Freehills

Fax

Notice of Change of Interests of Substantial Holder – Form 604

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16 September 2011

Pages 16

To ASX Companies Announcements Office
ASX
Fax 1300 135 638

We act for Mitsubishi UFJ Financial Group Inc. Please see attached a Form 604 (Notice of Change of Interests of Substantial Holder) in relation to Lynas Corporation Limited.

Yours faithfully,

Sheena Loi

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Doc 10909423

Form 604
Corporations Act 2001
Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme: Lynas Corporation Limited

ACN/ARSN: 009 066 648

1. Details of substantial holder (1)

Name: Mitsubishi UFJ Financial Group, Inc

ACN/ARSN (if applicable):

There was a change in the interests of the substantial holder on: 13/09/11

The previous notice was given to the company on: 11/08/11

The previous notice was dated: 11/08/11

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary shares	152,721,265	8.97%	136,463,302	7.96% (based on 1,713,646,913 ordinary shares outstanding)

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
9 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7994	548656 Ordinary Shares	548656
9 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7148	-761316 Ordinary Shares	-761316
9 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8726	134062 Ordinary Shares	134062
9 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7051	-319514 Ordinary Shares	-319514
9 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6750	36000 Ordinary Shares	36000
10 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9538	329519 Ordinary Shares	329519
10 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9764	-520511 Ordinary Shares	-520511

10 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0080	511 Ordinary Shares	511
10 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9514	-30896 Ordinary Shares	-30896
10 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9815	-260240 Ordinary Shares	-260240
10 August 2011	Mitsubishi UFJ Financial Group, Inc	Buy	1.938	52406 Ordinary Shares	52406
11 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9735	1503556 Ordinary Shares	1503556
11 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9371	-750407 Ordinary Shares	-750407
11 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9243	1015496 Ordinary Shares	1015496
11 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9524	-1033170 Ordinary Shares	-1033170
11 August 2011	Mitsubishi UFJ Financial Group, Inc	Buy	1.955	9345 Ordinary Shares	9345
12 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9938	12777 Ordinary Shares	12777
12 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	2.0236	-388263 Ordinary Shares	-388263
12 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.6281	46 Ordinary Shares	46
12 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9952	-71502 Ordinary Shares	-71502
12 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	2.0426	-584929 Ordinary Shares	-584929
12 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	2.0000	-27000 Ordinary Shares	-27000
15 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0227	953999 Ordinary Shares	953999
15 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	2.0219	-38547 Ordinary Shares	-38547
15 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0277	1544640 Ordinary Shares	1544640
15 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	2.0100	-9000 Ordinary Shares	-9000
15 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.1205 (ADR - in USD)	465 Ordinary Shares	465
16 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0014	820767 Ordinary Shares	820767
16 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	2.0213	-326255 Ordinary Shares	-326255
16 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0172	1093245 Ordinary Shares	1093245
16 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9893	-289200 Ordinary Shares	-289200
16 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0900 (ADR - in USD)	860 Ordinary Shares	860

17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9750	81995 Ordinary Shares	81995
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9767	208000 Ordinary Shares	208000
17 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9796	-185881 Ordinary Shares	-185881
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9939	1606532 Ordinary Shares	1606532
17 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9780	-164713 Ordinary Shares	-164713
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9700	2449 Ordinary Shares	2449
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9800	3000 Ordinary Shares	3000
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9800	20000 Ordinary Shares	20000
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9800	3500 Ordinary Shares	3500
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	[illegible]	3500 Ordinary Shares	3500
17 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	2.0500 (ADR - in USD)	84 Ordinary Shares	84
18 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8874	585159 Ordinary Shares	585159
18 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.9397	-186739 Ordinary Shares	-186739
18 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.9135	416985 Ordinary Shares	416985
18 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8853	-1000000 Ordinary Shares	-1000000
19 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7641	2580985 Ordinary Shares	2580985
19 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7698	-11736997 Ordinary Shares	-11736997
19 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7820	299 Ordinary Shares	299
19 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7400	-5243 Ordinary Shares	-5243
19 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7650	-112050 Ordinary Shares	-112050
22 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7232	647634 Ordinary Shares	647634
22 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7364	-7303271 Ordinary Shares	-7303271
22 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7050	132270 Ordinary Shares	132270
22 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by	1.7415	114682 Ordinary Shares	114682

