

7 May 2026

Listings Compliance (Sydney)  
ASX Limited  
**By email: [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au)**

Dear Sir/Madam

**Sequoia Financial Group Limited (SEQ or the Company) – Response to ASX Price Query**

We refer to your letter dated 7 May 2026 regarding the recent change in the price of the Company's securities.

We respond to each of your queries as follows:

- 1. Is SEQ aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?**

The Company is not aware of any information that could explain the recent trading in its securities.

- 2. If the answer to question 1 is "yes":**

**(a)-(c)** Not Applicable

- 3. If the answer to question 1 is "no", is there any other explanation that SEQ may have for the recent trading in its securities?**

No, the Company is not aware of any reasons for the recent trading in its securities.

- 4. Please confirm that SEQ is complying with the Listing Rules and, in particular, Listing Rule 3.1.**

The Company confirms it is complying with the Listing Rules and, in particular, Listing Rule 3.1.

- 5. Please confirm that SEQ's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of SEQ with delegated authority from the board to respond to ASX on disclosure matters.**

The responses in this letter have been considered and approved by the Board of SEQ in accordance with the Company's published Continuous Disclosure Policy.

**Registered Office:**

Unit 7  
1-7 Castlereagh Street  
Sydney NSW 2000

**Phone:** +61 3 9209 9777

**Email:** [info@sequoia.com.au](mailto:info@sequoia.com.au)

**Website:** [www.sequoia.com.au](http://www.sequoia.com.au)

Yours sincerely

*Natalie Climo*

**Natalie Climo**  
**Company Secretary**  
**Sequoia Financial Group Limited**

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7 May 2026

Ms Natalie Climo  
Company Secretary  
Sequoia Financial Group Ltd  
Suite 7.01, Level 7 1 Castlereagh Street  
SYDNEY NSW 2000

By email

Dear Ms Climo

### **Sequoia Financial Group Ltd ('SEQ'): Price Query**

ASX refers to the following:

- A. The change in the price of SEQ's securities from a high of \$0.19 to a low of \$0.15 today at the time of writing.

### **Request for information**

In light of this, ASX asks SEQ to respond separately to each of the following questions and requests for information:

1. Is SEQ aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is SEQ relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in SEQ's securities would suggest to ASX that such information may have ceased to be confidential and therefore SEQ may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that SEQ may have for the recent trading in its securities?
4. Please confirm that SEQ is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that SEQ's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of SEQ with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **5:00 PM AEST on Thursday, 7 May 2026**.

You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, SEQ's obligation

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is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require SEQ to request a trading halt immediately.

Your response should be sent by e-mail to **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow us to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in SEQ's securities under Listing Rule 17.1. If you wish to request a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts and Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in SEQ's securities under Listing Rule 17.3.1.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to SEQ's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure: Listing Rules 3.1 – 3.1B*. It should be noted that SEQ's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A. The usual course is for correspondence to be released to the market.

Regards

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ASX Compliance