

Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company Name/Scheme Northern Star Resources Ltd

ACN/ARSN 092 832 892

1. Details of substantial holder (1)

Name State Street Corporation and subsidiaries named in Annexures to this form

ACN/ARSN (if applicable) _____

The holder became a substantial holder on 03/02/2021

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary	38,693,202	38,693,202	5.22

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure A		

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Annexure A			

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-Cash	
Annexure B & D				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Annexure A	

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Annexure C	

Signature

print name **Elizabeth Schaefer**

Capacity: **Authorised signatory**

sign here

date **04/02/2021**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

04 February 2021

Elizabeth Schaefer
Authorised Signatory

3. Details of relevant interests

4. Details of present registered holders

6. Associates

Holder of relevant interest (Section 3, 4 and 6)	Nature of Relevant Interest (Section 3)	Registered Holder of securities (Section 4)	Person Entitled to be registered as holder (Section 4)	Class and number of Securities (Section 3 and 4)		Nature of Association (Section 6)
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	42,656	Subsidiary of State Street Corporation
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	3,109,274	Subsidiary of State Street Corporation
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	NA	Ordinary	256,839	Subsidiary of State Street Corporation
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Sumitomo Trust and Banking Co USA	NA	Ordinary	71,286	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	NA	Ordinary	231,128	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	525,969	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	9,393,534	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	358,988	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	888,494	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	NA	Ordinary	18,589	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	NA	Ordinary	10,231	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	NA	Ordinary	47,966	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	93,167	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	25,770	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	12,312	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	17,122	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Citibank NA	NA	Ordinary	12,544	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	41,442	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	2,181,073	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	132,730	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	486,711	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	NA	Ordinary	2,809	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Citibank NA	NA	Ordinary	45,486	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Lombard Odier	NA	Ordinary	32,598	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	UBS AG	NA	Ordinary	133,573	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Nykredit Bank A.S	NA	Ordinary	4,118	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Istituto Centrale Delle Banche Popari italiane	NA	Ordinary	21,312	Subsidiary of State Street Corporation
State Street Global Advisors GmbH	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	NA	Ordinary	4,866	Subsidiary of State Street Corporation

State Street Global Advisors GmbH	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	18,532	Subsidiary of State Street Corporation
State Street Global Advisors GmbH	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	19,708	Subsidiary of State Street Corporation
State Street Global Advisors GmbH	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	18,074	Subsidiary of State Street Corporation
State Street Global Advisors GmbH	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	NA	Ordinary	98,552	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	NA	Ordinary	320,183	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	696,360	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	197,316	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	118,004	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	49,741	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Kas Bank NV	NA	Ordinary	12,543	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Nykredit Bank A.S	NA	Ordinary	2,660	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	ABN AMRO Mellon	NA	Ordinary	112,323	Subsidiary of State Street Corporation
State Street Global Advisors Ireland Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Credit Suisse AG	NA	Ordinary	16,074	Subsidiary of State Street Corporation
SSgA Australia Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	9,399,909	Subsidiary of State Street Corporation
SSgA Australia Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	3,436,800	Subsidiary of State Street Corporation
SSgA Australia Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	2,655,164	Subsidiary of State Street Corporation
SSgA Australia Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	NA	Ordinary	87,920	Subsidiary of State Street Corporation
State Street Global Advisors Ltd. (Canada)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	30,612	Subsidiary of State Street Corporation
State Street Global Advisors Ltd. (Canada)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	48,603	Subsidiary of State Street Corporation
State Street Global Advisors Ltd. (Canada)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	CIBC Mellon	NA	Ordinary	34,179	Subsidiary of State Street Corporation
SSgA Funds Management, Inc.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	1,025,729	Subsidiary of State Street Corporation
SSgA Funds Management, Inc.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	5,279	Subsidiary of State Street Corporation
SSgA Funds Management, Inc.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Citibank NA	NA	Ordinary	25,763	Subsidiary of State Street Corporation
SSgA Funds Management, Inc.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	NA	Ordinary	15,046	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has on-lent the securities and retains a relevant interest	Unknown	State Street Bank and Trust Company	Ordinary	132,619	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has on-lent the securities and retains a relevant interest	Unknown	State Street Bank and Trust Company	Ordinary	19,025	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has on-lent the securities and retains a relevant interest	Unknown	State Street Bank and Trust Company	Ordinary	170,000	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has on-lent the securities and retains a relevant interest	Unknown	State Street Bank and Trust Company	Ordinary	1,007,956	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has on-lent the securities and retains a relevant interest	Unknown	State Street Bank and Trust Company	Ordinary	715,941	Subsidiary of State Street Corporation

