

Facsimile

To:	Company Announcements Platform	From	Catherine Wong Cooper Investors
Company:	ASX	Date:	4 October 2021
Facsimile:	1300 135 638	Pages:	5 (including this one)
Re:	Form 604 – Notice of change of interests of substantial shareholder		

Dear Sir/Madam,

I attach a completed Form 604; Notice of change of interests of substantial shareholder, completed by Cooper Investors Pty Limited, in accordance with Section 671B of the Corporations Act 2001, in relation to Orica Limited.

Yours sincerely,



Catherine Wong
Compliance Manager

Form 604

Corporations Act 2001
Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme Orica Limited

ACN/ARSN ACN 004 145 868

1. Details of substantial holder (1)

Name Cooper Investors Pty Limited

ACN/ARSN (if applicable) 100 409 890

There was a change in the interests of the substantial holder on

1 / 10 / 2021

The previous notice was given to the company on

24 / 03 / 2021

The previous notice was dated

24 / 03 / 2021

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows.

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary	24,996,526	6.143%	29,114,814	7.145%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
Various dates between 24/03/2021 and 1/10/2021	Cooper Investors Pty Limited	Purchase of additional shares as per Annexure A	See Annexure A		

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows.

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
See Annexure B					

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows.

Name and ACN/ARSN (if applicable)	Nature of association
Not applicable	

6. Addresses

The addresses of persons named in this form are as follows.

Name	Address
Cooper Investors Pty Limited	Level 1, 60 Collins Street, Melbourne, VIC. 3000

Signature

print name Catherine Wong

Capacity: Compliance Manager

sign here



date 4 / 10 / 2021

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
 - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement, and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