		Morgan Stanley			
22 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7164	-1534551 Ordinary Shares	-1534551
22 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7400	54900 Ordinary Shares	54900
22 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2806 (Listed in GFR - in EUR)	10710 Ordinary Shares	10710
22 August 2011	Mitsubishi UFJ Financial Group, Inc	Buy	1.705	13179 Ordinary Shares	13179
23 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7583	104029 Ordinary Shares	104029
23 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7182	-157719 Ordinary Shares	-157719
23 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7303	1318303 Ordinary Shares	1318303
23 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6078	-686521 Ordinary Shares	-686521
23 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7106	15187 Ordinary Shares	15187
23 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7350	-3541 Ordinary Shares	-3541
23 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7050	256010 Ordinary Shares	256010
23 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7025	157085 Ordinary Shares	157085
23 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2552 (Listed in GFR - in EUR)	5550 Ordinary Shares	5550
23 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.2490 (Listed in GFR - in EUR)	-500 Ordinary Shares	-500
24 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7260	51376 Ordinary Shares	51376
24 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7239	-163575 Ordinary Shares	-163575
24 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7386	523408 Ordinary Shares	523408
24 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7016	-2500000 Ordinary Shares	-2500000
24 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7756	-6349 Ordinary Shares	-6349
24 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7166	199600 Ordinary Shares	199600
24 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2611 (Listed in GFR - in EUR)	3965 Ordinary Shares	3965
25 August 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	124082 Ordinary Shares	124082
25 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7295	25641 Ordinary Shares	25641
25 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7241	-61248 Ordinary Shares	-61248
25 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity	1.7298	1500128 Ordinary Shares	1500128

		controlled by Morgan Stanley			
25 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7191	-1500000 Ordinary Shares	-1500000
25 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7478	-46168 Ordinary Shares	-46168
25 August 2011	Mitsubishi UFJ Financial Group	Transfer out by an entity controlled by Morgan Stanley	1.7250	-1100068 Ordinary Shares	-1100068
25 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2576 (Listed in GFR - in EUR)	4110 Ordinary Shares	4110
25 August 2011	Mitsubishi UFJ Financial Group, Inc	Buy	1.722	21882 Ordinary Shares	21882
26 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7064	461517 Ordinary Shares	461517
26 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7034	-17901 Ordinary Shares	-17901
26 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7212	55542 Ordinary Shares	55542
26 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7116	124392 Ordinary Shares	124392
26 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2460 (Listed in GFR - in EUR)	639 Ordinary Shares	639
26 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.2334 (Listed in GFR - in EUR)	2158 Ordinary Shares	-2158
26 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7100	3695 Ordinary Shares	3695
29 August 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	1082325 Ordinary Shares	1082325
29 August 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	13803 Ordinary Shares	13803
29 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7524	287685 Ordinary Shares	287685
29 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7686	-52517 Ordinary Shares	-52517
29 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7600	13166 Ordinary Shares	13166
29 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.3469 (Listed in GFR - in EUR)	12148 Ordinary Shares	12148
29 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.3330 (Listed in GFR - in EUR)	1000 Ordinary Shares	-1000
29 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7500	11500 Ordinary Shares	11500
30 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8419	73809 Ordinary Shares	73809
30 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8214	-731437 Ordinary Shares	-731437
30 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8284	1593278 Ordinary Shares	1593278
30 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.3361 (Listed in GFR - in EUR)	7250 Ordinary Shares	7250
31 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by	1.8102	189128 Ordinary Shares	189128