04 February 2021

Elizabeth Schaefer
 Authorised Signatory
 5. Consideration

Holder of relevant interest	Date of Acquisition	Nature of transaction	Consideration	Class and number of Securities affected
State Street Global Advisors (Japan) Co., Ltd	20-Oct-2020	Purchase	16.18	Ordinary 29,586
State Street Global Advisors (Japan) Co., Ltd	30-Nov-2020	Purchase	12.61	Ordinary 4,405
State Street Global Advisors (Japan) Co., Ltd	03-Feb-2021	Purchase	12.51	Ordinary 141,000
State Street Global Advisors Australia Limited	14-Oct-2020	Purchase	16.19	Ordinary 14,371
State Street Global Advisors Australia Limited	28-Oct-2020	In Specie Contribution	n/a	Ordinary 1,224
State Street Global Advisors Australia Limited	16-Oct-2020	Purchase	15.98	Ordinary 5,161
State Street Global Advisors Australia Limited	12-Oct-2020	In Specie Contribution	n/a	Ordinary 650
State Street Global Advisors Australia Limited	19-Oct-2020	In Specie Contribution	n/a	Ordinary 83,249
State Street Global Advisors Australia Limited	02-Nov-2020	In Specie Contribution	n/a	Ordinary 6,200
State Street Global Advisors Australia Limited	20-Nov-2020	Purchase	14.03	Ordinary 283
State Street Global Advisors Australia Limited	17-Nov-2020	In Specie Contribution	n/a	Ordinary 57,042
State Street Global Advisors Australia Limited	11-Dec-2020	In Specie Contribution	n/a	Ordinary 658
State Street Global Advisors Australia Limited	08-Dec-2020	In Specie Contribution	n/a	Ordinary 12,420
State Street Global Advisors Australia Limited	04-Nov-2020	Purchase	15.29	Ordinary 9,887
State Street Global Advisors Australia Limited	04-Dec-2020	In Specie Contribution	n/a	Ordinary 17,388
State Street Global Advisors Australia Limited	01-Dec-2020	In Specie Contribution	n/a	Ordinary 301,084
State Street Global Advisors Australia Limited	11-Nov-2020	Purchase	14.33	Ordinary 13,933
State Street Global Advisors Australia Limited	18-Nov-2020	In Specie Contribution	n/a	Ordinary 287,124
State Street Global Advisors Australia Limited	12-Nov-2020	In Specie Contribution	n/a	Ordinary 22,686
State Street Global Advisors Australia Limited	03-Nov-2020	In Specie Contribution	n/a	Ordinary 3,270
State Street Global Advisors Australia Limited	16-Dec-2020	Purchase	12.19	Ordinary 160,703
State Street Global Advisors Australia Limited	16-Dec-2020	In Specie Contribution	n/a	Ordinary 658
State Street Global Advisors Australia Limited	18-Dec-2020	Purchase	12.84	Ordinary 73,869
State Street Global Advisors Australia Limited	21-Dec-2020	In Specie Contribution	n/a	Ordinary 32,284
State Street Global Advisors Australia Limited	29-Dec-2020	In Specie Contribution	n/a	Ordinary 1,225
State Street Global Advisors Australia Limited	29-Jan-2021	Purchase	12.85	Ordinary 28,996
State Street Global Advisors Australia Limited	29-Jan-2021	Purchase	12.85	Ordinary 81,559
State Street Global Advisors Australia Limited	28-Jan-2021	In Specie Contribution	n/a	Ordinary 328
State Street Global Advisors Inc	30-Oct-2020	Purchase	14.85	Ordinary 17,663
State Street Global Advisors Inc	30-Oct-2020	In Specie Contribution	n/a	Ordinary 31,796
State Street Global Advisors Inc	15-Oct-2020	In Specie Contribution	n/a	Ordinary 7,748
State Street Global Advisors Inc	21-Oct-2020	Purchase	16.19	Ordinary 10,311
State Street Global Advisors Inc	08-Oct-2020	Purchase	15.62	Ordinary 10,993
State Street Global Advisors Inc	28-Oct-2020	Purchase	15.78	Ordinary 13,788
State Street Global Advisors Inc	22-Oct-2020	Purchase	16.20	Ordinary 6,235
State Street Global Advisors Inc	13-Oct-2020	Purchase	16.00	Ordinary 7,085
State Street Global Advisors Inc	29-Oct-2020	Purchase	14.93	Ordinary 2,963
State Street Global Advisors Inc	06-Oct-2020	Purchase	14.25	Ordinary 3,595
State Street Global Advisors Inc	30-Nov-2020	Purchase	12.61	Ordinary 2,820
State Street Global Advisors Inc	02-Nov-2020	Purchase	14.97	Ordinary 1,194
State Street Global Advisors Inc	10-Dec-2020	Purchase	12.27	Ordinary 6,731
