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*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## Erste Group Bank AG: Release according to art. 135 section 2 BörseG

Vienna (pta027/04.10.2019/17:35) - Release of Announcement according to art. 135 section 2 BörseG  
Notification of Major Holdings

### 1. Issuer:

Erste Group Bank AG, Am Belvedere 1, 1100 Wien, Austria

### 2. Reason for notification:

Acquisition / disposal of shares with voting rights

### 3. Details of person subject to the notification obligation

Name: BlackRock, Inc.

City and country of registered office: Wilmington, USA - United States

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

### 5. Date on which threshold was crossed or reached

03.10.2019

### 6. Total positions

|                                                                                      | % of voting rights<br>attached to shares<br>(total of 7.a.) | % of voting rights<br>through instruments<br>(total of 7.b.1 +<br>7.b.2) | total of both in %<br>(7.a. + 7.b.) | total number of<br>voting rights of<br>issuer |
|--------------------------------------------------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------|
| Resulting situation<br>on the date on<br>which threshold<br>was crossed /<br>reached | 3.87                                                        | 0.12                                                                     | 3.99                                | 429,800,000                                   |
| Position of previous<br>notification                                                 | 3.98                                                        | 0.11                                                                     | 4.09                                |                                               |

### 7. Notified details of the resulting situation

#### 7.a. Voting rights attached to shares

| ISIN code    | absolute direct (Sec<br>130 BörseG 2018) | absolute indirect<br>(Sec 133 BörseG<br>2018) | in % direct (Sec<br>130 BörseG 2018) | in % indirect (Sec<br>133 BörseG 2018) |
|--------------|------------------------------------------|-----------------------------------------------|--------------------------------------|----------------------------------------|
| AT0000652011 | 0                                        | 16,619,876                                    | 0.00                                 | 3.87                                   |
| Total:       |                                          | 16,619,876                                    |                                      | 3.87                                   |

**7.b.1. Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018**

| Type of instrument | Expiration Date | Exercise Period | Voting Rights Absolute | Voting Rights in % |
|--------------------|-----------------|-----------------|------------------------|--------------------|
| Securities Lent    | N/A             | N/A             | 84,474                 | 0.02               |
| Depository Receipt | N/A             | N/A             | 42                     | 0.00               |
|                    |                 | <b>Total:</b>   | <b>84,516</b>          | <b>0.02</b>        |

**7.b.2 Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018**

| Type of instrument | Expiration Date | Exercise Period | Physical /Cash Settlement | Voting Rights Absolute | Voting Rights in % |
|--------------------|-----------------|-----------------|---------------------------|------------------------|--------------------|
| CFD                | N/A             | N/A             | Cash                      | 432,873                | 0.10               |
|                    |                 | <b>Total:</b>   | <b>432,873</b>            | <b>432,873</b>         | <b>0.10</b>        |

**8. Information in relation to the person subject to the notification obligation**

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

| No. | Name                                 | Directly controlled by No. | Shares held directly (%) | Financial/other instruments held directly (%) | Total of both (%) |
|-----|--------------------------------------|----------------------------|--------------------------|-----------------------------------------------|-------------------|
| 1   | BlackRock, Inc.                      |                            |                          |                                               |                   |
| 2   | Trident Merger, LLC                  | 1                          |                          |                                               |                   |
| 3   | BlackRock Investment Management, LLC | 2                          |                          |                                               |                   |
| 4   | BlackRock Holdco 2, Inc.             | 1                          |                          |                                               |                   |
| 5   | BlackRock Financial Management, Inc. | 4                          |                          |                                               |                   |
| 6   | BlackRock Holdco 4, LLC              | 5                          |                          |                                               |                   |
| 7   | BlackRock Holdco 6, LLC              | 6                          |                          |                                               |                   |
| 8   | BlackRock Delaware Holdings Inc.     | 7                          |                          |                                               |                   |