		Morgan Stanley			
31 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8660	-36786 Ordinary Shares	-36786
31 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8155	15833 Ordinary Shares	15833
31 August 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8100	-7192 Ordinary Shares	-7192
31 August 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.3561 (Listed in GFR - in EUR)	4359 Ordinary Shares	4359
31 August 2011	Mitsubishi UFJ Financial Group, Inc	Sell	1.801	-13687 Ordinary Shares	-13687
1 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8290	107946 Ordinary Shares	107946
1 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8275	-35374 Ordinary Shares	-35374
1 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8102	163574 Ordinary Shares	163574
1 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8160	40526 Ordinary Shares	40526
1 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.3573 (Listed in GFR - in EUR)	4911 Ordinary Shares	4911
2 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7790	425469 Ordinary Shares	425469
2 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7755	-4527256 Ordinary Shares	-4527256
2 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.8298	61195 Ordinary Shares	61195
2 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8050	-5233 Ordinary Shares	-5233
2 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.3200 (Listed in GFR - in EUR)	719 Ordinary Shares	719
2 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.3010 (Listed in GFR - in EUR)	2000 Ordinary Shares	-2000
5 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7084	1076078 Ordinary Shares	1076078
5 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7055	-3000423 Ordinary Shares	-3000423
5 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7344	6165 Ordinary Shares	6165
5 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6962	-413581 Ordinary Shares	-413581
5 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7600	62061 Ordinary Shares	62061
5 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2567 (Listed in GFR - in EUR)	3412 Ordinary Shares	3412
6 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6659	540632 Ordinary Shares	540632
6 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7068	-2785650 Ordinary Shares	-2785650

6 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7135	3269 Ordinary Shares	3269
6 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6540	-1066418 Ordinary Shares	-1066419
6 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2966 (Listed in GFR - in EUR)	14698 Ordinary Shares	14698
6 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8010 (ADR - in USD)	-2000 Ordinary Shares	-2000
7 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	2500000 Ordinary Shares	2500000
7 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7561	304830 Ordinary Shares	304830
7 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7605	-8614 Ordinary Shares	-8614
7 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7599	2176 Ordinary Shares	2176
7 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7674	-605230 Ordinary Shares	-605230
7 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7773	151541 Ordinary Shares	151541
7 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.3400 (Listed in GFR - in EUR)	89 Ordinary Shares	-89
7 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7812	45464 Ordinary Shares	45464
8 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	99288 Ordinary Shares	99288
8 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	10785 Ordinary Shares	10785
8 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7895	760 Ordinary Shares	760
8 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7715	-505229 Ordinary Shares	-505229
8 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.2857 (Listed in GFR - in EUR)	2000 Ordinary Shares	-2000
9 September 2011	Mitsubishi UFJ Financial Group, Inc	Buy	1.725	13000 Ordinary Shares	13000
9 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	2328168 Ordinary Shares	2328168
9 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	1000000 Ordinary Shares	1000000
9 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7439	171160 Ordinary Shares	171160
9 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7508	30 Ordinary Shares	30
9 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7350	-24395 Ordinary Shares	-24395
9 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.8000 (ADR - in USD)	-5000 Ordinary Shares	-5000
9 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.7900 (ADR - in USD)	-5000 Ordinary Shares	-5000
12 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	517265 Ordinary Shares	517265
12 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6623	135797 Ordinary Shares	135797
12 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6583	-403697 Ordinary Shares	-403697

12 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7349	41599 Ordinary Shares	41599
12 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6279	-30351 Ordinary Shares	-30351
12 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.7350	41512 Ordinary Shares	41512
12 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2398 (Listed in GFR - in EUR)	7625 Ordinary Shares	7625
12 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6733 (ADR - in USD)	1500 Ordinary Shares	1500
13 September 2011	Mitsubishi UFJ Financial Group	Borrow (see Annexure A)	N/A	472206 Ordinary Shares	472206
13 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6591	164800 Ordinary Shares	164800
13 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6450	-79522 Ordinary Shares	-79522
13 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6150	103636 Ordinary Shares	103636
13 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6491	-501511 Ordinary Shares	-501511
13 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.6150	86223 Ordinary Shares	86223
13 September 2011	Mitsubishi UFJ Financial Group	Acquisition of shares by an entity controlled by Morgan Stanley	1.2453 (Listed in GFR - in EUR)	1400 Ordinary Shares	1400
13 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6624	547130 Ordinary Shares	547130
13 September 2011	Mitsubishi UFJ Financial Group	Sale of shares by an entity controlled by Morgan Stanley	1.6600 (ADR - in USD)	-400 Ordinary Shares	-400

