

9 August 2018

**to** Sims Metal Management Limited  
Sir Joseph Banks Corporate Park,  
Suite 3, Level 2, 32 - 34 Lord Street,  
Botany, NSW, 2019,  
Australia

**cc** Australia Stock Exchange  
Exchange Centre,  
20 Bridge Street,  
Sydney, NSW, 2000

**subject Disclosure Of Substantial Shareholding**

Dear Sirs / Madam

Please find attached a Form 604 notification of substantial shareholding in Sims Metal Management Limited (Stock code: SGM) for trade date 7 August 2018.

Please note that the holder of relevant interest of 44,185 options in the Form 604 notification lodged for trade date 31 July 2018 should be UBS AG, Australia Branch instead of UBS Securities Australia Ltd. This is now correctly reflected in this notification for trade date 7 August 2018.

Please contact Serene Peh at +65 6495 5283 should you have any queries in relation to this matter.

Yours sincerely,

UBS Group AG



Serene Peh  
Compliance & Operational Risk Control



Joanne Chan  
Compliance & Operational Risk Control

# Form 604

Corporations Law  
Section 671B

## Notice of change of interests of substantial holder

To: Company Name/Scheme **SIMS METAL MANAGEMENT LIMITED**

ACN/ARSN **114 838 630**

### 1. Details of substantial holder

Name **UBS Group AG and its related bodies corporate**

ACN/ARSN (if applicable): \_\_\_\_\_

There was a change in the interests of the substantial holder on **7 August 2018**

The previous notice was given to the company on **2 August 2018**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

| Class of securities (4) | Previous Notice |                  | Present Notice |                  |
|-------------------------|-----------------|------------------|----------------|------------------|
|                         | Person's Votes  | Voting Power (5) | Person's Votes | Voting Power (5) |
| Ordinary                | 14,328,688      | 7.07%            | 17,251,758     | 8.51%            |

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

| Date of change         | Person whose relevant interest changed | Nature of Change (6) | Consideration given in relation to change (7) | Class and Number of securities affected | Person's votes affected |
|------------------------|----------------------------------------|----------------------|-----------------------------------------------|-----------------------------------------|-------------------------|
| Please see Appendix B. |                                        |                      |                                               |                                         |                         |

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

| Holder of relevant interest          | Registered holder of securities | Person entitled to be registered holder (8) | Nature of relevant interest (6)                                | Class and number of securities | Person's votes |
|--------------------------------------|---------------------------------|---------------------------------------------|----------------------------------------------------------------|--------------------------------|----------------|
| UBS Asset Management (Americas) Inc  | Various Custodians              | UBS Asset Management (Americas) Inc         | Fund Manager with power to exercise control over voting shares | 4,967,799 Ordinary             | 4,967,799      |
| UBS Asset Management (Australia) Ltd | Various Custodians              | UBS Asset Management (Australia) Ltd        | Fund Manager with power to exercise control over voting shares | 563,578 Ordinary               | 563,578        |

