



8 July 2026

ASX Compliance

Attention: Listings Compliance (Sydney)

(via email [compliance@asx.com.au](mailto:compliance@asx.com.au))

Dear Sir/Madam,

**Resimac Group Ltd (RMC): Appendix 3Y – Change of Director's Interest Notice Query**

We refer to your letter dated 8 July 2026 regarding the Appendix 3Y lodged on 6 July 2026. We respond to your queries as follows:

**1. Please explain why the Appendix was lodged late.**

The Appendix 3Y was lodged late due to an administrative oversight by the Company. The relevant change in Mr. Spanner's notifiable interest was communicated by him to the Company in a timely manner but was not actioned by the Company within the time period required under the Listing Rules. The issue was identified during a routine internal review, and the notice was then lodged as soon as practicable thereafter.

**2. What arrangements does RMC have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A**

Under RMC's Securities Trading Policy there are several requirements relating to the obligations imposed under Listing Rules 3.19A and 3.19B, including a requirement that:

- Directors must sign an undertaking to keep the Company informed of any share trading on the date of the trade; and
- the Company undertakes to notify ASX of the trade within 3 days of the date of the trade.

Additionally, RMC maintains a central register of director interests and review of ASX announcements for accuracy and timeliness.

**3. If the current arrangements are inadequate or not being enforced, what additional steps does RMC intend to take to ensure compliance with Listing Rule 3.19B?**

RMC believes that its current arrangements are adequate, but the Company acknowledges this incident was an oversight. Accordingly, the Company will arrange refresher training for relevant Company employees (including the Company Secretary).

RMC takes its continuous disclosure obligations seriously and regrets the oversight. We are committed to ensuring compliance moving forward.

Yours sincerely,

Peter Fitzpatrick  
Company Secretary

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Resimac Group Ltd. ABN 55 095 034 003. Australian Credit Licence 247829

8 July 2026

Mr Peter Fitzpatrick  
Company Secretary  
Resimac Group Ltd  
Level 22  
201 Kent Street  
Sydney NSW 2000

By email

Dear Mr Fitzpatrick

**Resimac Group Ltd ('RMC'): Appendix 3Y – Change of Director's Interest Notice Query**

ASX refers to the following:

1. RMC's Appendix 3Y lodged on the ASX Market Announcements Platform ('MAP') on 6 July 2026 for Mr Wayne Spanner (the 'Notice');
2. Listing Rule 3.19A which requires an entity to tell ASX the following:

3.19A.1            *'The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times.*

- *On the date that the entity is admitted to the official list.*
- *On the date that a director is appointed.*

*The entity must complete Appendix 3X and give it to ASX no more than 5 business days after the entity's admission or a director's appointment.*

3.19A.2            *A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*

3.19A.3            *The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.'*

3. Listing Rule 3.19B which states that:

*'An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.'*

The Notice indicates that a change in Mr Spanner's notifiable interest occurred on 10 April 2026. It appears that the Notice should have been lodged with ASX by 17 April 2026. Consequently, RMC may have breached Listing Rules 3.19A and/or 3.19B. It also appears that Mr Spanner may have breached section 205G of the *Corporations Act 2001* (Cth).

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## Request for Information

Under Listing Rule 18.7, we ask that you answer each of the following questions having regard to Listing Rules 3.19A and 3.19B and *Guidance Note 22: Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities*.

1. Please explain why the Appendix 3Y was lodged late.
2. What arrangements does RMC have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does RMC intend to take to ensure compliance with Listing Rule 3.19B?

## When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:00AM AEST on Monday, 13 July 2026**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, RMC's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require RMC to request a trading halt immediately.

Your response should be sent to me by e-mail at [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au). It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

## Trading Halt

If you are unable to respond to this letter by the time specified above, you should discuss with us whether it is appropriate to request a trading halt in RMC's securities under Listing Rule 17.1. If you wish to request a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in *Guidance Note 16 Trading Halts & Voluntary Suspensions*.

## Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in RMC's securities under Listing Rule 17.3.

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**Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to RMC's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that RMC's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

**Release of correspondence between ASX and entity**

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

**Kind regards**

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ASX Compliance