State Street Global Advisors Inc	10-Dec-2020	Purchase	12.27	Ordinary 11,951
State Street Global Advisors Inc	20-Nov-2020	Purchase	14.02	Ordinary 56,213
State Street Global Advisors Inc	17-Nov-2020	Purchase	14.68	Ordinary 45,300
State Street Global Advisors Inc	04-Dec-2020	Purchase	12.84	Ordinary 6,283
State Street Global Advisors Inc	16-Nov-2020	Purchase	15.20	Ordinary 4,147
State Street Global Advisors Inc	01-Dec-2020	Purchase	12.89	Ordinary 10,627
State Street Global Advisors Inc	11-Nov-2020	Purchase	14.45	Ordinary 324
State Street Global Advisors Inc	11-Nov-2020	In Specie Contribution	n/a	Ordinary 24,900
State Street Global Advisors Inc	09-Dec-2020	Purchase	13.00	Ordinary 20,650
State Street Global Advisors Inc	10-Nov-2020	Purchase	14.91	Ordinary 8,045
State Street Global Advisors Inc	19-Nov-2020	Purchase	14.11	Ordinary 759
State Street Global Advisors Inc	19-Nov-2020	In Specie Contribution	n/a	Ordinary 394
State Street Global Advisors Inc	05-Nov-2020	In Specie Contribution	n/a	Ordinary 2,270
State Street Global Advisors Inc	03-Nov-2020	Purchase	15.32	Ordinary 1,191
State Street Global Advisors Inc	16-Dec-2020	Purchase	12.19	Ordinary 1,236
State Street Global Advisors Inc	18-Dec-2020	Purchase	12.84	Ordinary 98,308
State Street Global Advisors Inc	18-Dec-2020	Purchase	12.87	Ordinary 128,036
State Street Global Advisors Inc	21-Dec-2020	Purchase	12.92	Ordinary 3,338
State Street Global Advisors Inc	22-Dec-2020	In Specie Contribution	n/a	Ordinary 15,982
State Street Global Advisors Inc	31-Dec-2020	Purchase	12.69	Ordinary 3,833
State Street Global Advisors Inc	04-Jan-2021	In Specie Contribution	n/a	Ordinary 9,210
State Street Global Advisors Inc	05-Jan-2021	In Specie Contribution	n/a	Ordinary 53,907
State Street Global Advisors Inc	29-Dec-2020	Purchase	12.50	Ordinary 1,485
State Street Global Advisors Inc	29-Dec-2020	In Specie Contribution	n/a	Ordinary 784
State Street Global Advisors Inc	07-Jan-2021	Purchase	13.31	Ordinary 296
State Street Global Advisors Inc	08-Jan-2021	Purchase	13.18	Ordinary 25,408
State Street Global Advisors Inc	08-Jan-2021	Purchase	13.17	Ordinary 2,352
State Street Global Advisors Inc	14-Jan-2021	In Specie Contribution	n/a	Ordinary 2,043
State Street Global Advisors Inc	19-Jan-2021	Purchase	13.30	Ordinary 16,085
State Street Global Advisors Inc	21-Jan-2021	Purchase	13.62	Ordinary 39,235
State Street Global Advisors Inc	27-Jan-2021	In Specie Contribution	n/a	Ordinary 10,215
State Street Global Advisors Inc	24-Nov-2020	Purchase	13.01	Ordinary 764
State Street Global Advisors Inc	24-Nov-2020	Purchase	13.01	Ordinary 1,070
State Street Global Advisors Inc	09-Nov-2020	Purchase	16.80	Ordinary 4,484
State Street Global Advisors Inc	03-Feb-2021	Purchase	12.51	Ordinary 4,142
State Street Global Advisors Inc	03-Feb-2021	Purchase	12.49	Ordinary 1,935
State Street Global Advisors Inc	29-Jan-2021	Purchase	12.85	Ordinary 7,693
State Street Global Advisors Inc	18-Jan-2021	In Specie Contribution	n/a	Ordinary 17,536
State Street Global Advisors Inc	28-Jan-2021	Purchase	12.74	Ordinary 5,950
State Street Global Advisors Inc	28-Jan-2021	In Specie Contribution	n/a	Ordinary 1,816
State Street Global Advisors Limited	30-Oct-2020	Purchase	14.85	Ordinary 12,196
State Street Global Advisors Limited	14-Oct-2020	Purchase	16.19	Ordinary 7,178
State Street Global Advisors Limited	22-Oct-2020	Purchase	16.20	Ordinary 964
State Street Global Advisors Limited	27-Oct-2020	Purchase	15.35	Ordinary 32,630
State Street Global Advisors Limited	25-Nov-2020	Purchase	12.52	Ordinary 1,634
State Street Global Advisors Limited	30-Nov-2020	Purchase	12.61	Ordinary 26,429
State Street Global Advisors Limited	17-Nov-2020	Purchase	15.08	Ordinary 21,377
State Street Global Advisors Limited	11-Dec-2020	Purchase	12.39	Ordinary 3,252
State Street Global Advisors Limited	11-Dec-2020	In Specie Contribution	n/a	Ordinary 7,350
State Street Global Advisors Limited	23-Nov-2020	Purchase	13.96	Ordinary 1,634