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Mitsubishi UFJ Financial Group, Inc	Mitsubishi UFJ Asset Management Co., Ltd	Not Applicable	Relevant interest in shares held by Mitsubishi UFJ Asset Management Co., Ltd. under s608(3) as Mitsubishi UFJ Financial Group, Inc. controls Mitsubishi UFJ Asset Management Co., Ltd.	1,008,215 Ordinary Shares	1,008,215
Mitsubishi UFJ Financial Group, Inc	Mitsubishi UFJ Trust and Banking Corporation	Not Applicable	Relevant interest in shares held by Mitsubishi UFJ Trust and Banking Corporation under s608(3) as Mitsubishi UFJ Financial Group, Inc. controls Mitsubishi UFJ Trust and Banking Corporation.	3,581,211 Ordinary Shares	3,581,211
Mitsubishi UFJ Financial Group, Inc	HSBC Custody Nominees (Australia) Limited	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	111,219,195 Ordinary Shares	111,219,195

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Mitsubishi UFJ Financial Group, Inc	Morgan Stanley Australia Securities (Nominee) Pty Limited	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	1,467,436 Ordinary Shares	1,467,436
Mitsubishi UFJ Financial Group, Inc	JP Morgan Nominees Australia Limited	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	3,534,948 Ordinary Shares	3,534,948
Mitsubishi UFJ Financial Group, Inc	National Nominees Limited	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	5,722,191 Ordinary Shares	5,722,191
Mitsubishi UFJ Financial Group, Inc	Citigroup Pty Limited	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	1,165,667 Ordinary Shares	1,165,667
Mitsubishi UFJ Financial Group, Inc	JP Morgan Chase Bank, Sydney	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	92,676 Ordinary Shares	92,676
Mitsubishi UFJ Financial Group, Inc	JP Morgan Chase Bank, NA	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	3,261,583 Ordinary Shares	3,261,583
Mitsubishi UFJ Financial Group, Inc	HSBC Australia	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	2,336,634 Ordinary Shares	2,336,634
Mitsubishi UFJ Financial Group, Inc	The Hong Kong and Shanghai Banking Corporation Ltd,	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	2,384,908 Ordinary Shares	2,384,908
Mitsubishi UFJ Financial Group, Inc	Citi Global Market	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	2,000 Ordinary Shares	2,000
Mitsubishi UFJ Financial Group, Inc	OTC through Morgan Stanley	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	625,138 Ordinary Shares	625,138

Mitsubishi UFJ Financial Group, Inc	Morgan Stanley Smith Barney discretionary client account	Not Applicable	Relevant interest in shares that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group has voting power of over 20% in Morgan Stanley	41,500 Ordinary Shares	41,500

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

Signature

print name	Mr. Takenobu Inaba	capacity	Authorised signatory
sign here	Takenobu Inaba	date	15/09/2011

DIRECTIONS

(1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.

(2) See the definition of "associate" in section 9 of the Corporations Act 2001.

(3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.

(4) The voting shares of a company constitute one class unless divided into separate classes.

(5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.

(6) Include details of:

(a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and

(b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

(7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included on any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

(8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".

(9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

ANNEXURE "A"

This is Annexure "A" of 5 pages referred to in the Form 604 (Notice of change of interests of substantial holder), signed by me and dated 16 September 2011

