.93	N/A	247 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/04/2019	376.20	N/A	171 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/05/2019	455.62	N/A	209 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/05/2019	5,928.33	N/A	2,707 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/05/2019	3,152.28	N/A	1,446 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/05/2019	5,729.07	N/A	2,622 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/05/2019	151.11	N/A	69 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/05/2019	8,162.13	N/A	3,727 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/05/2019	106.82	N/A	49 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/05/2019	59.13	N/A	27 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/05/2019	254.04	N/A	116 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	6/05/2019	161.32	N/A	74 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/05/2019	5,164.42	N/A	2,369 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/05/2019	2,467.29	N/A	1,137 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/05/2019	6,546.54	N/A	3,003 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/05/2019	4,848.66	N/A	2,214 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/05/2019	1,479.94	N/A	682 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/05/2019	622.08	N/A	288 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/05/2019	1,523.34	N/A	702 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/05/2019	403.30	N/A	185 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/05/2019	8,486.87	N/A	3,911 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/05/2019	5,667.93	N/A	2,661 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/05/2019	541.42	N/A	253 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/05/2019	2,138.40	N/A	990 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/05/2019	1,292.15	N/A	601 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/05/2019	10,717.75	N/A	4,985 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	2,812.32	N/A	1,302 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	2,099.52	N/A	972 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	1,468.45	N/A	683 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	4.32	N/A	2 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/05/2019	4,203.25	N/A	1,955 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/05/2019	487.60	N/A	230 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/05/2019	1,450.92	N/A	678 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/05/2019	3,687.22	N/A	1,723 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/05/2019	7,710.42	N/A	3,603 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/05/2019	5,054.65	N/A	2,351 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/05/2019	395.90	N/A	185 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/05/2019	333.84	N/A	156 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/05/2019	8,187.64	N/A	3,826 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/05/2019	34.08	N/A	16 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/05/2019	1,810.48	N/A	848 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/05/2019	287.55	N/A	135 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/05/2019	954.00	N/A	450 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/05/2019	4.26	N/A	2 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/05/2019	2.14	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/05/2019	21.10	N/A	10 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/05/2019	795.47	N/A	377 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/05/2019	1,080.77	N/A	511 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/05/2019	5,418.00	N/A	2,580 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/05/2019	11,282.80	N/A	5,360 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/05/2019	738.40	N/A	355 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/05/2019	1,614.15	N/A	765 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/05/2019	287.70	N/A	137 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/05/2019	334.15	N/A	163 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/05/2019	683.92	N/A	332 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/05/2019	299.88	N/A	147 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/05/2019	54.08	N/A	26 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/05/2019	155.25	N/A	75 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	30/05/2019	1,223.64	N/A	594 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/05/2019	14,221.79	N/A	6,821 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/05/2019	1,018.44	N/A	492 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/05/2019	1,273.05	N/A	615 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/05/2019	971.36	N/A	467 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/05/2019	10,450.00	N/A	5,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/05/2019	461.76	N/A	222 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/05/2019	1,599.52	N/A	769 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/05/2019	8,097.44	N/A	3,893 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/06/2019	2,817.32	N/A	1,348 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/06/2019	5,141.76	N/A	2,472 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2019	6,433.44	N/A	3,093 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2019	397.88	N/A	196 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/06/2019	2,129.76	N/A	1,044 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/06/2019	9,453.71	N/A	4,657 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/06/2019	763.36	N/A	367 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/06/2019	13,101.92	N/A	6,299 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2019	389.16	N/A	188 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2019	4,625.01	N/A	2,221 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2019	844.56	N/A	408 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2019	4,784.00	N/A	2,300 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/06/2019	60,438.00	N/A	28,780 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/06/2019	10.55	N/A	5 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/06/2019	50.64	N/A	24 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/06/2019	2,570.40	N/A	1,224 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/06/2019	4,902.56	N/A	2,357 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	163.53	N/A	79 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	707.94	N/A	342 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	59.89	N/A	29 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	3,512.79	N/A	1,697 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	1,035,000.00	N/A	