|                                         |                           |                                         |                                                                                                                                 |                    |           |
|-----------------------------------------|---------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------|
| UBS Asset Management (Deutschland) GmbH | Various Custodians        | UBS Asset Management (Deutschland) GmbH | Fund Manager with power to exercise control over voting shares                                                                  | 5,119 Ordinary     | 5,119     |
| UBS Asset Management Life Limited       | Various Custodians        | UBS Asset Management Life Limited       | Fund Manager with power to exercise control over voting shares                                                                  | 66,739 Ordinary    | 66,739    |
| UBS Asset Management Trust Company      | Various Custodians        | UBS Asset Management Trust Company      | Fund Manager with power to exercise control over voting shares                                                                  | 5,231 Ordinary     | 5,231     |
| UBS Asset Management (UK) Limited       | Various Custodians        | UBS Asset Management (UK) Limited       | Fund Manager with power to exercise control over voting shares                                                                  | 156,072 Ordinary   | 156,072   |
| UBS Fund Management (Luxembourg) S.A.   | Various Custodians        | UBS Fund Management (Luxembourg) S.A.   | Fund Manager with power to exercise control over voting shares                                                                  | 2,538,254 Ordinary | 2,538,254 |
| UBS Fund Management (Switzerland) AG    | Various Custodians        | UBS Fund Management (Switzerland) AG    | Fund Manager with power to exercise control over voting shares                                                                  | 141,192 Ordinary   | 141,192   |
| UBS AG London Branch                    | Various Custodians        | UBS AG London Branch                    | Power to control disposal over shares pursuant to stock borrowing and lending activities (see Appendix A)                       | 50,000 Ordinary    | 50,000    |
|                                         | Citicorp Nominees Pty Ltd | UBS AG London Branch                    | Prime Broker that has exercised its borrowing right in respect of shares pursuant to a Prime Broking Agreement (see Appendix A) | 28,471 Ordinary    | 28,471    |
| UBS Securities Australia Ltd            | Warbont Nominees Pty Ltd  | UBS Securities Australia Ltd            | Power to control disposal over shares pursuant to stock borrowing and lending activities (see Appendix A)                       | 8,666,018 Ordinary | 8,666,018 |
|                                         | N/A                       | UBS Securities Australia Ltd            | Beneficial Owner                                                                                                                | 19,100 Options     | 19,100    |
| UBS AG, Australia Branch                | N/A                       | UBS AG, Australia Branch                | Beneficial Owner                                                                                                                | 44,185 Options     | 44,185    |

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

| Name and ACN/ ARSN (if applicable) | Nature of association |
|------------------------------------|-----------------------|
| N/A                                | N/A                   |

## 6. Addresses

The addresses of persons named in this form are as follows:

| Name                                                                                                                                                        | Address |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| Details of all UBS offices can be found through the following link: <a href="http://apps2.ubs.com/locationfinder/">http://apps2.ubs.com/locationfinder/</a> |         |

## SIGNATURE

Print Name: Serene Peh

Capacity: Authorised signatory

Sign Here:



Date: 9 August 2018

Print Name: Joanne Chan

Capacity: Authorised signatory

Sign Here:



Date: 9 August 2018

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Contact details for this notice:

Serene Peh  
Compliance & Operational Risk Control  
(T) +65 6495 5283

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## Appendix A

| Holder of relevant interest                       | UBS AG, London Branch                                                                                                                                                                                                                                                                                               | UBS AG, London Branch                                                                                                                 | UBS Securities Australia Ltd                                                                                                                                                                                                                                                                                                             |
|---------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Type of agreement                                 | Securities Lending Agreement                                                                                                                                                                                                                                                                                        | Prime Brokerage Agreement                                                                                                             | Securities Lending Agreement                                                                                                                                                                                                                                                                                                             |
| Parties to agreement                              | (i) UBS AG, London Branch ("UBS AG")/ UBS Limited<br><br>(ii) Client (Please refer to Appendix A-1.)                                                                                                                                                                                                                | (i) UBS AG, London Branch ("UBS AG")<br><br>(ii) Client (Please refer to Appendix A-1.)                                               | (i) UBS AG, Australia Branch ("UBS AG")/ UBS Securities Australia Limited<br><br>(ii) Client (Please refer to Appendix A-1.)                                                                                                                                                                                                             |
| Transfer date                                     | Please refer to Appendix A-1.                                                                                                                                                                                                                                                                                       | Please refer to Appendix A-1.                                                                                                         | Please refer to Appendix A-1.                                                                                                                                                                                                                                                                                                            |
| Holder of voting rights                           | UBS AG/ UBS Limited                                                                                                                                                                                                                                                                                                 | UBS AG                                                                                                                                | UBS AG/ UBS Securities Australia Limited                                                                                                                                                                                                                                                                                                 |
| Are there any restrictions on voting rights?      | Please refer to the details below.                                                                                                                                                                                                                                                                                  | No.                                                                                                                                   | Please refer to the details below.                                                                                                                                                                                                                                                                                                       |
| If yes, detail                                    | Since all right and title in the securities passes to the transferee (i.e. UBS AG/ UBS Limited), the transferee has the right to vote. However, in certain circumstances, the transferee may seek to arrange for voting rights to be exercised in accordance with the instructions of the transferor (i.e. Client). | N/A                                                                                                                                   | Since all right and title in the securities passes to the transferee (i.e. UBS AG/ UBS Securities Australia Limited), the transferee has the right to vote. However, in certain circumstances, the transferee may seek to arrange for voting rights to be exercised in accordance with the instructions of the transferor (i.e. Client). |
| Scheduled return date (if any)                    | None.                                                                                                                                                                                                                                                                                                               | None.                                                                                                                                 | None, subject to the terms of the relevant loan.                                                                                                                                                                                                                                                                                         |
| Does the borrower have the right to return early? | Yes.                                                                                                                                                                                                                                                                                                                | Yes.                                                                                                                                  | Yes.                                                                                                                                                                                                                                                                                                                                     |
| If yes, detail                                    | The borrower (i.e. UBS AG/ UBS Limited) has the right to return at its discretion subject to the terms of the relevant loan.                                                                                                                                                                                        | The borrower (i.e. UBS AG) has the right to return at its discretion.                                                                 | The borrower (i.e. UBS AG/ UBS Securities Australia Limited) has the right to return at its discretion.                                                                                                                                                                                                                                  |
| Does the lender have the right to recall early?   | Yes.                                                                                                                                                                                                                                                                                                                | Yes.                                                                                                                                  | Yes.                                                                                                                                                                                                                                                                                                                                     |
| If yes, detail                                    | The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.                                                                                                                                                                               | The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan. | The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.                                                                                                                                                                                                    |
| Will the securities be returned on settlement?    | Yes.                                                                                                                                                                                                                                                                                                                | Yes.                                                                                                                                  | Yes.                                                                                                                                                                                                                                                                                                                                     |
| If yes, detail any exceptions                     | None.                                                                                                                                                                                                                                                                                                               | None.                                                                                                                                 | None.                                                                                                                                                                                                                                                                                                                                    |

