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*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## BAWAG Group AG: Release according to article 135 section 2 BörseG

Vienna (pta029/11.01.2022/19:00) - Release of Announcement according to article 135 section 2 BörseG

Notification of Major Holdings

### 1. Issuer:

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

### 2. Reason for notification:

Acquisition / disposal of shares with voting rights

### 3. Details of person subject to the notification obligation

Name: Wellington Management Group LLP

City and country of registered office: Boston, USA - United States

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

### 5. Date on which threshold was crossed or reached

06.01.2022

### 6. Total positions

|                                                                                      | % of voting rights<br>attached to shares<br>(total of 7.a.) | % of voting rights<br>through instruments<br>(total of 7.b.1 +<br>7.b.2) | total of both in %<br>(7.a. + 7.b.) | total number of<br>voting rights of<br>issuer |
|--------------------------------------------------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------|
| Resulting situation<br>on the date on<br>which threshold<br>was crossed /<br>reached | 5.68                                                        | 0.03                                                                     | 5.71                                | 89,142,237                                    |
| Position of previous<br>notification                                                 | 4.96                                                        | 0.03                                                                     | 4.99                                |                                               |

### 7. Notified details of the resulting situation

#### 7.a. Voting rights attached to shares

| ISIN code    | absolute direct (Sec<br>130 BörseG 2018) | absolute indirect<br>(Sec 133 BörseG<br>2018) | in % direct (Sec<br>130 BörseG 2018) | in % indirect (Sec<br>133 BörseG 2018) |
|--------------|------------------------------------------|-----------------------------------------------|--------------------------------------|----------------------------------------|
| AT0000BAWAG2 | 0                                        | 5,059,674                                     | 0.00                                 | 5.68                                   |
| Total:       |                                          | 5,059,674                                     |                                      | 5.68                                   |

**7.b.1. Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018**

| Type of instrument | Expiration Date | Exercise Period | Voting Rights<br>Absolute | Voting Rights in % |
|--------------------|-----------------|-----------------|---------------------------|--------------------|
|                    |                 | <b>Total:</b>   |                           |                    |

**7.b.2 Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018**

| Type of instrument | Expiration Date | Exercise Period | Physical /Cash<br>Settlement | Voting Rights<br>Absolute | Voting Rights in % |
|--------------------|-----------------|-----------------|------------------------------|---------------------------|--------------------|
| Total Return Swap  | 15/05/2023      | N/A             | Cash                         | 9,640                     | 0.01               |
| Total Return Swap  | 22/05/2023      | N/A             | Cash                         | 17,775                    | 0.02               |
|                    |                 | <b>Total:</b>   | <b>27,415</b>                | <b>0.03</b>               |                    |

**8. Information in relation to the person subject to the notification obligation**

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

| No. | Name                                        | Directly controlled by No. | Shares held directly (%) | Financial/other instruments held directly (%) | Total of both (%) |
|-----|---------------------------------------------|----------------------------|--------------------------|-----------------------------------------------|-------------------|
| 1   | Wellington Management Group LLP             |                            |                          |                                               |                   |
| 2   | Wellington Group Holdings LLP               | 1                          |                          |                                               |                   |
| 3   | Wellington Investment Advisors Holdings LLP | 2                          |                          |                                               |                   |
| 4   | Wellington Management Global Holdings, Ltd. | 3                          |                          |                                               |                   |
| 5   | Wellington Management International Limited | 4                          | 0.99                     | 0.02                                          | 1.01              |
| 6   | Wellington Management Japan Pte Ltd         | 4                          | 0.05                     |                                               | 0.05              |
| 7   | Wellington Management Company LLP           | 3                          | 4.63                     | 0.01                                          | 4.64              |

## 9. In case of proxy voting

Date of general meeting: N/A

## 10. Additional Information

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ISIN(s): AT0000BAWAG2 (share)

stock exchanges: official trade in Vienna



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