500,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	5,775.30	N/A	2,790 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/06/2019	10,475.00	N/A	5,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2019	3,238.20	N/A	1,542 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2019	5,626.40	N/A	2,705 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2019	2,944.81	N/A	1,409 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2019	2,126.43	N/A	1,015 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2019	300.15	N/A	145 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2019	2,930.06	N/A	1,399 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	9,354.79	N/A	4,493 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	1,452.55	N/A	695 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	2.08	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	2,052.38	N/A	982 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	2,041.83	N/A	979 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	1,030.37	N/A	493 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	4,962.88	N/A	2,386 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	372.60	N/A	180 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	19/06/2019	5,285.28	N/A	2,541 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	18,864.34	N/A	9,026 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	1,425.38	N/A	682 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2019	15,148.32	N/A	7,248 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	5,859.36	N/A	2,817 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	2,375.36	N/A	1,142 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	20,970.56	N/A	10,082 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	1,283.40	N/A	620 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	3,456.96	N/A	1,662 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	3,640.78	N/A	1,742 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	3,952.00	N/A	1,900 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	9,592.96	N/A	4,612 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/06/2019	3,167.84	N/A	1,523 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/06/2019	15,525.00	N/A	7,500 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/06/2019	3,891.58	N/A	1,862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/06/2019	15,044.96	N/A	7,448 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	3,367.77	N/A	1,659 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	5,009.60	N/A	2,480 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	292.90	N/A	145 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	4,700.03	N/A	2,321 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	3,088.56	N/A	1,514 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	1,686.93	N/A	831 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	12,677.35	N/A	6,245 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2019	21,670.25	N/A	10,675 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2019	37,067.10	N/A	18,580 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2019	42,000.00	N/A	21,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2019	14,311.20	N/A	7,120 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2019	10,370.01	N/A	5,198 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2019	23,157.96	N/A	11,608 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	8,186.19	N/A	4,166 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	39.50	N/A	20 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	6,908.69	N/A	3,463 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	45,378.62	N/A	22,354 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	1,870.00	N/A	935 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	3,940.00	N/A	2,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2019	1,879.35	N/A	935 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	1,238.28	N/A	607 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	797.45	N/A	389 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	601.80	N/A	295 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	7,230.60	N/A	3,510 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	33,718.40	N/A	16,448 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	5,547.30	N/A	2,706 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	3,291.30	N/A	1,590 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/06/2019	9,081.50	N/A	4,430 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/06/2019	3,549.60	N/A	1,740 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/06/2019	5,278.00	N/A	2,600 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/06/2019	7,267.68	N/A	3,528 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	28/06/2019	11,163.54	N/A	5,554 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/06/2019	28,324.44	N/A	14,022 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2019	8.14	N/A	4 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2019	6,160.80	N/A	3,020 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2019	262.40	N/A	128 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see Annexure C	169,419 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	82,924.55	N/A	40,451 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	2,343.15	N/A	1,143 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	21,832.50	N/A	10,650 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	6,297.48	N/A	3,087 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	9,331.80	N/A	4,530 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	5,864.97	N/A	2,854 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	37,410.45	N/A	18,249 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2019	2,053.18	N/A	1,004 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/07/2019	401.80	N/A	196 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/07/2019	35,120.60	N/A	17,132 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	817.95	N/A	399 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	32.80	N/A	16 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	12,586.60	N/A	6,110 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	8,252.36	N/A	4,006 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	17,642.61	N/A	8,523 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	1,650.06	N/A	801 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	3,072.23	N/A	1,495 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	24,833.79	N/A	11,997 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	1,775.52	N/A	864 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/07/2019	5,048.16	N/A	2,427 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/07/2019	9,360.00	N/A	4,500 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/07/2019	6,307.72	N/A	3,062 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/07/2019	21,728.79	