ORI 01/10/21 ANNEXURE A

Trade Date	Ticker	Security	Quantity	Price	Amount	Curr
24-Mar-21	ORI	ORICA LIMITED	62148	\$ 13.90	\$ 863,695.62	AUD
24-Mar-21	ORI	ORICA LIMITED	272936	\$ 14.02	\$ 3,826,562.72	AUD
24-Mar-21	ORI	ORICA LIMITED	200,000.00	\$ 13.91	\$ 2,782,260.00	AUD
25-Mar-21	ORI	ORICA LIMITED	38152	\$ 13.99	\$ 533,803.73	AUD
25-Mar-21	ORI	ORICA LIMITED	389455	\$ 14.00	\$ 5,452,370.00	AUD
26-Mar-21	ORI	ORICA LIMITED	174,192.00	\$ 14.10	\$ 2,456,577.51	AUD
26-Mar-21	ORI	ORICA LIMITED	76104	\$ 14.08	\$ 1,071,544.32	AUD
26-Mar-21	ORI	ORICA LIMITED	123,697.00	\$ 14.09	\$ 1,743,385.52	AUD
29-Mar-21	ORI	ORICA LIMITED	169916	\$ 14.25	\$ 2,421,795.76	AUD
30-Mar-21	ORI	ORICA LIMITED	100,000.00	\$ 14.26	\$ 1,426,260.02	AUD
9-Apr-21	ORI	ORICA LIMITED	-1,549,466.00	\$ 14.57	\$ (22,575,719.62)	AUD
4-May-21	ORI	ORICA LIMITED	-14,099.00	\$ 13.60	\$ (191,746.40)	AUD
6-May-21	ORI	ORICA LIMITED	-13,863.00	\$ 13.83	\$ (191,725.29)	AUD
24-May-21	ORI	ORICA LIMITED	-20,641.00	\$ 13.60	\$ (280,711.41)	AUD
31-May-21	ORI	ORICA LIMITED	-505093	\$ 13.56	\$ (6,850,071.27)	AUD
1-Jun-21	ORI	ORICA LIMITED	-24130	\$ 13.47	\$ (325,031.10)	AUD
18-Jun-21	ORI	ORICA LIMITED	-662,558.00	\$ 13.85	\$ (9,176,428.30)	AUD
29-Jun-21	ORI	ORICA LIMITED	-13,043.00	\$ 13.28	\$ (173,211.04)	AUD
7-Jul-21	ORI	ORICA LIMITED	-18,826.00	\$ 13.79	\$ (259,610.54)	AUD
9-Jul-21	ORI	ORICA LIMITED	7,573.00	\$ 14.20	\$ 107,499.45	AUD
9-Jul-21	ORI	ORICA LIMITED	1,208.00	\$ 14.20	\$ 17,122.28	AUD
13-Jul-21	ORI	ORICA LIMITED	-45,186.00	\$ 13.41	\$ (605,944.26)	AUD
19-Jul-21	ORI	ORICA LIMITED	-13306	\$ 13.02	\$ (173,244.12)	AUD
20-Jul-21	ORI	ORICA LIMITED	22,418.00	\$ 12.76	\$ 286,053.68	AUD
22-Jul-21	ORI	ORICA LIMITED	5,717.00	\$ 13.13	\$ 75,053.92	AUD
30-Jul-21	ORI	ORICA LIMITED	-79,614.00	\$ 12.39	\$ (986,417.46)	AUD
3-Aug-21	ORI	ORICA LIMITED	-79,138.00	\$ 12.40	\$ (981,216.23)	AUD
3-Aug-21	ORI	ORICA LIMITED	-10,469.00	\$ 12.45	\$ (130,320.21)	AUD
6-Aug-21	ORI	ORICA LIMITED	37,246.00	\$ 12.57	\$ 468,100.28	AUD
12-Aug-21	ORI	ORICA LIMITED	11,093.00	\$ 12.61	\$ 139,850.56	AUD
12-Aug-21	ORI	ORICA LIMITED	89,370.00	\$ 12.67	\$ 1,132,130.22	AUD
12-Aug-21	ORI	ORICA LIMITED	200,000.00	\$ 12.66	\$ 2,531,000.00	AUD
17-Aug-21	ORI	ORICA LIMITED	173,140.00	\$ 12.93	\$ 2,238,509.75	AUD
17-Aug-21	ORI	ORICA LIMITED	62,606.00	\$ 12.85	\$ 804,487.10	AUD
18-Aug-21	ORI	ORICA LIMITED	81,503.00	\$ 12.88	\$ 1,049,652.69	AUD
18-Aug-21	ORI	ORICA LIMITED	39,044.00	\$ 12.82	\$ 500,348.86	AUD
19-Aug-21	ORI	ORICA LIMITED	155,616.00	\$ 12.93	\$ 2,011,881.46	AUD
20-Aug-21	ORI	ORICA LIMITED	75,000.00	\$ 12.93	\$ 969,375.00	AUD
20-Aug-21	ORI	ORICA LIMITED	6,344.00	\$ 12.91	\$ 81,886.45	AUD
20-Aug-21	ORI	ORICA LIMITED	114,163.00	\$ 12.93	\$ 1,476,024.84	AUD
23-Aug-21	ORI	ORICA LIMITED	350,000.00	\$ 12.87	\$ 4,504,745.00	AUD
23-Aug-21	ORI	ORICA LIMITED	151,766.00	\$ 12.88	\$ 1,954,214.90	AUD
23-Aug-21	ORI	ORICA LIMITED	25,000.00	\$ 12.88	\$ 322,015.00	AUD
24-Aug-21	ORI	ORICA LIMITED	75,000.00	\$ 12.96	\$ 972,000.00	AUD
24-Aug-21	ORI	ORICA LIMITED	54,564.00	\$ 12.95	\$ 706,685.65	AUD
24-Aug-21	ORI	ORICA LIMITED	41224	\$ 12.97	\$ 534,811.32	AUD
25-Aug-21	ORI	ORICA LIMITED	200,000.00	\$ 12.93	\$ 2,586,000.00	AUD
25/08/2021	ORI	ORICA LIMITED	91,288	\$ 12.96	\$ 1,182,745.59	AUD
26/08/2021	ORI	ORICA LIMITED	75,000	\$ 13.00	\$ 975,000.00	AUD
26/08/2021	ORI	ORICA LIMITED	76,561	\$ 13.00	\$ 995,270.03	AUD
27/08/2021	ORI	ORICA LIMITED	1,156,878	\$ 13.02	\$ 15,062,435.87	AUD
30/08/2021	ORI	ORICA LIMITED	74,583	\$ 13.00	\$ 969,534.25	AUD
30/08/2021	ORI	ORICA LIMITED	204,053	\$ 13.01	\$ 2,654,729.53	AUD
31/08/2021	ORI	ORICA LIMITED	35,183	\$ 13.01	\$ 457,762.49	AUD
2/09/2021	ORI	ORICA LIMITED	1,650,000	\$ 13.10	\$ 21,615,000.00	AUD
2/09/2021	ORI	ORICA LIMITED	67,420	\$ 13.13	\$ 885,318.99	AUD
14/09/2021	ORI	ORICA LIMITED	45,172	\$ 12.47	\$ 563,294.84	AUD
14/09/2021	ORI	ORICA LIMITED	-45,172	\$ 12.47	\$ 563,294.84	AUD
1/10/2021	ORI	ORICA LIMITED	50,000	\$ 12.02	\$ 601,200.00	AUD
5/10/2021	ORI	ORICA LIMITED	100,561	\$ 13.89	\$ 1,396,631.39	AUD

4,118,288

ORI 01/10/21 ANNEXURE B

Holder of Relevant Interest	Registered Holder of Securities	Persons entitled to be registered as holder	Nature of Relevant Interest	Number of Securities	Persons votes
Copper Investors Pty Ltd	National Nominees Ltd	National Nominees Ltd	Investment Manager	8,783,376.00	8,783,376.00
Copper Investors Pty Ltd	JP Morgan Nominees Australia Ltd	JP Morgan Nominees Australia Ltd	Investment Manager	4,886,285.00	4,886,285.00
Copper Investors Pty Ltd	State Street Australia Limited	State Street Australia Limited	Investment Manager	13,426,649.00	13,426,649.00
Copper Investors Pty Ltd	Citi Group	Citi Group	Investment Manager	227,625.00	227,625.00
Copper Investors Pty Ltd	BNP Paribas	BNP Paribas	Investment Manager	1,786,879.00	1,786,879.00
Total holdings				29,114,814.00	29,114,814.00
Total Shares Outstanding				407,513,100	
Percentage holding				7.145%	