State Street Global Advisors Limited	08-Dec-2020	Purchase	13.03	Ordinary	3,528
State Street Global Advisors Limited	04-Dec-2020	Purchase	12.84	Ordinary	13,946
State Street Global Advisors Limited	16-Nov-2020	Purchase	15.16	Ordinary	5,447
State Street Global Advisors Limited	01-Dec-2020	Purchase	12.86	Ordinary	2,643
State Street Global Advisors Limited	11-Nov-2020	Purchase	14.33	Ordinary	360
State Street Global Advisors Limited	10-Nov-2020	Purchase	14.91	Ordinary	817
State Street Global Advisors Limited	12-Nov-2020	Purchase	14.10	Ordinary	180
State Street Global Advisors Limited	17-Dec-2020	Purchase	12.57	Ordinary	1,181
State Street Global Advisors Limited	18-Dec-2020	Purchase	12.84	Ordinary	25,411
State Street Global Advisors Limited	18-Dec-2020	Purchase	12.87	Ordinary	22,450
State Street Global Advisors Limited	21-Dec-2020	Purchase	12.93	Ordinary	180
State Street Global Advisors Limited	31-Dec-2020	Purchase	12.69	Ordinary	1,729
State Street Global Advisors Limited	06-Jan-2021	Purchase	13.44	Ordinary	1,208
State Street Global Advisors Limited	12-Jan-2021	Purchase	12.80	Ordinary	180
State Street Global Advisors Limited	13-Jan-2021	Purchase	13.06	Ordinary	3,473
State Street Global Advisors Limited	15-Jan-2021	Purchase	12.72	Ordinary	1,642
State Street Global Advisors Limited	19-Jan-2021	Purchase	13.30	Ordinary	6,582
State Street Global Advisors Limited	20-Jan-2021	Purchase	13.41	Ordinary	10,634
State Street Global Advisors Limited	22-Jan-2021	Purchase	13.43	Ordinary	1,642
State Street Global Advisors Limited	27-Jan-2021	Purchase	13.26	Ordinary	21,441
State Street Global Advisors Limited	24-Dec-2020	Purchase	12.49	Ordinary	21,269
State Street Global Advisors Limited	03-Feb-2021	Purchase	12.51	Ordinary	36,681
State Street Global Advisors Limited	03-Feb-2021	Purchase	12.49	Ordinary	51,456
State Street Global Advisors Limited	03-Feb-2021	Purchase	12.49	Ordinary	6,603
State Street Global Advisors Limited	28-Jan-2021	Purchase	12.74	Ordinary	821
State Street Global Advisors Limited	02-Feb-2021	Purchase	13.06	Ordinary	360
State Street Global Advisors Ireland Limited	17-Nov-2020	Purchase	14.45	Ordinary	37,864
State Street Global Advisors Ireland Limited	03-Feb-2021	Purchase	12.49	Ordinary	16,637
State Street Bank and Trust Company	05-Nov-20	Return of securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	(5,000)
State Street Bank and Trust Company	11-Nov-20	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	8,400
State Street Bank and Trust Company	13-Nov-20	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	20,700
State Street Bank and Trust Company	18-Nov-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	21,200
State Street Bank and Trust Company	20-Nov-2020	Return of securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	(16,000)
State Street Bank and Trust Company	30-Nov-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	24,100
State Street Bank and Trust Company	08-Dec-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	17,700
State Street Bank and Trust Company	09-Dec-2020	Return of securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	(4,812)
State Street Bank and Trust Company	11-Dec-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Dec-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	14,700
State Street Bank and Trust Company	15-Dec-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	25,000
State Street Bank and Trust Company	17-Dec-2020	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	14,600