N/A	10,497 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/07/2019	4,149.60	N/A	1,995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/07/2019	9,172.17	N/A	4,431 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/07/2019	1,455.21	N/A	703 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	9,918.90	N/A	4,815 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	8,673.00	N/A	4,200 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	6,489.45	N/A	3,135 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	1,606.80	N/A	780 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	547.23	N/A	265 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	6.17	N/A	3 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	809.37	N/A	391 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	4,785.38	N/A	2,323 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2019	3,265.10	N/A	1,585 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2019	11,779.08	N/A	5,718 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2019	5,939.78	N/A	2,926 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2019	9,112.85	N/A	4,413 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2019	8,351.76	N/A	4,094 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2019	17,972.40	N/A	8,810 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	11/07/2019	5,395.60	N/A	2,632 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/07/2019	3,075.00	N/A	1,500 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/07/2019	12,802.90	N/A	6,215 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/07/2019	4,597.47	N/A	2,221 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/07/2019	29,172.78	N/A	14,196 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/07/2019	7,634.16	N/A	3,688 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	18,797.67	N/A	9,081 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	1,437.28	N/A	691 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	6,284.63	N/A	3,007 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	74.52	N/A	36 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	1,694.99	N/A	811 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	1,379.40	N/A	660 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/07/2019	339.48	N/A	164 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/07/2019	7,517.12	N/A	3,614 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/07/2019	9,685.53	N/A	4,679 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	1,797.27	N/A	862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	3,726.00	N/A	1,800 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	1,975.05	N/A	945 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	307.97	N/A	147 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	6,267.91	N/A	2,999 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	19,510.40	N/A	9,380 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	12,301.80	N/A	5,858 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	4,733.40	N/A	2,254 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	7,758.40	N/A	3,730 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	2,143.19	N/A	1,023 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	1,852.65	N/A	895 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	1,993.41	N/A	963 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	180.96	N/A	87 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	7,204.71	N/A	3,439 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	1,636.73	N/A	785 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	2,433.60	N/A	1,170 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	10,786.88	N/A	5,186 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	5,258.40	N/A	2,504 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2019	8,421.00	N/A	4,010 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2019	2.10	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2019	10.50	N/A	5 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2019	74,019.44	N/A	35,416 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	3,613.24	N/A	1,754 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	4,576.00	N/A	2,200 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	3,769.68	N/A	1,808 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	2,490.00	N/A	1,200 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	144,180.60	N/A	70,332 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	235.04	N/A	113 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	3,276.81	N/A	1,583 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	3,933.00	N/A	1,900 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	9,502.78	N/A	4,613 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	11,593.92	N/A	5,574 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	18/07/2019	3,213.60	N/A	1,560 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	2,018.94	N/A	966 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/07/2019	110,765.82	N/A	52,998 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/07/2019	1,108.80	N/A	528 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/07/2019	2,753.92	N/A	1,324 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2019	14.63	N/A	7 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2019	6,396.60	N/A	3,046 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2019	8,515.06	N/A	3,979 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2019	2,968.18	N/A	1,387 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	3,320.14	N/A	1,523 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	2,575.79	N/A	1,187 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	37.06	N/A	17 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	36.89	N/A	17 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	10,869.48	N/A	4,986 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	6,288.66	N/A	2,898 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	7,216.05	N/A	3,295 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	186.15	N/A	85 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/07/2019	597.32	N/A	274 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/07/2019	3,706.00	N/A	1,700 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/07/2019	35.04	N/A	16 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/07/2019	438.18	N/A	201 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/07/2019	854.10	N/A	390 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/07/2019	5,433.39	N/A	2,481 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/07/2019	2,343.50	N/A	1,075 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/07/2019	1,283.34	N/A	586 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/07/2019	288.60	N/A	130 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/07/2019	6,169.31	N/A	2,843 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/07/2019	