....TAKENOBU.....INABA
Signed: Mr Takenobu Inaba

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and AMAZON MARKET NEUTRAL FUND
Transfer Date	8/3/2011, 8/9/2011, 8/14/2011
Holder of Voting Rights	If prime broker has settled a short sale for the client, voting rights will pass to the purchaser of the securities.
Are there any restrictions on voting rights?	~~Yes~~/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/~~No~~
If yes, detail At any time the client may return to the prime broker shares which the client previously sold short.	
Does the lender have the right to recall early?	Yes/~~No~~
If yes, detail The prime broker may require the client to return shares delivered on behalf of the client at any time.	
Will the securities be returned on settlement?	Yes/~~No~~
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and LOIRE LIMITED
Transfer Date	8/3/2011, 8/9/2011, 8/14/2011, 7/7/2011
Holder of Voting Rights	If prime broker has settled a short sale for the client, voting rights will pass to the purchaser of the securities.
Are there any restrictions on voting rights?	~~Yes~~/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/~~No~~
If yes, detail At any time the client may return to the prime broker shares which the client previously sold short.	
Does the lender have the right to recall early?	Yes/~~No~~
If yes, detail The prime broker may require the client to return shares delivered on behalf of the client at any time.	
Will the securities be returned on settlement?	Yes/~~No~~
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	Australian Master Securities Lending Agreement
Parties to agreement	Morgan Stanley & Co International plc and NATIONAL AUSTRALIA BANK LIMITED
Transfer Date	8/9/2011
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights?	~~Yes~~/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower shall be entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the Standard Settlement Time for such Equivalent Securities or the equivalent time on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established and on the basis of the Relevant Values so established, an account shall be taken of what is due from each Party to the other and the sums due from one Party shall be set-off against the sums due from the other and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and CC ASIA ABSOLUTE RETURN MASTER FUND LIMITED
Transfer Date	5/2/2011, 6/21/2011, 6/22/2011, 7/25/2011
Holder of Voting Rights	If prime broker has settled a short sale for the client, voting rights will pass to

	the purchaser of the securities.
Are there any restrictions on voting rights?	~~Yes~~/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/~~No~~
If yes, detail At any time the client may return to the prime broker shares which the client previously sold short.	
Does the lender have the right to recall early?	Yes/~~No~~
If yes, detail The prime broker may require the client to return shares delivered on behalf of the client at any time.	
Will the securities be returned on settlement?	Yes/~~No~~
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	Overseas Securities Lender's Agreement
Parties to agreement	Morgan Stanley & Co International plc and JPMORGAN CHASE BANK, NA
Transfer Date	9/7/2011, 9/9/2011, 9/13/2011
Holder of Voting Rights	Each Party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at a time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the Lender or Borrower (as the case may be).
Are there any restrictions on voting rights?	Yes/No
If yes, detail As stated above.	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower is entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered. The Borrower shall redeliver such Equivalent Securities not later than the expiry of such notice in accordance with the Lender's instructions.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established in accordance with the Agreement and on the basis of the Relevant Values so established, the sums due from one Party shall be set-off against the sums due from the other and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	Overseas Securities Lender's Agreement
Parties to agreement	Morgan Stanley & Co International plc and CITIBANK NA
Transfer Date	8/26/2011, 8/29/2011, 9/6/2011
Holder of Voting Rights	Each Party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at a time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the Lender or Borrower (as the case may be).
Are there any restrictions on voting rights?	Yes/No
If yes, detail As stated above.	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower is entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered. The Borrower shall redeliver such Equivalent Securities not later than the expiry of such notice in accordance with the Lender's instructions.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established in accordance with the Agreement and on the basis of the Relevant Values so established, the sums due from one Party shall be set-off against the sums due from the other and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and OXAM QUANT FUND LIMITED
Transfer Date	9/12/2011
Holder of Voting Rights	If prime broker has settled a short sale for the client, voting rights will pass to the purchaser of the securities.
Are there any restrictions on voting rights?	~~Yes~~/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/~~No~~