State Street Bank and Trust Company	23-Dec-2020	Return of securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	(30,288)
State Street Bank and Trust Company	27-Jan-2021	Borrowing securities under a securities loan from THE BANK OF NEW YORK MELLON – Refer Part A of Annexure D	n/a	Ordinary	21,119
State Street Bank and Trust Company	02-Nov-2020	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	693
State Street Bank and Trust Company	18-Nov-2020	Return of securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	(246)
State Street Bank and Trust Company	19-Nov-2020	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	700
State Street Bank and Trust Company	29-Dec-2020	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	14,044
State Street Bank and Trust Company	07-Jan-2021	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	1,210
State Street Bank and Trust Company	29-Jan-2021	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	915
State Street Bank and Trust Company	01-Feb-2021	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	400
State Street Bank and Trust Company	02-Feb-2021	Borrowing securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure D	n/a	Ordinary	500
State Street Bank and Trust Company	12-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	7,400
State Street Bank and Trust Company	27-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	23,900
State Street Bank and Trust Company	07-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	21,900
State Street Bank and Trust Company	14-Dec-2020	Return of securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	(900)
State Street Bank and Trust Company	29-Dec-2020	Return of securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	(16,000)
State Street Bank and Trust Company	14-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	39,000

State Street Bank and Trust Company	15-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	43,100
State Street Bank and Trust Company	19-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure D	n/a	Ordinary	43,000
State Street Bank and Trust Company	13-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	(132,600)
State Street Bank and Trust Company	09-Nov-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	(128,800)
State Street Bank and Trust Company	10-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	10,600
State Street Bank and Trust Company	12-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	19,900
State Street Bank and Trust Company	16-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	20,700
State Street Bank and Trust Company	17-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	22,900
State Street Bank and Trust Company	19-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	24,156
State Street Bank and Trust Company	24-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	40,100
State Street Bank and Trust Company	25-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	15,800
State Street Bank and Trust Company	27-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	37,800

State Street Bank and Trust Company	30-Nov-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	24,100
State Street Bank and Trust Company	01-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	23,400
State Street Bank and Trust Company	02-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	800
State Street Bank and Trust Company	03-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	19,400
State Street Bank and Trust Company	04-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	10,000
State Street Bank and Trust Company	07-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	26,712
State Street Bank and Trust Company	08-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	12,888
State Street Bank and Trust Company	09-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	18,000
State Street Bank and Trust Company	10-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	18,900
State Street Bank and Trust Company	11-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	13,800
State Street Bank and Trust Company	15-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	25,000

State Street Bank and Trust Company	17-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	14,824
State Street Bank and Trust Company	18-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	5,776
State Street Bank and Trust Company	21-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	8,900
State Street Bank and Trust Company	22-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	12,800
State Street Bank and Trust Company	23-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	42,300
State Street Bank and Trust Company	24-Dec-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	19,300
State Street Bank and Trust Company	07-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	10,700
State Street Bank and Trust Company	12-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	39,000
State Street Bank and Trust Company	15-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	129,300
State Street Bank and Trust Company	18-Jan-2021	Return of securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	(86,200)
State Street Bank and Trust Company	19-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	43,000

State Street Bank and Trust Company	27-Jan-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	347,800
State Street Bank and Trust Company	01-Feb-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	400
State Street Bank and Trust Company	02-Feb-2021	Borrowing securities under a securities loan from State Street Bank and Trust Company - Boston Branch – Refer Part A of Annexure D	n/a	Ordinary	500
State Street Bank and Trust Company	19-Nov-2020	Return of securities under a securities loan from EC EXCLUSIVE EK0997 – Refer Part A of Annexure D	n/a	Ordinary	(5,200)
State Street Bank and Trust Company	09-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	132,600
State Street Bank and Trust Company	15-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	34,962
State Street Bank and Trust Company	16-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	17,234
State Street Bank and Trust Company	19-Oct-2020	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(367)
State Street Bank and Trust Company	20-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	4,600
State Street Bank and Trust Company	21-Oct-2020	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(4,991)
State Street Bank and Trust Company	23-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	11,500
State Street Bank and Trust Company	26-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	3,400

State Street Bank and Trust Company	27-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	6,000
State Street Bank and Trust Company	28-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	9,603
State Street Bank and Trust Company	29-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	10,800
State Street Bank and Trust Company	30-Oct-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	19,225
State Street Bank and Trust Company	03-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	13,100
State Street Bank and Trust Company	05-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	17,500
State Street Bank and Trust Company	10-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	5,300
State Street Bank and Trust Company	11-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	3,274
State Street Bank and Trust Company	17-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	22,900
State Street Bank and Trust Company	19-Nov-2020	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(18,905)
State Street Bank and Trust Company	20-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	22,200
State Street Bank and Trust Company	23-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	17,700

