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*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## BAWAG Group AG: Release according to article 135 section 2 BörseG

Vienna (pta069/03.05.2022/18:30) - Release of Announcement according to article 135 section 2 BörseG

Notification of Major Holdings

### 1. Issuer:

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

### 2. Reason for notification:

Acquisition / disposal of shares with voting rights

### 3. Details of person subject to the notification obligation

Name: Amundi S.A.

City and country of registered office: Paris, France

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

### 5. Date on which threshold was crossed or reached

28.04.2022

### 6. Total positions

|                                                                                      | % of voting rights<br>attached to shares<br>(total of 7.a.) | % of voting rights<br>through instruments<br>(total of 7.b.1 +<br>7.b.2) | total of both in %<br>(7.a. + 7.b.) | total number of<br>voting rights of<br>issuer |
|--------------------------------------------------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------|
| Resulting situation<br>on the date on<br>which threshold<br>was crossed /<br>reached | 5.03                                                        | 0.00                                                                     | 5.03                                | 89,142,237                                    |
| Position of previous<br>notification                                                 | 4.78                                                        | 0.00                                                                     | 4.78                                |                                               |

### 7. Notified details of the resulting situation

#### 7.a. Voting rights attached to shares

| ISIN code    | absolute direct (Sec<br>130 BörseG 2018) | absolute indirect<br>(Sec 133 BörseG<br>2018) | in % direct (Sec<br>130 BörseG 2018) | in % indirect (Sec<br>133 BörseG 2018) |
|--------------|------------------------------------------|-----------------------------------------------|--------------------------------------|----------------------------------------|
| AT0000BAWAG2 | 0                                        | 4,486,029                                     | 0.00                                 | 5.03                                   |
| Total:       |                                          | 4,486,029                                     |                                      | 5.03                                   |

**7.b.1. Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018**

| Type of instrument | Expiration Date | Exercise Period | Voting Rights<br>Absolute | Voting Rights in % |
|--------------------|-----------------|-----------------|---------------------------|--------------------|
|                    |                 | Total:          |                           |                    |

**7.b.2 Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018**

| Type of instrument | Expiration Date | Exercise Period | Physical /Cash<br>Settlement | Voting Rights<br>Absolute | Voting Rights in % |
|--------------------|-----------------|-----------------|------------------------------|---------------------------|--------------------|
|                    |                 |                 | Total:                       |                           |                    |

**8. Information in relation to the person subject to the notification obligation**

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

| No. | Name                                                    | Directly<br>controlled by<br>No. | Shares held<br>directly (%) | Financial/other<br>instruments<br>held directly (%) | Total of both<br>(%) |
|-----|---------------------------------------------------------|----------------------------------|-----------------------------|-----------------------------------------------------|----------------------|
| 1   | Amundi S.A.                                             |                                  |                             |                                                     |                      |
| 2   | Amundi Asset<br>Management<br>S.A.S.                    | 1                                | 1.69                        |                                                     | 1.69                 |
| 3   | Amundi Austria<br>GmbH                                  | 2                                | 0.47                        |                                                     | 0.47                 |
| 4   | Amundi SGR<br>SpA                                       | 2                                | 0.17                        |                                                     | 0.17                 |
| 5   | Amundi Hong-<br>Kong Ltd.                               | 2                                | 0.09                        |                                                     | 0.09                 |
| 6   | Sabadell Asset<br>Management<br>S.A. S.G.I.I.C.         | 2                                | 0.03                        |                                                     | 0.03                 |
| 7   | Amundi<br>Deutschland<br>GmbH                           | 2                                | 0.03                        |                                                     | 0.03                 |
| 8   | Lyxor Asset<br>Management<br>S.A.S.                     | 2                                |                             |                                                     |                      |
| 9   | Lyxor<br>International<br>Asset<br>Management<br>S.A.S. | 8                                | 2.47                        |                                                     | 2.47                 |
| 10  | Lyxor Funds<br>Solutions S.A.                           | 9                                |                             |                                                     |                      |

|    |                               |   |      |  |      |
|----|-------------------------------|---|------|--|------|
| 11 | Amundi Japan Ltd.             | 2 |      |  |      |
| 12 | Etoile Gestion S.A.           | 1 | 0.03 |  | 0.03 |
| 13 | Societe Generale Gestion S.A. | 1 | 0.02 |  | 0.02 |
| 14 | BFT Investment Managers S.A.  | 1 | 0.02 |  | 0.02 |
| 15 | CPR AM S.A.                   | 1 | 0.01 |  | 0.01 |

## 9. In case of proxy voting

Date of general meeting: N/A

## 10. Additional Information

Ad 8.) The indicated shareholdings held by Amundi S.A. and its affiliated management companies are not held directly, but by investment funds / portfolios managed by the respective management companies. They are therefore not shareholdings owned by the respective management companies, which are, however, entitled to exercise the associated voting rights.

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ISIN(s): AT0000BAWAG2 (share)

stock exchanges: official trade in Vienna



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