If yes, detail At any time the client may return to the prime broker shares which the client previously sold short.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The prime broker may require the client to return shares delivered on behalf of the client at any time.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	Australian Master Securities Lending Agreement
Parties to agreement	Morgan Stanley Australia Securities Limited and COMMONWEALTH BANK OF AUSTRALIA
Transfer Date	8/31/2011, 9/2/2011, 9/5/2011, 9/6/2011
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower shall be entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the Standard Settlement Time for such Equivalent Securities or the equivalent time on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established and on the basis of the Relevant Values so established, an account shall be taken of what is due from each Party to the other and the sums due from one Party shall be set off against the sums due from the other and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	Master Securities Lending Agreement
Parties to agreement	Prime Dealer Services Corp. and customer ALLIANCEBERNSTEIN LP
Transfer Date	5/5/2011, 5/13/2011, 8/3/2011
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail The borrower may return shares at any time.	
Does the lender have the right to recall early?	Yes
If yes, detail The lender may recall shares from the borrower at any time.	
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, loaned securities will be returned to the Lender. Upon an Event of Default, Borrower may sell the loaned securities or do a deemed sale of the securities to satisfy amounts owed to Borrower by Lender. Any remaining loaned securities or excess cash proceeds will be returned to the lender.	

Schedule	
Type of Agreement	Master Securities Lending Agreement
Parties to agreement	Prime Dealer Services Corp. and customer CLOUGH CAPITAL PARTNERS LP
Transfer Date	8/19/2011, 8/23/2011, 8/24/2011, 8/25/2011, 9/6/2011, 9/7/2011
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail The borrower may return shares at any time.	
Does the lender have the right to recall early?	Yes
If yes, detail The lender may recall shares from the borrower at any time.	
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, loaned securities will be returned to the Lender. Upon an Event of Default, Borrower may sell the loaned securities or do a deemed sale of the securities to satisfy amounts owed to Borrower by Lender. Any remaining loaned securities or excess cash proceeds will be returned to the lender.	

Schedule	
Type of Agreement	Master Securities Lending Agreement
Parties to agreement	Prime Dealer Services Corp. and customer GEODE CAPITAL MANAGEMENT LP
Transfer Date	8/4/2011, 9/7/2011
Holder of Voting Rights	Borrower

Are there any restrictions on voting rights?	No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail The borrower may return shares at any time.	
Does the lender have the right to recall early?	Yes
If yes, detail The lender may recall shares from the borrower at any time.	
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, loaned securities will be returned to the Lender. Upon an Event of Default, Borrower may sell the loaned securities or do a deemed sale of the securities to satisfy amounts owed to Borrower by Lender. Any remaining loaned securities or excess cash proceeds will be returned to the lender.	

Schedule	
Type of Agreement	Customer Prime Broker Account Agreement
Parties to agreement	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and RIDGECREST PARTNERS LP
Transfer Date	7/19/2011
Holder of Voting Rights	Shares are used to settle customer's short sales. Voting rights are held by third party purchaser.
Are there any restrictions on voting rights?	No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes
If yes, detail The borrower may return shares to the lender at any time.	
Does the lender have the right to recall early?	Yes
If yes, detail The lender may recall shares from the borrower at any time.	
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions In the ordinary course of business, customer will return the securities to the prime broker. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral for the purpose of arriving at a single closeout amount. In such a default scenario, the shares may not be returned to the prime broker.	

Schedule	
Type of Agreement	Overseas Securities Lender's Agreement
Parties to agreement	Morgan Stanley & Co. LLC and BLACKROCK INSTITUTIONAL TRUST COMPANY, NA
Transfer Date	8/29/2011, 9/8/2011, 9/9/2011, 9/12/2011, 9/13/2011
Holder of Voting Rights	Each Party undertakes that where it holds securities of the same description as any securities borrowed by it or transferred to it by way of collateral at a time when a right to vote arises in respect of such securities, it will use its best endeavours to arrange for the voting rights attached to such securities to be exercised in accordance with the instructions of the Lender or Borrower (as the case may be).
Are there any restrictions on voting rights?	Yes/No
If yes, detail As stated above.	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail The Borrower is entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.	
Does the lender have the right to recall early?	Yes/No
If yes, detail The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered. The Borrower shall redeliver such Equivalent Securities not later than the expiry of such notice in accordance with the Lender's instructions.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established in accordance with the Agreement and on the basis of the Relevant Values so established, the sums due from one Party shall be set-off against the sums due from the other and only the balance of the account shall be payable.	

The above schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.