State Street Bank and Trust Company	24-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	22,400
State Street Bank and Trust Company	25-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	15,800
State Street Bank and Trust Company	26-Nov-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	13,900
State Street Bank and Trust Company	01-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	11,700
State Street Bank and Trust Company	02-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	12,500
State Street Bank and Trust Company	03-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	19,400
State Street Bank and Trust Company	04-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	15,100
State Street Bank and Trust Company	09-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	18,000
State Street Bank and Trust Company	10-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	18,900
State Street Bank and Trust Company	17-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	6,000
State Street Bank and Trust Company	21-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	8,900
State Street Bank and Trust Company	22-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	12,800

State Street Bank and Trust Company	23-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	74,544
State Street Bank and Trust Company	24-Dec-2020	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	19,300
State Street Bank and Trust Company	06-Jan-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	21,919
State Street Bank and Trust Company	12-Jan-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	25-Jan-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	49,724
State Street Bank and Trust Company	27-Jan-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	19,781
State Street Bank and Trust Company	20-Oct-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	5,000
State Street Bank and Trust Company	21-Oct-2020	Borrowing securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	4,800
State Street Bank and Trust Company	23-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(11,500)
State Street Bank and Trust Company	26-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(3,400)
State Street Bank and Trust Company	27-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(6,000)
State Street Bank and Trust Company	28-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(9,000)

State Street Bank and Trust Company	29-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(10,800)
State Street Bank and Trust Company	30-Oct-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(15,000)
State Street Bank and Trust Company	02-Nov-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(5,800)
State Street Bank and Trust Company	03-Nov-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(13,100)
State Street Bank and Trust Company	05-Nov-2020	Return of securities under a securities loan from State Street Bank and Trust Company - Toronto Branch – Refer Part A of Annexure D	n/a	Ordinary	(5,600)
State Street Bank and Trust Company	13-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(132,600)
State Street Bank and Trust Company	19-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(59,100)
State Street Bank and Trust Company	20-Oct-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	5,000
State Street Bank and Trust Company	21-Oct-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	4,800
State Street Bank and Trust Company	23-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(11,500)
State Street Bank and Trust Company	26-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(3,400)
State Street Bank and Trust Company	27-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(6,000)

State Street Bank and Trust Company	28-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(9,000)
State Street Bank and Trust Company	29-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(10,800)
State Street Bank and Trust Company	30-Oct-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(15,000)
State Street Bank and Trust Company	02-Nov-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(5,800)
State Street Bank and Trust Company	03-Nov-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(13,100)
State Street Bank and Trust Company	05-Nov-2020	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(5,600)
State Street Bank and Trust Company	09-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	700
State Street Bank and Trust Company	10-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	10,600
State Street Bank and Trust Company	12-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	19,900
State Street Bank and Trust Company	16-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	20,700
State Street Bank and Trust Company	17-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	22,900
State Street Bank and Trust Company	19-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	24,156

State Street Bank and Trust Company	24-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	40,100
State Street Bank and Trust Company	25-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	15,800
State Street Bank and Trust Company	27-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	37,800
State Street Bank and Trust Company	30-Nov-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	24,100
State Street Bank and Trust Company	01-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	23,400
State Street Bank and Trust Company	02-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	800
State Street Bank and Trust Company	03-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	19,400
State Street Bank and Trust Company	04-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	10,000
State Street Bank and Trust Company	07-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	26,712
State Street Bank and Trust Company	08-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	12,888
State Street Bank and Trust Company	09-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	18,000
State Street Bank and Trust Company	10-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	18,900

State Street Bank and Trust Company	11-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	13,800
State Street Bank and Trust Company	15-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	25,000
State Street Bank and Trust Company	17-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	14,824
State Street Bank and Trust Company	18-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	5,776
State Street Bank and Trust Company	21-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	8,900
State Street Bank and Trust Company	22-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	12,800
State Street Bank and Trust Company	23-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	42,300
State Street Bank and Trust Company	24-Dec-2020	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	19,300
State Street Bank and Trust Company	07-Jan-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	10,700
State Street Bank and Trust Company	12-Jan-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Jan-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	39,000