2,387.00	N/A	1,100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/07/2019	985.60	N/A	448 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/07/2019	1,971.00	N/A	900 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/07/2019	286.00	N/A	130 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2019	4,563.51	N/A	2,103 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2019	5,550.60	N/A	2,523 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2019	1,416.80	N/A	644 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2019	12,369.00	N/A	5,700 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2019	3,044.10	N/A	1,390 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2019	4,436.10	N/A	2,021 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	1,432.26	N/A	654 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	5,238.38	N/A	2,414 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	492.68	N/A	226 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	1,783.74	N/A	822 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	1,510.74	N/A	693 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	6,562.08	N/A	3,024 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	97.65	N/A	45 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	490.42	N/A	226 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2019	1,451.88	N/A	666 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	7,233.84	N/A	3,349 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	1/08/2019	7,817.04	N/A	3,619 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1,421.35	N/A	655 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	1,449.56	N/A	668 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	3,231.13	N/A	1,489 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	17,952.41	N/A	8,273 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/08/2019	4,665.50	N/A	2,150 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	298.35	N/A	135 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	2,190.00	N/A	1,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	1,674.20	N/A	761 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	1,443.21	N/A	659 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	3,012.76	N/A	1,382 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	961.38	N/A	441 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/08/2019	4,420.00	N/A	2,000 Ordinary Shares

Carol Australia Holdings Pty Limited	2/08/2019	84,787.79	N/A	39,008 Ordinary shares
Carol Australia Holdings Pty Limited	1/08/2019	177,915.67	N/A	81,292 Ordinary shares
Carol Australia Holdings Pty Limited	31/07/2019	176,272.01	N/A	80,755 Ordinary shares
Carol Australia Holdings Pty Limited	30/07/2019	142,505.86	N/A	65,235 Ordinary shares
Carol Australia Holdings Pty Limited	29/07/2019	510,305.79	N/A	233,710 Ordinary shares
Carol Australia Holdings Pty Limited	22/07/2019	51,368.94	N/A	24,185 Ordinary shares
Carol Australia Holdings Pty Limited	18/07/2019	79,943.27	N/A	38,462 Ordinary shares
Carol Australia Holdings Pty Limited	18/07/2019	24,981.49	N/A	12,019 Ordinary shares
Carol Australia Holdings Pty Limited	18/07/2019	18,987.10	N/A	9,135 Ordinary shares
Carol Australia Holdings Pty Limited	18/07/2019	7,035.72	N/A	3,385 Ordinary shares
Carol Australia Holdings Pty Limited	27/06/2019	48,500.62	N/A	23,645 Ordinary shares
Carol Australia Holdings Pty Limited	26/06/2019	400,000.00	N/A	200,000 Ordinary shares
Carol Australia Holdings Pty Limited	26/06/2019	210,396.33	N/A	105,764 Ordinary shares
Carol Australia Holdings Pty Limited	13/06/2019	58,429.89	N/A	28,227 Ordinary shares
Carol Australia Holdings Pty Limited	13/06/2019	9,739.35	N/A	4,705 Ordinary shares
Carol Australia Holdings Pty Limited	13/06/2019	12,660.12	N/A	6,116 Ordinary shares
Carol Australia Holdings Pty Limited	13/06/2019	10,095.81	N/A	4,819 Ordinary shares
Carol Australia Holdings Pty Limited	13/06/2019	13,125.18	N/A	6,265 Ordinary shares
Carol Australia Holdings Pty Limited	13/06/2019	60,579.02	N/A	28,916 Ordinary shares
Carol Australia Holdings Pty Limited	12/06/2019	25,991.43	N/A	12,381 Ordinary shares
Carol Australia Holdings Pty Limited	12/06/2019	31,989.13	N/A	15,238 Ordinary shares
Carol Australia Holdings Pty Limited	7/06/2019	103,500.00	N/A	50,000 Ordinary shares
Carol Australia Holdings Pty Limited	7/06/2019	43,122.56	N/A	20,732 Ordinary shares
Carol Australia Holdings Pty Limited	5/06/2019	109,736.38	N/A	52,885 Ordinary shares
Carol Australia Holdings Pty Limited	5/06/2019	25,937.50	N/A	12,500 Ordinary shares
Carol Australia Holdings Pty Limited	5/06/2019	30,925.80	N/A	14,904 Ordinary shares
Carol Australia Holdings Pty Limited	30/05/2019	103,497.32	N/A	49,639 Ordinary shares
Carol Australia Holdings Pty Limited	30/05/2019	26,125.05	N/A	12,530 Ordinary shares
Carol Australia Holdings Pty Limited	30/05/2019	31,149.90	N/A	14,940 Ordinary shares
Carol Australia Holdings Pty Limited	29/05/2019	60,548.50	N/A	29,180 Ordinary shares
Carol Australia Holdings Pty Limited	29/05/2019	41,141.80	N/A	19,858 Ordinary shares
Carol Australia Holdings Pty Limited	29/05/2019	10,487.45	N/A	5,062 Ordinary shares
Carol Australia Holdings Pty Limited	29/05/2019	18,401.10	N/A	8,868 Ordinary shares

Carol Australia Holdings Pty Limited	29/05/2019	15,433.85	N/A	7,438 Ordinary shares
Carol Australia Holdings Pty Limited	29/05/2019	12,505.38	N/A	6,036 Ordinary shares
Carol Australia Holdings Pty Limited	27/05/2019	24,940.08	N/A	11,792 Ordinary shares
Carol Australia Holdings Pty Limited	27/05/2019	30,927.65	N/A	14,623 Ordinary shares
Carol Australia Holdings Pty Limited	27/05/2019	101,759.00	N/A	48,113 Ordinary shares
Carol Australia Holdings Pty Limited	15/05/2019	9,928.09	N/A	4,616 Ordinary shares
Carol Australia Holdings Pty Limited	15/05/2019	8,274.13	N/A	3,847 Ordinary shares
Carol Australia Holdings Pty Limited	15/05/2019	19,890.59	N/A	9,273 Ordinary shares
Carol Australia Holdings Pty Limited	15/05/2019	16,574.42	N/A	7,727 Ordinary shares
Carol Australia Holdings Pty Limited	12/04/2019	9,066.39	N/A	4,079 Ordinary shares
Carol Australia Holdings Pty Limited	12/04/2019	5,341.15	N/A	2,403 Ordinary shares

**Annexure C**

This is annexure C of 1 page referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto      Authorised signatory      Dated 8 August 2019

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and ATLANTIC ABSOLUTE RETURN FUND
<b>Transfer Date</b>	20190701;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	Yes/No
If yes, detail Not applicable	
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/No
If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
<b>Does the lender have the right to recall early?</b>	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
<b>Will the securities be returned on settlement?</b>	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	