The information in this appendix is based on the relevant standard UBS agreement.

A copy of the agreement is available to the company, or responsible entity to whom the prescribed form must be given, or to ASIC, upon request.

**Securities Lending Agreement - UBS AG, London Branch / UBS Limited**

| Parties to agreement                                                         | Transfer date   |
|------------------------------------------------------------------------------|-----------------|
| Securities Finance Trust Company acting as an agent on behalf of its clients | (i) 31 May 2018 |

**Prime Brokerage Agreement - UBS AG, London Branch**

| Parties to agreement                       | Transfer date      |
|--------------------------------------------|--------------------|
| Integrated Core Strategies (Asia) Pte. Ltd | (i) 27 July 2018   |
|                                            | (ii) 30 July 2018  |
|                                            | (iii) 31 July 2018 |
|                                            | (iv) 1 August 2018 |
|                                            | (v) 3 August 2018  |

**Securities Lending Agreement - UBS AG, Australia Branch/ UBS Securities Australia Limited**

| Parties to agreement                                    | Transfer date         |
|---------------------------------------------------------|-----------------------|
| BNP Paribas Securities Services Australia Branch        | (i) 13 July 2018      |
|                                                         | (ii) 17 July 2018     |
|                                                         | (iii) 20 July 2018    |
|                                                         | (iv) 23 July 2018     |
|                                                         | (v) 26 July 2018      |
|                                                         | (vi) 30 July 2018     |
|                                                         | (vii) 31 July 2018    |
|                                                         | (viii) 2 August 2018  |
|                                                         | (ix) 3 August 2018    |
|                                                         | (x) 6 August 2018     |
| Citibank NA as an agent acting on behalf of its clients | (i) 5 October 2017    |
|                                                         | (ii) 2 November 2017  |
|                                                         | (iii) 5 March 2018    |
|                                                         | (iv) 8 March 2018     |
|                                                         | (v) 1 August 2018     |
| Macquarie Bank Ltd                                      | (i) 18 October 2017   |
|                                                         | (ii) 19 October 2017  |
|                                                         | (iii) 24 October 2017 |
|                                                         | (iv) 27 October 2017  |
|                                                         | (v) 30 October 2017   |
|                                                         | (vi) 31 October 2017  |
|                                                         | (vii) 3 November 2017 |
|                                                         | (viii) 12 March 2018  |
|                                                         | (ix) 13 March 2018    |