State Street Bank and Trust Company	15-Jan-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	129,300
State Street Bank and Trust Company	18-Jan-2021	Return of securities under a securities loan by State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	(86,200)
State Street Bank and Trust Company	19-Jan-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	43,000
State Street Bank and Trust Company	27-Jan-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	347,800
State Street Bank and Trust Company	01-Feb-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	400
State Street Bank and Trust Company	02-Feb-2021	Lending securities under a securities loan to State Street Bank and Trust Company – Refer Part B of Annexure D	n/a	Ordinary	500
State Street Bank and Trust Company	28-Oct-2020	Lending securities under a securities loan to AQR FUNDS AQR RISK PARITY FUND – Refer Part B of Annexure D	n/a	Ordinary	603
State Street Bank and Trust Company	11-Nov-2020	Lending securities under a securities loan to AQR FUNDS AQR RISK PARITY FUND – Refer Part B of Annexure D	n/a	Ordinary	972
State Street Bank and Trust Company	07-Jan-2021	Lending securities under a securities loan to AQR FUNDS AQR RISK PARITY FUND – Refer Part B of Annexure D	n/a	Ordinary	1,210
State Street Bank and Trust Company	25-Jan-2021	Lending securities under a securities loan to AQR FUNDS AQR RISK PARITY FUND – Refer Part B of Annexure D	n/a	Ordinary	8,024
State Street Bank and Trust Company	02-Nov-2020	Lending securities under a securities loan to CATHOLIC ENDOWMENT FUND LP – Refer Part B of Annexure D	n/a	Ordinary	1,502
State Street Bank and Trust Company	04-Dec-2020	Lending securities under a securities loan to CATHOLIC ENDOWMENT FUND LP – Refer Part B of Annexure D	n/a	Ordinary	288

State Street Bank and Trust Company	16-Oct-2020	Lending securities under a securities loan to AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND – Refer Part B of Annexure D	n/a	Ordinary	6,667
State Street Bank and Trust Company	29-Jan-2021	Lending securities under a securities loan to CIS GLOBAL EQUITY FUND LP – Refer Part B of Annexure D	n/a	Ordinary	915
State Street Bank and Trust Company	13-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	8,600
State Street Bank and Trust Company	14-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	6,100
State Street Bank and Trust Company	15-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	17,100
State Street Bank and Trust Company	16-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	8,100
State Street Bank and Trust Company	19-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	9,600
State Street Bank and Trust Company	20-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	4,600
State Street Bank and Trust Company	21-Oct-2020	Return of securities under a securities loan by OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	(4,800)
State Street Bank and Trust Company	23-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	11,500
State Street Bank and Trust Company	26-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	3,400
State Street Bank and Trust Company	27-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	6,000

State Street Bank and Trust Company	28-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	9,000
State Street Bank and Trust Company	29-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	10,800
State Street Bank and Trust Company	30-Oct-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	15,000
State Street Bank and Trust Company	02-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	5,800
State Street Bank and Trust Company	03-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	13,100
State Street Bank and Trust Company	05-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	5,600
State Street Bank and Trust Company	06-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	6,900
State Street Bank and Trust Company	10-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	5,300
State Street Bank and Trust Company	11-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	9,200
State Street Bank and Trust Company	12-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	16,000
State Street Bank and Trust Company	13-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	20,700
State Street Bank and Trust Company	17-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	22,900

State Street Bank and Trust Company	18-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	1,256
State Street Bank and Trust Company	19-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	700
State Street Bank and Trust Company	20-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	22,200
State Street Bank and Trust Company	23-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	17,700
State Street Bank and Trust Company	24-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	22,400
State Street Bank and Trust Company	25-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	15,800
State Street Bank and Trust Company	26-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	13,900
State Street Bank and Trust Company	27-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	23,900
State Street Bank and Trust Company	30-Nov-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	24,100
State Street Bank and Trust Company	01-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	11,700
State Street Bank and Trust Company	02-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	12,500
State Street Bank and Trust Company	03-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	19,400

State Street Bank and Trust Company	04-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	10,000
State Street Bank and Trust Company	07-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	21,900
State Street Bank and Trust Company	08-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	17,700
State Street Bank and Trust Company	09-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	18,000
State Street Bank and Trust Company	10-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	18,900
State Street Bank and Trust Company	11-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	20,300
State Street Bank and Trust Company	15-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	18,500
State Street Bank and Trust Company	17-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	20,600
State Street Bank and Trust Company	21-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	8,900
State Street Bank and Trust Company	22-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	12,800
State Street Bank and Trust Company	23-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	42,300

State Street Bank and Trust Company	24-Dec-2020	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	19,300
State Street Bank and Trust Company	06-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	10,700
State Street Bank and Trust Company	12-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	15,400
State Street Bank and Trust Company	14-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	39,000
State Street Bank and Trust Company	15-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	43,100
State Street Bank and Trust Company	19-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	43,000
State Street Bank and Trust Company	25-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	41,700
State Street Bank and Trust Company	27-Jan-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	40,900
State Street Bank and Trust Company	01-Feb-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	400
State Street Bank and Trust Company	02-Feb-2021	Lending securities under a securities loan to OMERS Administration Corporation – Refer Part B of Annexure D	n/a	Ordinary	500
State Street Bank and Trust Company	08-Oct-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	4,317