|    |                                                                         |    |  |  |  |
|----|-------------------------------------------------------------------------|----|--|--|--|
| 9  | BlackRock<br>Institutional<br>Trust Company,<br>National<br>Association | 8  |  |  |  |
| 10 | BlackRock Fund<br>Advisors                                              | 8  |  |  |  |
| 11 | BlackRock<br>Capital<br>Holdings, Inc.                                  | 5  |  |  |  |
| 12 | BlackRock<br>Advisors, LLC                                              | 11 |  |  |  |
| 13 | BlackRock<br>Capital<br>Management,<br>Inc.                             | 12 |  |  |  |
| 14 | BlackRock<br>International<br>Holdings, Inc.                            | 5  |  |  |  |
| 15 | BR Jersey<br>International<br>Holdings L.P.                             | 14 |  |  |  |
| 16 | BlackRock<br>(Singapore)<br>Holdco Pte. Ltd.                            | 15 |  |  |  |
| 17 | BlackRock<br>(Singapore)<br>Limited                                     | 16 |  |  |  |
| 18 | BlackRock<br>Cayman 1 LP                                                | 27 |  |  |  |
| 19 | BlackRock HK<br>Holdco Limited                                          | 16 |  |  |  |
| 20 | BlackRock<br>Cayman West<br>Bay Finco<br>Limited                        | 18 |  |  |  |
| 21 | BlackRock<br>Asset<br>Management<br>North Asia<br>Limited               | 19 |  |  |  |
| 22 | BlackRock<br>Japan Holdings<br>GK                                       | 24 |  |  |  |

|    |                                                                 |    |  |  |  |
|----|-----------------------------------------------------------------|----|--|--|--|
| 23 | BlackRock<br>Japan Co., Ltd.                                    | 22 |  |  |  |
| 24 | BlackRock Lux<br>Finco S.à r.l.                                 | 19 |  |  |  |
| 25 | BlackRock<br>Australia Holdco<br>Pty. Ltd.                      | 15 |  |  |  |
| 26 | BlackRock<br>Investment<br>Management<br>(Australia)<br>Limited | 25 |  |  |  |
| 27 | BlackRock<br>Holdco 3, LLC                                      | 15 |  |  |  |
| 28 | BlackRock<br>Canada<br>Holdings LP                              | 27 |  |  |  |
| 29 | BlackRock<br>Canada<br>Holdings ULC                             | 28 |  |  |  |
| 30 | BlackRock<br>Asset<br>Management<br>Canada Limited              | 29 |  |  |  |
| 31 | BlackRock<br>Cayman West<br>Bay IV Limited                      | 20 |  |  |  |
| 32 | BlackRock<br>Group Limited                                      | 31 |  |  |  |
| 33 | BlackRock<br>Advisors (UK)<br>Limited                           | 34 |  |  |  |
| 34 | BlackRock<br>Finance Europe<br>Limited                          | 32 |  |  |  |
| 35 | BlackRock<br>International<br>Limited                           | 32 |  |  |  |
| 36 | BlackRock<br>(Netherlands)<br>B.V.                              | 34 |  |  |  |

|    |                                                       |    |  |  |  |
|----|-------------------------------------------------------|----|--|--|--|
| 37 | BlackRock<br>Investment<br>Management<br>(UK) Limited | 34 |  |  |  |
| 38 | BlackRock<br>Asset<br>Management<br>Deutschland AG    | 37 |  |  |  |

## 9. In case of proxy voting

Date of general meeting: N/A

## 10. Additional Information

The disclosure obligation arose due to total holdings for BlackRock, Inc. going below 4%.

emitter: Erste Group Bank AG  
Am Belvedere 1  
1100 Wien  
Austria

contact person: Thomas Sommerauer / Gerald Krames

phone: +43 (0)5 0100 - 17326

e-mail: thomas.sommerauer@erstegroup.com

website: www.erstegroup.com

ISIN(s): AT0000652011 (share)

stock exchanges: official trade in Vienna

other stock exchanges: Bucharest Stock Exchange, Prague Stock Exchange



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