**Appendix A-1**

|                                                                               |                      |
|-------------------------------------------------------------------------------|----------------------|
| National Australia Bank Limited                                               | (i) 12 July 2018     |
|                                                                               | (ii) 17 July 2018    |
|                                                                               | (iii) 20 July 2018   |
|                                                                               | (iv) 26 July 2018    |
|                                                                               | (v) 27 July 2018     |
|                                                                               | (vi) 30 July 2018    |
|                                                                               | (vii) 2 August 2018  |
|                                                                               | (viii) 3 August 2018 |
|                                                                               | (ix) 6 August 2018   |
| State Street Bank & Trust Company as an agent acting on behalf of its clients | (i) 7 August 2018    |

| SGM - Appendix B |                                        |                  |                                           |                      |          |
|------------------|----------------------------------------|------------------|-------------------------------------------|----------------------|----------|
| Date of change   | Person whose relevant interest changed | Nature of Change | Consideration given in relation to change | Number of securities | Class    |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 17                                        | 1                    | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 40,133                                    | 2,332                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 905,599                                   | 52,361               | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 557,327                                   | (32,249)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 2,461                                     | (143)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 7,792                                     | (451)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 65,290                                    | 3,793                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 5,624                                     | (326)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 974,903                                   | 56,452               | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 29,581                                    | (1,728)              | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 599,464                                   | (34,687)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 384,581                                   | 22,243               | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 390,679                                   | (22,592)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 325                                       | (19)                 | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 223,987                                   | (12,998)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 2,880                                     | (167)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 28,444                                    | 1,661                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 17                                        | (1)                  | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 16,528                                    | 958                  | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 17,143                                    | 1,001                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 6,569                                     | (379)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 6,944                                     | (401)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 13,098                                    | (759)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 14,071                                    | (814)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 190                                       | (11)                 | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 30,338                                    | (1,759)              | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 6,837                                     | (395)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 2,317,142                                 | (133,707)            | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 1,299,559                                 | (74,989)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Buy              | 90,151                                    | 5,217                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Sell             | 7,204                                     | (416)                | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock borrowed   | N/A                                       | 400,000              | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (160,000)            | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (14,081)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (61,975)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (31,000)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (22,000)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (10,000)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (90,000)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (27,133)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (22,251)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (30,000)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (40,000)             | Ordinary |
| 01-Aug-18        | UBS Securities Australia Ltd           | Stock returned   | N/A                                       | (114,194)            | Ordinary |
| 01-Aug-18        | UBS AG London Branch                   | Stock received   | N/A                                       | 1,329                | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Sell             | 34                                        | (2)                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Sell             | 1,183                                     | (69)                 | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 85                                        | 5                    | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 7,815                                     | 458                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 10,784                                    | 632                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 13,275                                    | 778                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 19,127                                    | 1,121                | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 2,269                                     | 133                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 10,118                                    | 593                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 665                                       | 39                   | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 4,061                                     | 238                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 5,733                                     | 336                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 6,825                                     | 400                  | Ordinary |
| 02-Aug-18        | UBS Securities Australia Ltd           | Buy              | 9,845                                     | 577                  | Ordinary |