State Street Bank and Trust Company	13-Oct-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(4,431)
State Street Bank and Trust Company	14-Oct-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(10)
State Street Bank and Trust Company	15-Oct-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	2,727
State Street Bank and Trust Company	19-Oct-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(1,904)
State Street Bank and Trust Company	23-Oct-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(863)
State Street Bank and Trust Company	26-Oct-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	15,568
State Street Bank and Trust Company	27-Oct-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(503)
State Street Bank and Trust Company	28-Oct-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	1,943
State Street Bank and Trust Company	29-Oct-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(2,535)

State Street Bank and Trust Company	30-Oct-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	2,147
State Street Bank and Trust Company	02-Nov-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	1,328
State Street Bank and Trust Company	03-Nov-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(491)
State Street Bank and Trust Company	09-Nov-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(15,345)
State Street Bank and Trust Company	10-Nov-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(925)
State Street Bank and Trust Company	13-Nov-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	14,513
State Street Bank and Trust Company	17-Nov-2020	Releasing a pledge over securities provided to secure a securities loan to ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED] – Refer Part C of Annexure D	n/a	Ordinary	(9,540)
State Street Bank and Trust Company	18-Nov-2020	Taking a pledge over securities to secure a securities loan from ARROWSTREET WORLD SMALL CAP EQUITY ALPHA EXTENSION FUND CAYMAN LIMITED – Refer Part C of Annexure D	n/a	Ordinary	16,953

04 February 2021

Elizabeth Schaefer
 Authorised Signatory

7. Addresses

Name	Address
State Street Global Advisors (Japan) Co., Ltd	Toranomon Hills Mori Tower, 1-23-1 Toranomon, Minato-ku, Tokyo, 105-6325 Japan
State Street Global Advisors Limited (UK)	20 Churchill Place, Canary Wharf, London, England, E14 5HJ, United Kingdom
State Street Global Advisors Ireland Limited	78 Sir John Rogerson's Quay, Dublin 2, Ireland
SSgA Australia Limited	Level 17, 420 George Street Sydney, NSW 2000 Australia
State Street Global Advisors Ltd. (Canada)	770 Sherbrooke Street West, Suite 1100, Montreal QC H3A 1G1, Canada
State Street Global Advisors Inc	Channel Center, One Iron Street, Boston, MA 02210, USA
SSgA Funds Management, Inc.	1 Lincoln Street, Boston, MA 02111-2900
State Street Global Advisors Trust Company	1 Lincoln Street, Boston, MA 02111-2900
State Street Global Advisors Asia Ltd.	68th Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong, China
State Street Global Advisors GmbH	Brienner Strasse 59, München, Germany 80333
State Street Bank and Trust Company	Level 14, 420 George Street, Sydney, NSW 2000, Australia

04 February 2021

Elizabeth Schaefer
Authorised Signatory

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as borrower of the securities under securities lending agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has on-lent the securities and retains relevant interest as per Part B of this Annexure.)

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement/Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes (if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure) (2) No, not during term of securities loan
If yes, in what circumstances	(1) Only if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure (2) n/a
Transfer date	The dates on which the securities were delivered to State Street Bank and Trust Company as borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company lends the securities under securities lending agreement, and retains relevant interest through the right to recall the securities or equivalent securities.

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the lender recalls the loan and exercises its right to become the registered holder (2) Yes (while registered holder)
If yes, in what circumstances	(1) Only if the lender recalls the loan and exercises its right to become the registered holder (2) Borrower may exercise voting rights (while registered holder)
Transfer date	The dates on which the securities were delivered to the borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

Part C: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("pledge") over the securities as collateral to secure a securities loan. (See Part B of this Annexure for securities loan details.)

Type of agreement	Global Master Securities Lending Agreement Security Agreement: the pro forma security agreement governing the pledge is attached to this notice
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and pledge is enforced (2) Yes

If yes, in what circumstances	(1) Only if the borrower defaults and pledge is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Pledge date	The dates on which the securities were pledged, as indicated in Annexure B to this notice
Scheduled release date	No scheduled release date. Securities are released from pledge on termination of related securities loan
Does the borrower have the right to have the securities released early?	No scheduled release date. Securities are released from pledge on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to release the pledged securities on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must release pledged securities if the borrower returns the borrowed securities or equivalent securities)