

## FAX COVER SHEET

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**TO**

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**COMPANY**

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**FAXNUMBER** 61297780999

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**FROM** GLOBALXMANAGEMENT

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**DATE** 2017-12-06 17:33:02 GMT

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**RE** Substantial Shareholding for Silex Systems Ltd

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### COVER MESSAGE

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Attention:

**Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.**

Regards,



**Vanessa Yang** | Portfolio Administrator  
600 Lexington Ave, 20th floor | New York, NY 10022  
646-757-5405 | [globalxfunds.com](http://globalxfunds.com)



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**Form 604**

Corporations Law  
Section 671B

**Notice of change of interests of substantial holder**

To: Company Name/Scheme **SILEX SYSTEMS LTD**

ACN/ARSN **AU-000000SLX-4**

**1. Details of substantial holder(s)**

Name **Global X Management Company (GXMC)**

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on 12/ 5 / 17  
 The previous notice was given to the company on 02/ 13 / 17  
 The previous notice was dated 02/ 13 / 17

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	13,097,044	7.68%	14,843,049	8.71%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
see attached					

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (9)	Class and number of securities	Person's votes
GXMC	Global X Uranium	Global X Uranium	N/A	14,843,049	14,843,049
	ETF	ETF		common stock	

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
GXMC	600 Lexington Ave, 20th Floor, New York, NY, 10022
Global X Uranium ETF	1 Freedom Valley Drive   Oaks, PA 19456

**Signature**

print name **Chang Kim** capacity **Portfolio Manager**  
 sign here  date **12 / 06 / 2017**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg, a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 609 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg, if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Acct Name	Sec ID	Security Desc	Type	Trade Date	Settle Date	Units	Unit Price	Amount	Curr	Trading Broker
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	20-Oct-2017	23-Oct-2017	200000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	23-Oct-2017	23-Oct-2017	100000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	27-Nov-2017	30-Nov-2017	66000.0000	0.000000	0.00 AUD	AUD	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	09-Nov-2017	13-Nov-2017	165000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	10-Nov-2017	14-Nov-2017	132000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	20-Nov-2017	22-Nov-2017	132000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	21-Nov-2017	23-Nov-2017	132000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	22-Nov-2017	24-Nov-2017	330000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	28-Nov-2017	30-Nov-2017	198000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	22-Nov-2017	24-Nov-2017	330000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE FIRST BOSTON
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	01-Dec-2017	05-Dec-2017	99000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	05-Dec-2017	07-Dec-2017	66000.0000	0.000000	0.00 AUD	AUD	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	05-Dec-2017	07-Dec-2017	231000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	04-Dec-2017	07-Dec-2017	66000.0000	0.000000	0.00 AUD	AUD	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	15-Aug-2017	16-Aug-2017	350000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	16-Aug-2017	17-Aug-2017	127000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	10-Oct-2017	12-Oct-2017	250000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	19-Oct-2017	20-Oct-2017	100000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	20-Oct-2017	23-Oct-2017	200000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	24-Oct-2017	26-Oct-2017	200000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	25-Oct-2017	28-Oct-2017	152300.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	25-Oct-2017	28-Oct-2017	152300.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	25-Oct-2017	26-Oct-2017	100000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DEL	27-Nov-2017	28-Nov-2017	350000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	08-Aug-2017	10-Aug-2017	43641.0000	0.358400	15633.11 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	09-Aug-2017	11-Aug-2017	22834.0000	0.353300	8063.22 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	10-Aug-2017	14-Aug-2017	2874.0000	0.357700	1027.52 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	11-Aug-2017	15-Aug-2017	618.0000	0.355000	219.28 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	14-Aug-2017	16-Aug-2017	19831.0000	0.357100	7078.11 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	15-Aug-2017	17-Aug-2017	21905.0000	0.357800	7833.89 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	16-Aug-2017	18-Aug-2017	11444.0000	0.355800	4069.74 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	17-Aug-2017	21-Aug-2017	4845.0000	0.355000	1648.16 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	DVP	18-Aug-2017	22-Aug-2017	17913.0000	0.352400	6309.38 AUD	AUD	CITIGROUP GLOBAL MARKETS INC
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	15-Aug-2017	16-Aug-2017	350000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	09-Nov-2017	13-Nov-2017	165000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	10-Nov-2017	14-Nov-2017	132000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	20-Nov-2017	22-Nov-2017	132000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	21-Nov-2017	23-Nov-2017	132000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	22-Nov-2017	27-Nov-2017	66000.0000	0.000000	0.00 AUD	AUD	GOLDMAN SACHS AND CO.
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	28-Nov-2017	30-Nov-2017	198000.0000	0.000000	0.00 AUD	AUD	CREDIT SUISSE SECURITIES (USA)
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	16-Aug-2017	17-Aug-2017	127000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	19-Oct-2017	20-Oct-2017	250000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	20-Oct-2017	23-Oct-2017	100000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	24-Oct-2017	25-Oct-2017	200000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	25-Oct-2017	26-Oct-2017	150000.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	25-Oct-2017	26-Oct-2017	152300.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	25-Oct-2017	26-Oct-2017	152300.0000	0.000000	0.00 AUD	AUD	CITIBANK NA NEW YORK NY

GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	13-Nov-2017	15-Nov-2017	33000.0000	0.0000000	0.00	AUD	MERRILL LYNCH INTERNATIONAL LT
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	27-Nov-2017	28-Nov-2017	350000.0000	0.0000000	0.00	AUD	CITIBANK NA NEW YORK NY
GLOBAL X URANIUM ETF	6111735	SILEX SYSTEMS LTD /AUD/	REC	27-Nov-2017	29-Nov-2017	66000.0000	0.0000000	0.00	AUD	GOLDMAN SACHS AND CO.