|           |                              |                |           |          |          |
|-----------|------------------------------|----------------|-----------|----------|----------|
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 6,635     | (391)    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 493,295   | 28,946   | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 1,045,299 | (61,631) | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 2,661     | 157      | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 3,119     | 184      | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 17        | 1        | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 9,000     | 531      | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 63,439    | (3,740)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 70,060    | 4,103    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 16,980    | (1,000)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 125,611   | (7,363)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 37,964    | 2,237    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 37,130    | (2,183)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 1,417     | 83       | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 179,739   | (10,601) | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 312,018   | 18,213   | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 664,208   | (39,032) | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 5,094     | (299)    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 19,440    | (1,139)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 163,692   | (9,634)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 51,349    | (3,000)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 6,710     | (393)    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 13,554    | 799      | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 7,019     | (410)    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 6,748     | 397      | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 6,681     | (393)    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 395,462   | (22,992) | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 34,719    | 2,036    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 17,163    | (1,003)  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 6,258     | 367      | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 11,234    | (662)    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Buy            | 17,104    | 1,003    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Sell           | 226,472   | (13,167) | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 98,436   | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 28,491   | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 7,304    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 9,908    | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 40,297   | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 400,000  | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A       | 30,000   | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A       | (20,801) | Ordinary |
| 02-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A       | (40,000) | Ordinary |
| 02-Aug-18 | UBS AG London Branch         | Stock received | N/A       | 895      | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 4,247     | 251      | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 425,210   | 25,159   | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 124,119   | (7,347)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 755,856   | 44,757   | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 550,919   | (32,581) | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 63,531    | 3,759    | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 107,391   | (6,346)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 541       | 32       | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 237,034   | 14,038   | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 108,496   | (6,421)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 58,389    | (3,449)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 29,157    | (1,723)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 684,177   | 40,447   | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 154,703   | (9,162)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 963       | (57)     | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 32,452    | (1,918)  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 4,927     | 292      | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Buy            | 20,651    | 1,224    | Ordinary |

|           |                              |                |         |          |          |
|-----------|------------------------------|----------------|---------|----------|----------|
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 1,874   | (111)    | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 949     | (56)     | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 689,898 | (40,902) | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 948,751 | (56,192) | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Sell           | 395,787 | (23,465) | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 180,000  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 323,275  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 353,750  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 107,975  | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 10,332   | Ordinary |
| 03-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 30,000   | Ordinary |
| 03-Aug-18 | UBS AG London Branch         | Stock returned | N/A     | (895)    | Ordinary |
| 03-Aug-18 | UBS Securities LLC           | Stock returned | N/A     | (100)    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 85      | 5        | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 34      | 2        | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 20,956  | 1,232    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 1,412   | 83       | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 8,131   | 478      | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 9,151   | 538      | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 34      | (2)      | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 85      | (5)      | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 70,436  | (4,154)  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 204,014 | 12,025   | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 34      | 2        | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 5,392   | (317)    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 421,171 | 24,824   | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 160,666 | (9,477)  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 242,560 | (14,272) | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 29,903  | 1,760    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 55,509  | (3,269)  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 1,325   | 78       | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 124,932 | (7,357)  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 162,947 | 9,613    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 65,287  | (3,853)  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 100,890 | (5,938)  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 378,922 | 22,307   | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 645     | (38)     | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 7,068   | 416      | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 18,420  | 1,086    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 7,082   | 416      | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 50,870  | 3,000    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Buy            | 68,263  | 4,022    | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Sell           | 815     | (48)     | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 299,761  | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 18,239   | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 40,000   | Ordinary |
| 06-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A     | (4,371)  | Ordinary |
| 06-Aug-18 | UBS AG London Branch         | Stock received | N/A     | 664      | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 136     | (8)      | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 323     | (19)     | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 630,070 | (37,450) | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 689,969 | 41,041   | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 2,131   | 127      | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 14,498  | 864      | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 24,185  | (1,440)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 112,877 | 6,727    | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 185     | 11       | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 776,413 | (46,255) | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 354,372 | 21,061   | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 98,510  | (5,818)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 398,059 | 23,684   | Ordinary |

|           |                              |                |         |           |          |
|-----------|------------------------------|----------------|---------|-----------|----------|
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 306,990 | (18,279)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 92,660  | (5,472)   | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 32,141  | (1,916)   | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 206,382 | 12,269    | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 45,304  | 2,706     | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 170,155 | (10,142)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 47,649  | (2,834)   | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Sell           | 6,401   | (378)     | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Buy            | 14,010  | 833       | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Stock borrowed | N/A     | 2,000,000 | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A     | (93,000)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A     | (76,882)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A     | (22,828)  | Ordinary |
| 07-Aug-18 | UBS Securities Australia Ltd | Stock returned | N/A     | (240,806) | Ordinary |
| 07-Aug-18 | UBS AG London Branch         | Stock returned | N/A     | (258)     | Ordinary |